#### Maximally Dense Sphere Packings

Thomas Fernique

CNRS & Université Paris Nord

#### Outline

A Proof from the Book

Things get worse

Localization

Some results

Some open questions

## Outline

#### A Proof from the Book

Things get worse

Localization

Some results

Some open questions

# Thue's theorem

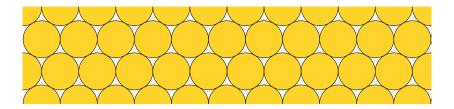
A packing of spheres in  $\mathbb{R}^n$  is a union of interior disjoint balls. Its density is defined by

 $\delta := \limsup_{k \to \infty} \frac{\text{area of } B_n(0, k) \text{ covered by the spheres}}{\text{volume of } B_n(0, k)}.$ 

# Thue's theorem

A packing of spheres in  $\mathbb{R}^n$  is a union of interior disjoint balls. Its density is defined by

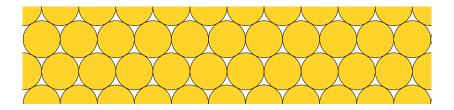
 $\delta := \limsup_{k \to \infty} \frac{\text{area of } B_n(0, k) \text{ covered by the spheres}}{\text{volume of } B_n(0, k)}$ 



# Thue's theorem

A packing of spheres in  $\mathbb{R}^n$  is a union of interior disjoint balls. Its density is defined by

 $\delta := \limsup_{k \to \infty} \frac{\text{area of } B_n(0, k) \text{ covered by the spheres}}{\text{volume of } B_n(0, k)}$ 



Theorem (Thue 1910, Tóth 1943) Any packing of equal circles in  $\mathbb{R}^2$  has density at most  $\frac{\pi}{2\sqrt{3}}$ .

Delaunay triangulation: circumscribed circles have empty interior.

Saturated packing: no further circle can be added.

#### Lemma

In a Delaunay triangulation of the centers of a saturated packing of unit circles, the density within each triangle is at most  $\frac{\pi}{2\sqrt{3}}$ .

Delaunay triangulation: circumscribed circles have empty interior.

Saturated packing: no further circle can be added.

#### Lemma

In a Delaunay triangulation of the centers of a saturated packing of unit circles, the density within each triangle is at most  $\frac{\pi}{2\sqrt{3}}$ .

• Let ABC be a triangle with largest angle  $\hat{A} \rightsquigarrow \hat{A} \ge \frac{\pi}{3}$ .

Delaunay triangulation: circumscribed circles have empty interior.

Saturated packing: no further circle can be added.

#### Lemma

In a Delaunay triangulation of the centers of a saturated packing of unit circles, the density within each triangle is at most  $\frac{\pi}{2\sqrt{3}}$ .

 Let ABC be a triangle with largest angle → Â ≥ π/3.
If ≥ 2π/3, then its smallest angle, say B̂, satisfies B̂ ≤ π/6. This yields a circumradius R = AC/2 sin B̂ ≥ 2: a new circle can thus be added at the circumcenter. Contradiction → Â < 2π/3.</li>

Delaunay triangulation: circumscribed circles have empty interior.

Saturated packing: no further circle can be added.

#### Lemma

In a Delaunay triangulation of the centers of a saturated packing of unit circles, the density within each triangle is at most  $\frac{\pi}{2\sqrt{3}}$ .

- Let ABC be a triangle with largest angle  $\widehat{A} \rightsquigarrow \widehat{A} \ge \frac{\pi}{3}$ .
- If  $\widehat{A} \ge \frac{2\pi}{3}$ , then its smallest angle, say  $\widehat{B}$ , satisfies  $\widehat{B} \le \frac{\pi}{6}$ . This yields a circumradius  $R = \frac{\overline{AC}}{2\sin\widehat{B}} \ge 2$ : a new circle can thus be added at the circumcenter. Contradiction  $\rightsquigarrow \widehat{A} < \frac{2\pi}{3}$ .
- The area is  $\frac{1}{2} \sin \hat{A} \cdot \overline{AB} \cdot \overline{AC} \ge \sqrt{3}$ , covered by half a unit disc.

## Outline

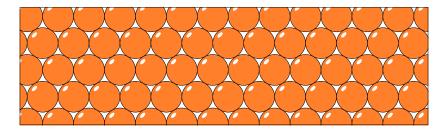
#### A Proof from the Book

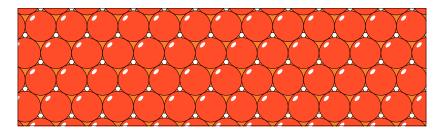
#### Things get worse

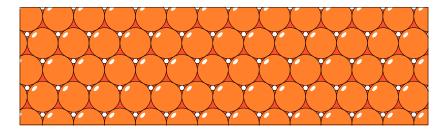
Localization

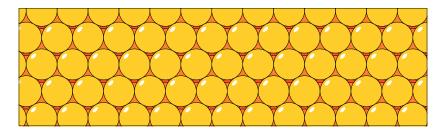
Some results

Some open questions

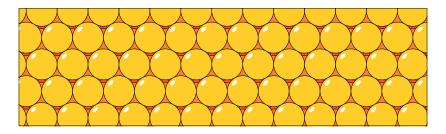








In  $\mathbb{R}^3$ , close-packings of equal spheres achieve the density  $\frac{\pi}{3\sqrt{2}}$ :



Theorem (Hales-Ferguson, 1992–2014) Any packing of equal spheres in  $\mathbb{R}^3$  has density at most  $\frac{\pi}{3\sqrt{2}}$ .

## Frustration

One could try to mimic the proof of Thue's theorem:

#### Lemma (Rogers, 1958)

In a Delaunay decomposition of the centers of a saturated packing of unit spheres, the densest possible tetrahedron is regular of size 2.

## Frustration

One could try to mimic the proof of Thue's theorem:

#### Lemma (Rogers, 1958)

In a Delaunay decomposition of the centers of a saturated packing of unit spheres, the densest possible tetrahedron is regular of size 2.

But there is no decomposition made of regular tetrahedra only! The global optimum (densest packing) is not locally optimal.

#### Frustration

One could try to mimic the proof of Thue's theorem:

#### Lemma (Rogers, 1958)

In a Delaunay decomposition of the centers of a saturated packing of unit spheres, the densest possible tetrahedron is regular of size 2.

But there is no decomposition made of regular tetrahedra only! The global optimum (densest packing) is not locally optimal.

This lemma only yields an upper bound on the optimal density:

$$\sqrt{2} \arccos \frac{23}{27} \approx 0.7796 > \frac{\pi}{3\sqrt{2}} \approx 0.7405.$$

# More frustration

#### Already in $\mathbb{R}^2$ , this situation is the norm for unequal circles:

#### Theorem (Florian, 1960)

In a Delaunay triangulation of the centers of a saturated packing of unequal circles, the densest possible triangle connects the centers of two smallest circles and a largest one, all mutually tangent.



There is no decomposition made of such triangles only (exercise). Again, this only yields an upper bound on the optimal density.

## Outline

A Proof from the Book

Things get worse

#### Localization

Some results

Some open questions

# The Problem

We have to maximize the density over all the packings in the plane. This is a nonconvex optimization pb. in infinitely many variables...

## The Problem

We have to maximize the density over all the packings in the plane. This is a nonconvex optimization pb. in infinitely many variables...

Can we reduce to finitely many variables over a compact set?

## The Problem

We have to maximize the density over all the packings in the plane. This is a nonconvex optimization pb. in infinitely many variables...

Can we reduce to finitely many variables over a compact set?

Even better: Can we express it in the elementary language of the real numbers (quantifiers, logical connectives,  $+, -, \times$ , variables, constants)?  $\rightsquigarrow$  This would, at least, be decidable (Tarski, 1931)

Decomposition: with each packing is associated a partition of the space into uniformly bounded cells.

The density varies through cells. What about the average density?

Decomposition: with each packing is associated a partition of the space into uniformly bounded cells.

The density varies through cells. What about the average density?

Weighting rule: each cell shares its density (actually a function of) among spheres within uniformly bounded distance.

Decomposition: with each packing is associated a partition of the space into uniformly bounded cells.

The density varies through cells. What about the average density?

Weighting rule: each cell shares its density (actually a function of) among spheres within uniformly bounded distance.

Local density inequality: an upper bound on the total weight received by each sphere.

Decomposition: with each packing is associated a partition of the space into uniformly bounded cells.

The density varies through cells. What about the average density?

Weighting rule: each cell shares its density (actually a function of) among spheres within uniformly bounded distance.

Local density inequality: an upper bound on the total weight received by each sphere.

Each local density inequality yields an upper bound on the density.

We have to find a local density inequality which

- is true;
- can be proved;
- yields an optimal upper bound on the density.

We have to find a local density inequality which

- is true;
- can be proved;
- yields an optimal upper bound on the density.

Such local density inequalities may not exist!

We have to find a local density inequality which

- is true;
- can be proved;
- yields an optimal upper bound on the density.

Such local density inequalities may not exist!

Several local density inequalities proposed for the Kepler conjecture still have an unclear status (including Hales' first attempt in 1992).

We have to find a local density inequality which

- is true;
- can be proved;
- yields an optimal upper bound on the density.

Such local density inequalities may not exist!

Several local density inequalities proposed for the Kepler conjecture still have an unclear status (including Hales' first attempt in 1992).

For unequal circles (see suite), I used an enhanced mix of the localizations proposed by Heppes in 2003 and Kennedy in 2004.

## Outline

A Proof from the Book

Things get worse

Localization

Some results

Some open questions

# Triangulated (or compact) circle packings

A packing is triangulated if its contact graph is a triangulation.

Triangulated (or compact) circle packings

A packing is triangulated if its contact graph is a triangulation.

Theorem (Kennedy, 2006)

There are exactly 9 sizes allowing a triangulated binary packing.



Triangulated (or compact) circle packings

A packing is triangulated if its contact graph is a triangulation.

Theorem (Kennedy, 2006)

There are exactly 9 sizes allowing a triangulated binary packing.



Theorem (Heppes 2000-03, Kennedy 2004, Bédaride-F. 2020)

In each case, the density is maximized by such a packing.

Triangulated (or compact) circle packings

A packing is triangulated if its contact graph is a triangulation.

Theorem (Kennedy, 2006)

There are exactly 9 sizes allowing a triangulated binary packing.



Theorem (Heppes 2000-03, Kennedy 2004, Bédaride-F. 2020) In each case, the density is maximized by such a packing.

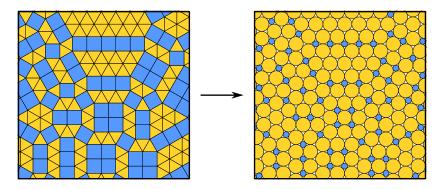
Theorem (Fernique-Hashemi-Sizova, 2019)

There are exactly 164 sizes allowing a triangulated ternary packing.



In some cases (not all), the density is maximized by such a packing.

# Playing with stoichiometry

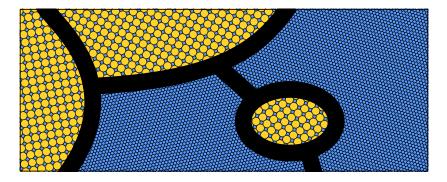


#### Theorem (F. 2020)

The densest packings with circles of size 1 and  $\sqrt{2}-1$  are

recodings of square-triangle tilings for a large circles excess;

# Playing with stoichiometry

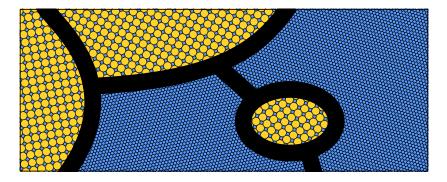


#### Theorem (F. 2020)

The densest packings with circles of size 1 and  $\sqrt{2} - 1$  are

- recodings of square-triangle tilings for a large circles excess;
- twinnings of two periodic packings otherwise.

# Playing with stoichiometry

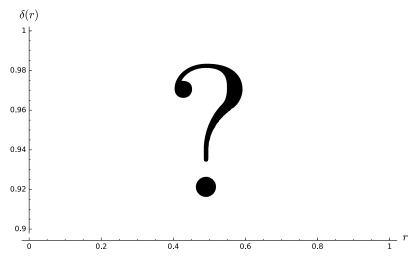


#### Theorem (F. 2020)

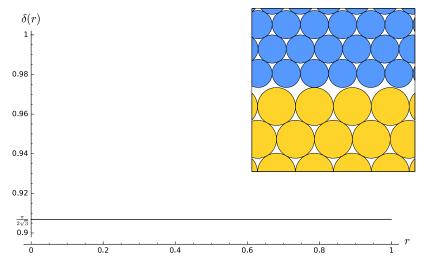
The densest packings with circles of size 1 and  $\sqrt{2}-1$  are

- recodings of square-triangle tilings for a large circles excess;
- twinnings of two periodic packings otherwise.

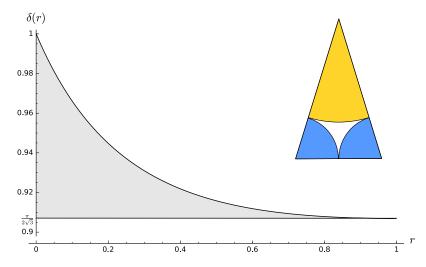
The maximum density as a function of the stoichiometry follows.



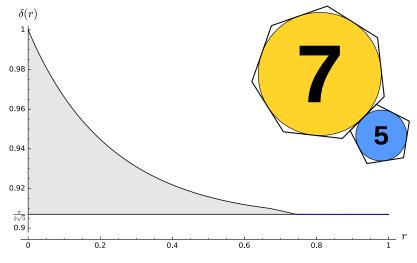
Maximal density  $\delta(r)$  of packings of circles of size 1 and r?



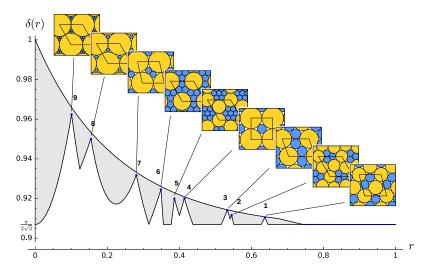
Trivial lower bound: the density of the hexagonal compact packing.



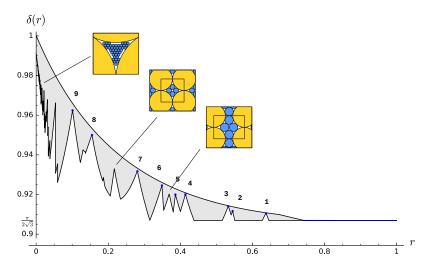
The already mentionned Florian's upper bound.



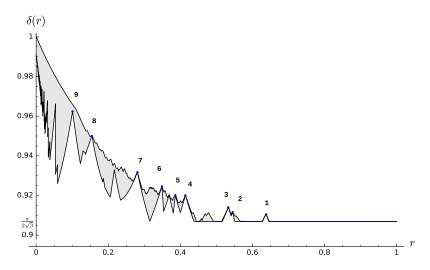
Blind's upper bound (1969) yields a sharp bound over [0.74...,1].



Flip & flow on the 9 triangulated binary packing  $\rightsquigarrow$  lower bound.



Flip & flow on any suitable packing improves the lower bound.



Massive computations for localization improve the upper bound.

#### Outline

A Proof from the Book

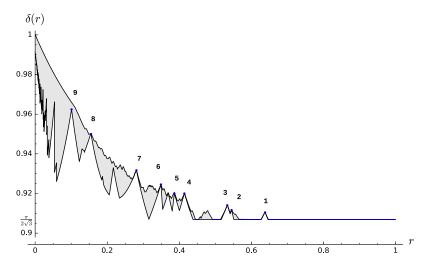
Things get worse

Localization

Some results

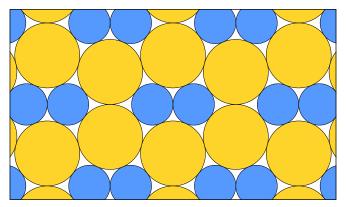
Some open questions

### The Complete picture for two sizes of circle



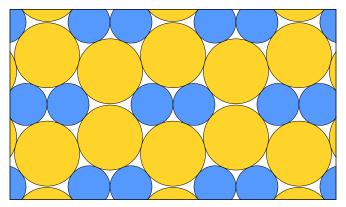
The localization is challenged by nontriangulated optimal packings and circles that fit well locally (around a circle), but not globally.

What is the largest r such that circles with sizes in [r, 1] can be packed more densely than all equal circles?



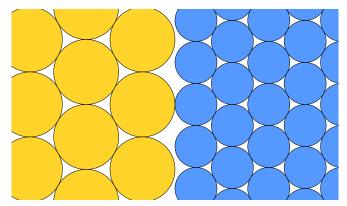
 $r \ge 0.6375 \dots$  (Tóth, 1964)  $r \le 0.7430 \dots$  (Blind, 1969).

What is the largest r such that circles with sizes in [r, 1] can be packed more densely than all equal circles?



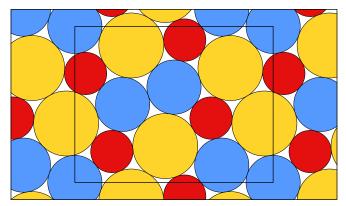
 $r \ge 0.6457 \dots$  (Tóth, 1964)  $r \le 0.7430 \dots$  (Blind, 1969).

What is the largest r such that circles with sizes in [r, 1] can be packed more densely than all equal circles?



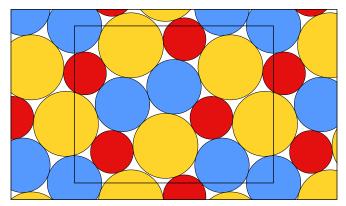
r < 0.6464 if there are only two sizes of circle (Fernique, 2020).

What is the largest r such that circles with sizes in [r, 1] can be packed more densely than all equal circles?



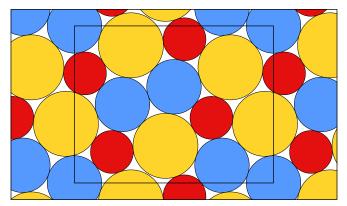
 $r \ge 0.6510...$  (Fernique-Hashemi-Sizova, 2018).

What is the largest r such that circles with sizes in [r, 1] can be packed more densely than all equal circles?



 $r \geq 0.6585...$  (Connelly-Pierre, 2019).

What is the largest r such that circles with sizes in [r, 1] can be packed more densely than all equal circles?



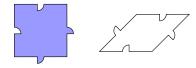
 $r \ge 0.6585...$  (Connelly-Pierre, 2019). Optimal? (Connelly-Zhang)

Does exist circle sizes such that the densest packings are aperiodic?

Does exist circle sizes such that the densest packings are aperiodic?

Theorem (Bédaride-Fernique, 2012)

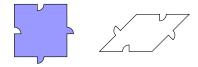
The tilings with the highest proportion of rhombus are aperiodic:



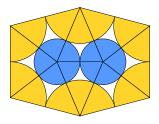
Does exist circle sizes such that the densest packings are aperiodic?

Theorem (Bédaride-Fernique, 2012)

The tilings with the highest proportion of rhombus are aperiodic:



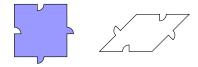
Density for triangulated packings  $\sim$  tile proportions for tilings:



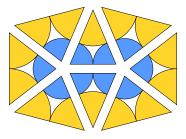
Does exist circle sizes such that the densest packings are aperiodic?

Theorem (Bédaride-Fernique, 2012)

The tilings with the highest proportion of rhombus are aperiodic:



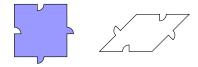
Density for triangulated packings  $\sim$  tile proportions for tilings:



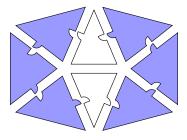
Does exist circle sizes such that the densest packings are aperiodic?

Theorem (Bédaride-Fernique, 2012)

The tilings with the highest proportion of rhombus are aperiodic:



Density for triangulated packings  $\sim$  tile proportions for tilings:



#### Some references

- H.-C. Chang and L.C. Wang, *A Simple proof of Thue's theorem on circle packing*, preprint, 2010 (<u>link</u>).
- J. C. Lagarias, *Bounds for local density of sphere packings and the Kepler conjecture*, Disc. & Comput. Geom., 2002 (<u>link</u>).
- A. Heppes, *Some densest two-size disc packings in the plane*, Disc. & Comput. Geom., 2003 (<u>link</u>).
- T. Kennedy, A Densest compact planar packing with two sizes of discs, preprint, 2004 (link).
- R. Connelly and M. Pierre, Maximally dense disc packings on the plane, preprint, 2019 (link).

#### Some references

- Th. Fernique, A. Hashemi, O. Sizova, *Compact packings of the plane with three sizes of discs*, Disc. & Comput. Geom., 2021. (link).
- N. Bédaride and Th. Fernique, *Density of binary disc packings:* the 9 compact packings, Disc. & Comput. Geom., 2022. (link).
- Th. Fernique, *Density of binary disc packings: playing with stoichiometry*, J. Comb. Th. A, 2023. (<u>link</u>).
- Th. Fernique, *Density of binary disc packings: lower and upper bounds*, Experimental mathematics, 2022. (<u>link</u>).
- N. Bédaride and Th. Fernique, *The Ammann-Beenker tilings revisited*, in Aperiodic Crystals, 2013 (<u>link</u>).