

# Bounds on the Topology of Tropical Prevarieties

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## Tropical semi-ring

*Tropical semi-ring*  $T$  is endowed with operations  $\oplus, \otimes$ .

If  $T$  is an ordered semi-group then  $T$  is a tropical semi-ring with inherited operations  $\oplus := \min, \otimes := +$ .

If  $T$  is an ordered (resp. abelian) group then  $T$  is a *tropical semi-skew-field* (resp. *tropical semi-field*) w.r.t.  $\otimes := -$ .

**Examples**

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- $\mathbb{Z}, \mathbb{Z}_\infty$  are semi-fields;
- $n \times n$  matrices over  $\mathbb{Z}_\infty$  form a non-commutative tropical semi-ring:  $(a_{ij}) \otimes (b_{kl}) := (\oplus_{1 \leq j \leq n} a_{ij} \otimes b_{jl})$ .

## Tropical polynomials

*Tropical monomial*  $x^{\otimes i} := x \otimes \cdots \otimes x$ ,  $Q = a \otimes x_1^{\otimes i_1} \otimes \cdots \otimes x_n^{\otimes i_n}$ , its *tropical degree*  $\text{trdeg} = i_1 + \cdots + i_n$ . Then  $Q = a + i_1 \cdot x_1 + \cdots + i_n \cdot x_n$ .

*Tropical polynomial*  $f = \oplus_j (a_j \otimes x_1^{j_1} \otimes \cdots \otimes x_n^{j_n}) = \min_j \{Q_j\}$ ;  
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# Historical sources of the tropical algebra

## Logarithmic scaling of the reals (mathematical physics)

Define two operations on positive reals, replacing addition and multiplication:

$$a, b \rightarrow t \cdot \log(\exp(a/t) + \exp(b/t)), \quad \lim_{t \rightarrow 0} = \max\{a, b\}$$

$$a, b \rightarrow t \cdot \log(\exp(a/t) \cdot \exp(b/t)) = a + b$$

Thus, the "dequantization" of the logarithmic scaling is a tropical semi-ring

## Solving systems of polynomial equations in Puiseux series (algebraic geometry)

The field of Puiseux series

$F((t^{1/\infty})) \ni a_0 \cdot t^{i/q} + a_1 \cdot t^{(i+1)/q} + \dots$ ,  $0 < q \in \mathbb{Z}$  over an algebraically closed field  $F$  is algebraically closed. In the (Newton)

algorithm for solving a system of polynomial equations

$f_i(X_1, \dots, X_n) = 0$ ,  $1 \leq i \leq k$  with  $f_i \in F((t^{1/\infty}))[X_1, \dots, X_n]$  in Puiseux series the leading exponents  $i_j/q_j$  in  $X_j = a_{0j} \cdot t^{i_j/q_j} + \dots$  satisfy a tropical polynomial system (due to cancelation of the leading terms).

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For a graph with weights  $w_{ij}$  on edges  $(i, j)$  for any  $k$  to compute for each pair of vertices  $i, j$  the minimal weight of paths between  $i$  and  $j$ . This is equivalent to computing the tropical  $k$ -th power of matrix  $(w_{ij})$ .

## Scheduling

Let several jobs  $i$  should be executed by means of several machines  $j$  with times of execution  $t_{ij}$ . The restrictions like that job  $i_0$  should be executed after job  $i$  are imposed. Denoting by unknown  $x_{ij}$  a starting moment of execution of  $i$  by  $j$ , the latter restriction is expressed as  $x_{i_0, j_0} \geq \min_j \{x_{ij} + t_{ij}\}$ . Another sort of restrictions is that a machine can't execute two jobs simultaneously, i. e.  $x_{i_1, j} \geq x_{ij} + t_{ij}$ . It leads to a system of min-plus linear inequalities, the problem being equivalent to tropical linear systems.

This approach is employed in scheduling of Dutch and Korean railways.

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This approach is employed in scheduling of Dutch and Korean railways.

## Minimal weights of paths in a graph (computer science)

For a graph with weights  $w_{ij}$  on edges  $(i, j)$  for any  $k$  to compute for each pair of vertices  $i, j$  the minimal weight of paths between  $i$  and  $j$ . This is equivalent to computing the tropical  $k$ -th power of matrix  $(w_{ij})$ .

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# Tropical Varieties and Prevarieties

$$K = \mathbb{C}((t^{1/\infty})) = \{c = c_0 t^{i_0/q} + c_1 t^{(i_0+1)/q} + \dots\}$$

is a field of Puiseux series where  $i_0 \in \mathbb{Z}$ ,  $1 \leq q \in \mathbb{Z}$ .

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Recognizing a tropical variety is *NP-hard*.

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For an ideal  $I \subset K[X_1, \dots, X_n]$  there exists its **tropical basis**  $f_1, \dots, f_k \in I$  such that

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(Bogart, Jensen, Speyer, Sturmfels, Thomas), i. e. any tropical variety is a tropical prevariety.

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Hept, Theobald have designed an algorithm which produces a tropical basis. In case of a prime ideal  $I$  the number of elements in a tropical basis  $k < 2n$ , although apparently the degrees of  $f_1, \dots, f_k$  can be exponential.

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# Tropical linear systems

If a tropical semi-ring  $T$  is an ordered semi-group then tropical linear function over  $T$  can be written as  $\min_{1 \leq i \leq n} \{a_i + x_i\}$ .

## Tropical linear system

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*One can solve an  $m \times n$  tropical linear system  $A$  within complexity polynomial in  $n, m, M$ . (Akian-Gaubert-Guterman; G.)*

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**Tropical rank**  $trk(A)$  of matrix  $A$  is the maximal size of its tropically nonsingular square submatrices.

**A lifting** of  $A = (a_{i,j})$  is a matrix  $F = (f_{i,j})$  over the field of Newton-Puiseux series  $K = R((t^{1/\infty}))$  for a field  $R$  of zero characteristic such that the tropicalization  $Trop(f_{i,j}) = a_{i,j}$ .

**Kapranov rank**  $Krk_R(A) =$  minimum of ranks (over  $K$ ) of liftings of  $A$ .  
 $trk(A) \leq Krk_R(A)$  and not always equal (Develin-Santos-Sturmfels)

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$Brk(A)$  is the minimal  $q$  such that  $A = (u_1 \otimes v_1) \oplus \cdots \oplus (u_q \otimes v_q)$  for suitable vectors  $u_1, \dots, v_q$  over  $T$

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The theorem on complexity of solving tropical linear systems implies

## Corollary

*The following statements are equivalent*

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- 2)  $\text{trk}(A) < n$ ;*
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## Computing dimension of a tropical linear system

### Proposition

*One can test uniqueness (in the tropical projective space) of a solution of a tropical linear system (i. e. whether the dimension of a tropical linear prevariety equals 0) within complexity polynomial in  $n, m, M$ .*

### Theorem

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# Testing equivalence of tropical linear systems

Two tropical linear systems are equivalent if their prevarieties of solutions coincide.

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*Complexities of the following 4 problems coincide up to a polynomial: solvability, equivalence of min-plus and of tropical linear systems (G.-Podol'ski using Allamigeon-Gaubert-Katz).*

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# Tropical and min-plus prevarieties

**Min-plus prevariety** is the set of solutions  $x \in \mathbb{R}^n$  of a min-plus polynomial system

$$f_i(x) = g_i(x), 1 \leq i \leq k$$

where  $f_i, g_i$  are tropical (= min-plus) polynomials.

## Theorem

(G.-Podolskii)

- any tropical prevariety is a min-plus prevariety;
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# Min-atom problem and mean payoff games

**Min-atom problem** is a system of inequalities of the form  $\min\{x, y\} + c \leq z$ ,  $c \in \mathbb{Z}$  (min-plus linear programming).

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A bipartite graph  $(V, W, E)$  with integer weights  $a_{ij}$  on edges  $e_{ij} \in E$  is given. Two players in turn move a token between nodes  $V \cup W$  of the graph. The first player moves from a (current) node  $i \in V$  to a node  $j \in W$  (respectively, the second player moves from  $W$  to  $V$ ). Weight  $a_{ij}$  is assigned to this move. Mean sum of assigned weights after  $k$  moves is computed:  $(\sum a_{ij})/k$ .

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How to reduce tropical polynomial systems to tropical linear ones?

In the classical algebra for this aim serves Hilbert's Nullstellensatz: a system of polynomials has a common zero iff the ideal generated by these polynomials does not contain 1.

In the tropical world the direct version of Nullstellensatz is false even for linear univariate polynomials:  $X \oplus 0$ ,  $X \oplus 1$  do not have a tropical solution, while their (tropical) ideal does not contain 0 or any other monomial (tropical monomials are the only polynomials without tropical zeroes).

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# Classical homogeneous (projective) effective Nullstellensatz

Let  $g_0, \dots, g_k \in \mathbb{C}[X_0, \dots, X_n]$  be homogeneous polynomials with  $\deg(g_0) \geq \deg(g_1) \geq \dots$ .

## Theorem

System  $g_0 = \dots = g_k = 0$  has a solution in the projective space iff the ideal generated by  $g_0, \dots, g_k$  does not contain the power  $(X_0, \dots, X_n)^{N_0}$  of the coordinate ideal for  $N_0 = \deg(g_0) + \dots + \deg(g_n) - n$ . (**Lazard**)

In the dual form this means that system  $g_0 = \dots = g_k = 0$  has a solution in the projective space iff the homogeneous linear system with submatrix  $C_{N_0}^{(hom)}$  of the Macauley matrix  $C$  generated by the columns with the degrees of monomials equal  $N_0$ , has a non-zero solution.

Thus, the bound on the degrees of monomials in the Macauley matrix in the affine Nullstellensatz is roughly the product of the degrees (Bezout number) of the polynomials in the system, while the bound in the projective Nullstellensatz is roughly the sum of the degrees.

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Assume w.l.o.g. that for tropical polynomials  $h = \bigoplus_J (a_J \otimes X^{\otimes J})$  in  $n$  variables which we consider, function  $J \rightarrow a_J$  is concave on  $\mathbb{R}^n$ . This assumption does not change tropical prevarieties, the results hold without it, but it makes the geometric intuition more transparent.

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Conjecture is that the latter bound is  $O(\text{trdeg}(h_1) + \dots + \text{trdeg}(h_k))$ .

In case  $k=2, n=1$  the bound  $\text{trdeg}(h_1) + \text{trdeg}(h_2)$  was proved by Tabera using the classical resultant and Kapranov's theorem: for a polynomial  $f \in R((t^{1/\infty}))[x_1, \dots, x_n]$  it holds:

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Conjecture is that the latter bound is  $O(\text{trdeg}(h_1) + \dots + \text{trdeg}(h_k))$ .

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## Tropical dual effective Nullstellensatz: finite case

Assume w.l.o.g. that for tropical polynomials  $h = \bigoplus_J (a_J \otimes X^{\otimes J})$  in  $n$  variables which we consider, function  $J \rightarrow a_J$  is concave on  $\mathbb{R}^n$ . This assumption does not change tropical prevarieties, the results hold without it, but it makes the geometric intuition more transparent.

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## (Convex)-geometrical rephrasing of the tropical dual Nullstellensatz over $\mathbb{R}$ (finite case)

For a tropical polynomial  $h = \bigoplus_J (a_J \otimes X^{\otimes J})$  consider its extended Newton polyhedron  $G$  being the convex hull of the graph  $\{(J, a) : a \leq -a_J\} \subset \mathbb{R}^{n+1}$ . As vertices of  $G$  consider all the points of the form  $(I, c)$ ,  $I \in \mathbb{Z}^n$  on the boundary of  $G$ . Let  $G_i$  correspond to  $h_i$ ,  $1 \leq i \leq k$ . Denote by  $G^{(I)} := G + (I, 0)$  a horizontal shift of  $G$ . Solution  $Y := \{(J, y_J)\} \subset \mathbb{Z}^n \times \mathbb{R}$  of a tropical linear system  $H \otimes Y$  treat also as a graph on  $\mathbb{Z}^n$ .

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# Tropical dual effective Nullstellensatz over $\mathbb{R}_\infty$

## Theorem

A system of tropical polynomials  $h_1, \dots, h_k$  has a zero over  $\mathbb{R}_\infty$  iff the tropical non-homogeneous linear system with a finite submatrix  $H_N$  of the Macauley matrix  $H$  generated by its rows  $X^{\otimes l} \otimes h_i$ ,  $1 \leq i \leq k$  has a tropical solution over  $\mathbb{R}_\infty$  where tropical degrees  $|I| < N = O(kn^2(2 \max_{1 \leq j \leq k} \{\text{trdeg}(h_j)\})^{O(\min\{n,k\})})$  (G.-Podolskii)

Thus, the following table of bounds for effective Nullstellensätze demonstrates a similarity of tropical geometry with the complex one

	Projective	Affine
<b>Classical</b>		
<b>Tropical</b>	Finite ( $\mathbb{R}$ )	Infinite ( $\mathbb{R}_\infty$ )
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What is the reason of this analogy between projective vs. affine and finite vs. infinite?

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# Sharpness of the bounds in tropical effective Nullstellensätze

## Finite case

System of  $n + 1$  tropical (quadratic) polynomials

$$0 \oplus X_1, \quad X_i^{\otimes 2} \oplus X_{i+1}, \quad 1 \leq i < n, \quad 1 \oplus X_n$$

has no tropical zeroes. On the other hand, submatrix  $H_{n-1}$  of the Macauley matrix  $H$  has a finite (over  $\mathbb{R}$ ) tropical solution (the sum of the tropical degrees equals  $2n$ ).

## Infinite case

System of  $n + 1$  tropical polynomials

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has no tropical zeroes. On the other hand, submatrix  $H_{n-1}$  of the Macauley matrix  $H$  has a finite (over  $\mathbb{R}$ ) tropical solution (the sum of the tropical degrees equals  $2n$ ).

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# Bound on the number of connected components of a tropical prevariety

## Theorem

*The number of connected components of a tropical prevariety given by tropical polynomials  $f_1, \dots, f_k$  in  $n$  variables of degrees  $d$  is bounded by  $\binom{k+7n}{3n} \cdot d^{3n}$  (Davydov-G.)*

Recall that a similar bound was proved on the number of connected components (moreover, of Betti numbers) of a semi-algebraic set (Oleinik-Petrovskii-Milnor-Thom, Basu-Pollack-Roy).

This shows a similarity between the tropical and real geometries.

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The number of isolated points of a tropical prevariety does not exceed  $\binom{k}{n} \frac{d^n}{k-n+1}$  (Davydov-G.)

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# Table of minima of a tropical system at a point

For a system  $A$  of tropical polynomials  $f_i = \oplus_J f_{iJ} \otimes X^{\otimes J}$ ,  $1 \leq i \leq k$  of degrees  $|J| \leq d$  in  $n$  variables denote by  $V := V(A) \subset \mathbb{R}^n$  the tropical prevariety of its finite solutions.

With a point  $x \in \mathbb{R}^n$  we associate  $k \times \binom{n+d-1}{n}$  table  $A^{*x}$  in which rows correspond to  $f_1, \dots, f_k$  and columns correspond to monomials of degrees at most  $d$ . Entry  $(i, J)$ ,  $1 \leq i \leq k$ , where  $J \in \mathbb{Z}^n$ ,  $|J| \leq d$ , is marked in the table by  $*$  iff tropical monomial  $f_{iJ} \otimes X^{\otimes J}$  (treated as a classical linear function) of  $f_i$  attains the minimal value at  $x$  among all tropical monomials of  $f_i$ . Thus,  $x \in V$  iff each row of  $A^{*x}$  contains at least two  $*$ .

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*For  $x, y \in V$  if tables  $A^{*x} = A^{*y}$  then some neighborhoods of  $V$  at  $x$  and at  $y$  are homeomorphic.*

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## Connected components and generalized vertices

There exists  $R$  such that the intersection  $W$  of  $V := V(A)$  with cube  $\{(x_1, \dots, x_n) \in \mathbb{R}^n : |x_p| \leq R, 1 \leq p \leq n\}$  is homotopy equivalent to  $V$ .

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*Introduce new variables  $Y_p, Z_p, 1 \leq p \leq n$  and add to  $A$  tropical linear polynomials*

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*(equivalent to  $X_p \leq R$ ) and*

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*Then the resulting system  $B$  defines a tropical prevariety homeomorphic to  $W$ .*

Any connected component of compact  $W$  contains a vertex, hence

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# Stable solutions and tropical Bezout theorem

For system  $C$  of  $n$  tropical polynomials  $h_1, \dots, h_n$  in  $n$  variables of degrees  $d_1, \dots, d_n$  defining a tropical prevariety  $V$  a point  $x \in V$  is called a *stable solution of  $C$*  if for any sufficiently small perturbation of the coefficients of  $C$  there exists a point in the perturbed tropical prevariety in a neighborhood of  $x$ . If for a generic perturbation there are exactly  $e$  points in a neighborhood of  $x$  one says that the stable solution  $x$  has the multiplicity  $e$ .

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(Tropical Bezout theorem)

The sum of multiplicities of all stable solutions of  $C$  equals  $d_1 \cdots d_n$

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$x \in V$  is a stable solution of system  $C = \{h_1, \dots, h_n\}$  in  $n$  variables iff for each  $1 \leq i \leq n$  there exist marked by  $*$  in the table  $C^{*x}$  entries  $(i, J_1), (i, J_2)$  such that  $n$  vectors  $J_1 - J_2 \in \mathbb{Z}^n$  are linearly independent.

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If  $x$  is a generalized vertex of a system  $A$  of tropical polynomials  $f_1, \dots, f_k$  in  $n$  variables then  $x$  is a stable solution of a suitable multisubset  $f_{l_1}, \dots, f_{l_n}, 1 \leq l_1, \dots, l_n \leq k$  of  $A$ .

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The following bound is sometimes (say, for a small  $d$ ) better.

## Proposition

*The sum of Betti numbers is less than*  
 $3^n + 2^n \cdot \left(k \cdot \binom{n+d}{n}\right)^2 + o\left(\left(k \cdot \binom{n+d}{n}\right)^2 n\right)$

To prove consider an arrangement of hyperplanes, where for each pair of monomials from the same (among  $k$ ) polynomial take a hyperplane on which these two monomials equal (as linear functions). Faces of the tropical prevariety form a subset of faces of this arrangement.

**Question.** Does the bound  $\left(\binom{k+n-1}{n} \cdot d^n\right)$  hold for Betti numbers?

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*The latter bound holds on the number of linear hulls of all the faces of the tropical prevariety.*

The proof involves the general Tropical Bezout Theorem in terms of mixed Minkowski volumes (Bertran-Bihan, Steffens, Theobald).

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The proof involves the general Tropical Bezout Theorem in terms of mixed Minkowski volumes (Bertran-Bihan, Steffens, Theobald).

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# Construction of a tropical polynomial system with many isolated points

## Theorem

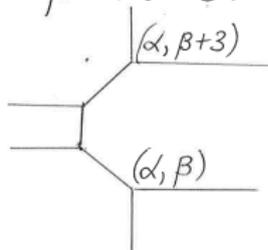
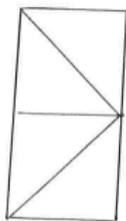
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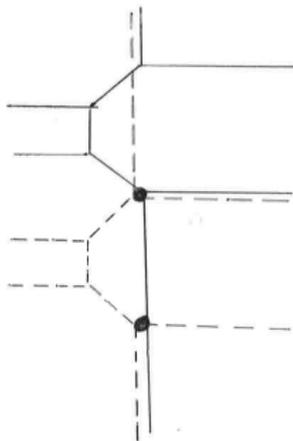
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projection of  $n=2$   
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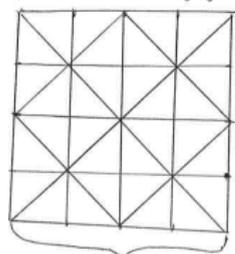
System A:  $k$  tropical  
 curves shifted  
 down by  $3, 6, \dots,$   
 $3(k-1)$ ;  
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 $(\alpha, \beta - 3j), 0 \leq j \leq k-2$



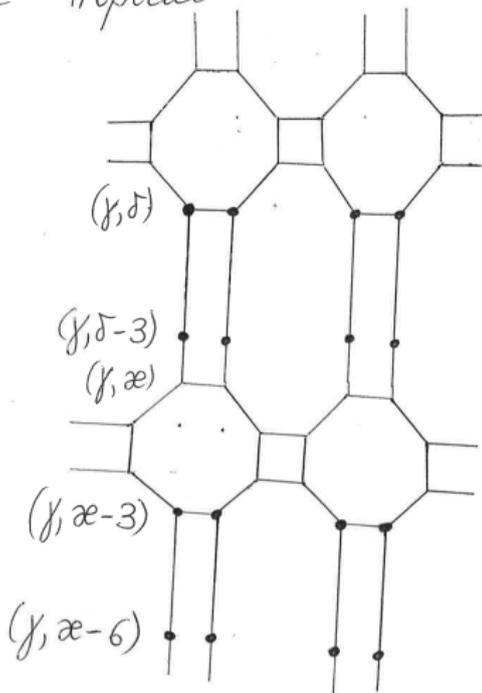
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$2d$



$$\delta - x > 3k$$

System B: the curve is shifted down by  $3, 6, \dots, 3(k-1)$ . The resulting  $k$  curves have  $2(k-1)d^2$  isolated intersection points.

# Construction for an arbitrary number $n$ of variables

Take  $n - 1$  copies of system  $B$  in variables  $x_1, y$ , and in  $i$ -th copy,  $1 \leq i \leq n - 1$  replace  $y$  by  $x_{i+1}$ . The resulting tropical system has desired  $2(k - 1)^{n-1} d^n$  isolated solutions.

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# Algorithm for solving tropical linear systems: finite coefficients

First assume that the coefficients of a tropical linear system  $A = (a_{i,j})$  are finite:  $0 \leq a_{i,j} \leq M$ ,  $1 \leq i \leq n$ ,  $1 \leq j \leq m$ .

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$$B = \begin{pmatrix} B_1 & B_2 \\ B_3 & B_4 \\ B_5 & B_6 \end{pmatrix}$$

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To estimate the number of steps of the algorithm define a *tropical norm* of a vector (in the tropical projective space)  $(y_1, \dots, y_n)$  as

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If  $L$  coincides with the set of all the columns then  $B_1$  is  $n \times n$  tropically nonsingular submatrix of  $B$  and therefore,  $A$  has no solution. This completes the description of the algorithm.

## Tropical norm and complexity bound

To estimate the number of steps of the algorithm define a *tropical norm* of a vector (in the tropical projective space)  $(y_1, \dots, y_n)$  as

$$\sum_{1 \leq i \leq n} (y_i - \min_{1 \leq j \leq n} \{y_j\}).$$

After every modification step the tropical norm of vector  $(a_{1,1} + x_1, \dots, a_{1,n} + x_n)$  (corresponding to the first row) drops.

## Solving tropical linear systems over $\mathbb{Z}_\infty$

For the inductive (again on  $m$ ) hypothesis assume that  $(m-1) \times n$  matrix  $A'$  (obtained from  $A$  by removing its first row) has a block form (after permuting its rows and columns)

$$\begin{pmatrix} A_{1,1} & \infty & \cdots & \infty & \infty \\ A_{2,1} & A_{2,2} & \cdots & \infty & \infty \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ A_{t-1,1} & A_{t-1,2} & \cdots & A_{t-1,t-1} & \infty \\ \underline{A_{t,1}} & \underline{A_{t,2}} & \cdots & \underline{A_{t,t-1}} & \underline{A_{t,t}} \end{pmatrix}$$

where each entry of upper-triangular blocks equals  $\infty$ .

A finite vector  $y = (y_1, \dots, y_n) =: (y^{(1)}, \dots, y^{(t)}) \in \mathbb{Z}^n$  is produced (where  $y^{(1)}, \dots, y^{(t)}$  is its partition corresponding to the block structure) such that each diagonal block  $A_{p,p}$ ,  $1 \leq p \leq t-1$  has  $*$  (with respect to vector  $y^{(p)}$ ) everywhere on its diagonal and no  $*$  above the diagonal. Matrix  $A_{p,p}$  is of size  $u_p \times v_p$  with  $u_p \geq v_p$ .

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## Continuation: modifying candidate for a solution

To be closer to the finite case  $\mathbb{Z}$  extend the lowest block  $\overline{A_{t,1}} \overline{A_{t,2}} \cdots \overline{A_{t,t-1}} \overline{A_{t,t}}$  of  $A'$  by joining to it the first row of  $A$  as its first row. The resulting extension of matrix  $\overline{A_{t,t}}$  denote by  $C$ .

Again as in the finite case assume (after a permutation of the columns) that a single  $*$  (with respect to vector  $y^{(t)}$ ) in the first row of  $C$  is located in the first column.

The algorithm modifies vector  $y^{(t)}$  keeping it to be a solution of  $\overline{A_{t,t}}$  and keeping the same notation for the modified vectors.

If  $y^{(t)}$  is a solution of  $C$  then vector  $(\infty, \dots, \infty, y^{(t)})$  is a solution of  $A$  and the algorithm terminates the inductive step.

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## Continuation of modifying a candidate: graph of possibly infinite coordinates

In addition, the algorithm considers an oriented graph with the nodes being the coordinates of vector  $y^{(t)} =: (y_1^{(t)}, \dots, y_s^{(t)})$  and with an edge from node  $y_j^{(t)}$  to  $y_l^{(t)}$  when  $y_j^{(t)} - y_l^{(t)} \leq M$  (remind that all finite coefficients of matrix  $A$  satisfy  $0 \leq a_{i,j} \leq M$ ).

Denote by  $S$  the set of nodes of the graph reachable from the first node  $y_1^{(t)}$ .

### Lemma

*$L \subset S$  and in the course of the algorithm while modifying  $S$ , the next  $S$  is a subset of the previous one.*

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# Termination of the algorithm

$$C = \begin{pmatrix} C_1 & \infty \\ C_2 & \infty \\ C_3 & C_4 \end{pmatrix}$$

- $L$  are columns of a square matrix  $C_1$ ;
- (tropically nonsingular)  $C_1$  contains  $*$  everywhere on the diagonal and no  $*$  above it;
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This completes the inductive step of the algorithm and constructing a new block structure of matrix  $A$ .

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The algorithm terminates if either all the columns or all the rows are exhausted. If all the columns are exhausted then  $A$  has no solution.

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