### Hopf Algebras of Diagrams and Deformations

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Conference on Combinatorics and Physics MPI March 19-33 3007



#### About the LDIAG Hopf algebra

In a relatively recent paper Bender, Brody and Meister (\*) introduce a special Field Theory described by a product formula (a kind of Hadamard product for two exponential generating functions - EGF) in the purpose of proving that any sequence of numbers could be described by a suitable set of rules applied to some type of Feynman graphs (see third Part of this talk).

These graphs label monomials and are obtained in the case of special interest when the two EGF have a constant term equal to unity.

Bender, C.M, Brody, D.C. and Meister, Quantum field theory of partitions, J. Math. Phys. Vol 40 (1999)



Some 5-line diagrams

If we write these functions as exponentials, we are led to witness a surprising interplay between the following aspects: algebra (of normal forms or of the exponential formula, Hopf structure), geometry (of one-parameter groups of transformations and their conjugates) and analysis (parametric Stieltjes moment problem and convolution of kernels). Today, we will first focus on the algebra. If time permits, we will touch on the other aspects.

#### **Construction of the Hopf algebra LDIAG**

# How these diagrams arise and which data structures are around them

#### Let F, G be two EGFs.

$$F = \sum_{n \ge 0} a_n \frac{y^n}{n!}; \ G = \sum_{m \ge 0} b_m \frac{y^m}{m!}; \ \mathcal{H}(F,G) := \sum_{n \ge 0} a_n b_n \frac{y^n}{n!}$$
$$\mathcal{H}(F,G) = F(y\frac{d}{dx})G(x)|_{x=0}$$

#### Called « product formula » in the QFTP of Bender, Brody and Meister.

In case F(0)=G(0)=1, one can set

$$\begin{split} F(y) &= exp(\sum_{n\geq 1}L_n\frac{y^n}{n!}) \quad G(x) = exp(\sum_{n\geq 1}V_m\frac{x^m}{m!})\\ \text{and then,} \quad \\ \mathcal{H}(F,G) &= F(y\frac{d}{dx})G(x)|_{x=0} = \end{split}$$

$$\sum_{n\geq 0} \frac{y^n}{n!} \sum_{|\alpha|=|\beta|=n} numpart(\alpha)numpart(\beta) \mathbb{L}^{\alpha} \mathbb{V}^{\beta}$$

with  $\alpha, \beta \in \mathbb{N}^{(\mathbb{N}^*)}$  multiindices

$$numpart(\alpha) = \frac{|\alpha|!}{(1!)^{a_1}(2!)^{a_2}\cdots(r!)^{a_r}(a_1)!(a_2)!\cdots(a_r)!}_{r_1}$$

We will adopt the notation

$$\alpha = 1^{a_1} 2^{a_2} \cdots r^{a_r}$$

for the *type* of a (set) partition which means that there are a<sub>1</sub> singletons a<sub>2</sub> pairs a<sub>3</sub> 3-blocks a<sub>4</sub> 4-blocks and so on.

The number of set partitions of type  $\alpha$  as above is well known (see Comtet for example)

$$numpart(\alpha) = \frac{|\alpha|!}{(1!)^{a_1}(2!)^{a_2}\cdots(r!)^{a_r}(a_1)!(a_2)!\cdots(a_r)!}$$

Then, with

$$F(y) = exp(\sum_{n\geq 1} L_n \frac{y^n}{n!}) \quad G(x) = exp(\sum_{n\geq 1} V_m \frac{x^m}{m!})$$

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$$F(y) = exp(\sum_{n\geq 1} L_n \frac{y^n}{n!}) \quad G(x) = exp(\sum_{n\geq 1} V_m \frac{x^m}{m!})$$

one has

$$\mathcal{H}(F,G) = F(y\frac{d}{dx})G(x)|_{x=0} =$$

$$\sum_{n\geq 0} \frac{y^n}{n!} \sum_{|\alpha|=|\beta|=n} numpart(\alpha)numpart(\beta) \mathbb{L}^{\alpha} \mathbb{V}^{\beta}$$

Now, one can count in another way the term  $numpart(\alpha)numpart(\beta)$ . Remarking that this is the number of pairs of set partitions (P1,P2) with type(P1)= $\alpha$ , type(P2)= $\beta$ . But every pair of partitions (P1,P2) has an intersection matrix ...



{5,6}

{3,4,6}

#### Now the product formula for EGFs reads

$$\mathcal{H}(F,G) = \sum_{d \ FB-diagram} \frac{y^{|d|}}{|d|!} \mathbb{L}^{\alpha(d)} \mathbb{V}^{\beta(d)}$$

$$\mathcal{H}(F,G) = \sum_{d \in \mathbf{diag}} \frac{y^{|d|}}{|d|!} mult(d) \mathbb{L}^{\alpha(d)} \mathbb{V}^{\beta(d)}$$

The main interest of these new forms is that we can impose rules on the counted graphs and we can call these (and their relatives) graphs : Feynman-Bender Diagrams of this theory (here, the simplified model of Quantum Field Theory of Partitions). One has now 3 types of diagrams :

• the diagrams with labelled edges (from 1 to |d|). Their set is denoted (see above) FB-diagrams.

 the unlabelled diagrams (where permutations of black and white spots are allowed). Their set is denoted (see above) diag.

• the diagrams, as drawn, with black (resp. white) spots ordered i.e. labelled. Their set is denoted **ldiag**.



Fig 1. — Diagram from  $P_1$ ,  $P_2$  (set partitions of  $[1 \cdots 11]$ ).  $P_1 = \{\{2,3,5\}, \{1,4,6,7,8\}, \{9,10,11\}\}$  and  $P_2 = \{\{1\}, \{2,3,4\}, \{5,6,7,8,9\}, \{10,11\}\}$ (respectively black spots for  $P_1$  and white spots for  $P_2$ ).

The incidence matrix corresponding to the diagram (as drawn) or these partitions is  $\begin{pmatrix} 0 & 2 & 1 & 0 \\ 1 & 1 & 3 & 0 \\ 0 & 0 & 1 & 2 \end{pmatrix}$ . But, due to the fact that the defining partitions are unordered, one can permute the spots (black and white, between themselves) and, so, the lines and columns of this matrix can be permuted. the diagram could be represented by the matrix  $\begin{pmatrix} 0 & 0 & 1 & 2 \\ 0 & 2 & 1 & 0 \\ 1 & 0 & 3 & 1 \end{pmatrix}$  as well.





Hopf algebra structure

(H,μ,Δ,1<sub>H</sub>,ε,α)

Satisfying the following axioms

- $(H,\mu,1_H)$  is an associative k-algebra with unit (here k will be a commutative field)
- $(H,\Delta,\epsilon)$  is a coassociative k-coalgebra with counit
- $\Delta$  : H -> H $\otimes$ H is a morphism of algebras
- $\alpha$  : H -> H is an anti-automorphism (the antipode) which is the inverse of Id for convolution.

Convolution is defined on End(H) by

 $\phi \bullet \psi = \mu \ (\phi \otimes \psi) \ \Delta$ 

with this law End(H) is endowed with a structure of associative algebra with unit  $1_{\rm H}\epsilon$ .

First step: Defining the spaces $Diag = \bigoplus_{d \in diagrams} \mathbf{C} d$  $LDiag = \bigoplus_{d \in labelled diagrams} \mathbf{C} d$ 

(functions with finite supports on the set of diagrams). At this stage, we have a natural arrow  $LDiag \rightarrow Diag$ .

Second step: The product on *Ldiag* is just the concatenation of diagrams

$$\mathbf{d}_1 \bigstar \mathbf{d}_2 = \mathbf{d}_1 \ \mathbf{d}_2$$

And, setting m(d,L,V,z)= $\mathbf{L}^{\alpha(d)}\mathbf{V}^{\beta(d)}z^{|d|}$ one gets m(d<sub>1</sub>\*d<sub>2</sub>,L,V,z)= m(d<sub>1</sub>,L,V,z)m(d<sub>2</sub>,L,V,z) This product is associative with unit (the empty diagram). It is compatible with the arrow  $LDiag \rightarrow Diag$  and so defines the product on Diag which, in turn, is compatible with the product of monomials.



The coproduct needs to be compatible with m(d,?,?,?). One has two symmetric possibilities (black spots and white spots). The « black spots coproduct » reads

$$\Delta_{\scriptscriptstyle \mathsf{BS}}(\mathsf{d}) = \Sigma \mathsf{d}_{\scriptscriptstyle \mathrm{I}} \otimes \mathsf{d}_{\scriptscriptstyle \mathrm{J}}$$

the sum being taken over all the decompositions, (I,J) of the Black Spots of d into two subsets. For example, with the following diagrams d,  $d_1$  and  $d_2$ 



If we concentrate on the multiplicative structure of *Ldiag*, we remark that the objects are in one-to-one correspondence with the so-called packed matrices of NCSFVI (Hopf algebra MQSym), but the product of MQSym is given (w.r.t. a certain basis **MS**) according to the following example

 $\mathbf{MS}\begin{bmatrix}2 & 1\\ 1 & 0\end{bmatrix}\mathbf{MS}_{[3 & 1]} = \\\mathbf{MS}\begin{bmatrix}2 & 1 & 0 & 0\\ 1 & 0 & 0 & 0\\ 0 & 0 & 3 & 1\end{bmatrix} + \mathbf{MS}\begin{bmatrix}2 & 1 & 0 & 0\\ 1 & 0 & 3 & 1\\ 1 & 0 & 0 & 0\end{bmatrix} + \mathbf{MS}\begin{bmatrix}2 & 1 & 0 & 0\\ 0 & 0 & 3 & 1\\ 1 & 0 & 0 & 0\end{bmatrix} + \mathbf{MS}\begin{bmatrix}2 & 1 & 3 & 1\\ 1 & 0 & 0 & 0\end{bmatrix} + \mathbf{MS}\begin{bmatrix}0 & 0 & 3 & 1\\ 2 & 1 & 0 & 0\\ 1 & 0 & 0 & 0\end{bmatrix}$ 

It is possible to (re)connect these Hopf algebras to MQSym and others of interest for physicists, by deforming the product with two parameters. The double deformation goes as follows

- Concatenate the diagrams
- Develop according to the rules :
  - Every crossing "pays" a q
  - Every node-stacking "pays" a q<sub>s</sub>

In the expansion, the weights are given by the intersection numbers.





 $+ q_c^2 q_s^6$ 

 $+ q_c^8$ 

# We could check that this law is associative (now three independent proofs). For example, direct computation reads

$$(au \uparrow bv) \uparrow cw = \left(a(u \uparrow bv) + q^{|u||b|}t^{|a||b|} \begin{bmatrix} b \\ a \end{bmatrix} (u \uparrow v) + q^{|au||b|}b(au \uparrow v)\right) \uparrow cw$$

$$\left[a((u \uparrow bv) \uparrow cw) + q^{(|u|+|bv|)|c|}t^{|a||c|} \begin{bmatrix} c \\ a \end{bmatrix} ((u \uparrow bv) \uparrow w) + q^{(|au|+|bv|)|c|}c(a(u \uparrow bv) \uparrow w)\right]$$

$$\left[q^{|u||b|}t^{|a||b|} \begin{bmatrix} b \\ a \end{bmatrix} (u \uparrow v \uparrow cw) + q^{|u||b|+(|u|+|v|)|c|}t^{|a||b|}t^{(|a|+|b|)|c|} \begin{bmatrix} c \\ b \\ a \end{bmatrix} (u \uparrow v \uparrow w)$$

$$q^{|u||b|+(|au|+|bv|)|c|}t^{|a||b|}c((\begin{bmatrix} b \\ a \end{bmatrix} (u \uparrow v)) \uparrow w)\right]$$

$$\left[q^{|au||b|}b((au \uparrow v) \uparrow cw) + q^{|au||b|+(|au|+|v|)|c|}t^{|b||c|} \begin{bmatrix} c \\ b \end{bmatrix} (au \uparrow v \uparrow w) + q^{|au||b|+(|au|+|bv|)|c|}c(b(au \uparrow v) \uparrow w)\right]$$

$$au \uparrow (bv \uparrow cw) = au \uparrow (b(v \uparrow cw) + q^{|v||c|}t^{|b||c|} \begin{bmatrix} c \\ b \end{bmatrix} (v \uparrow w) + q^{|bv||c|}c(bv \uparrow w)) = \\ \begin{bmatrix} a(u \uparrow b(v \uparrow cw)) + q^{|u||b|}t^{|a||b|} \begin{bmatrix} b \\ a \end{bmatrix} (u \uparrow v \uparrow cw) + q^{|au||b|}b(au \uparrow v \uparrow cw) \end{bmatrix} + \\ \begin{bmatrix} q^{|v||c|}t^{|b||c|}a(u \uparrow \begin{bmatrix} c \\ b \end{bmatrix} (v \uparrow w)) + q^{|v||c|+|u|(|c|+|b|)}t^{|b||c|+|a|(|b|+|c|)} \begin{bmatrix} c \\ b \\ a \end{bmatrix} (u \uparrow v \uparrow w) + \\ q^{|v||c|+|au|(|b|+|c|)}t^{|b||c|} \begin{bmatrix} c \\ b \end{bmatrix} (au \uparrow v \uparrow w) \end{bmatrix} + \\ \\ \frac{bv||c|}{a(u \uparrow c(bv \uparrow w))} + q^{(|u|+|bv|)|c|}t^{|a||c|} \begin{bmatrix} c \\ a \end{bmatrix} (u \uparrow bv \uparrow w) + q^{(|au|+|bv|)|c|}c(au \uparrow bv \uparrow w) \end{bmatrix} (3)$$

dans la deuxième expression, on regroupe les trois termes de tête des crochets et on trouve

q

$$a(u \uparrow b(v \uparrow cw)) + q^{|v||c|}t^{|b||c|}a(u \uparrow \begin{bmatrix} c\\ b \end{bmatrix} (v \uparrow w)) + q^{|bv||c|}a(u \uparrow c(bv \uparrow w)) = a(u \uparrow bv \uparrow cw)$$
(4)

dans la première expression, on regroupe les trois termes de queue des crochets et on trouve

$$q^{(|au|+|bv|)|c|}c(a(u\uparrow bv)\uparrow w) + q^{|u||b|+(|au|+|bv|)|c|}t^{|a||b|}c((\begin{bmatrix}b\\a\end{bmatrix}(u\uparrow v))\uparrow w) + q^{|au||b|+(|au|+|bv|)|c|}c(b(au\uparrow v)\uparrow w) = q^{(|au|+|bv|)|c|}c(au\uparrow bv\uparrow w)$$
(5)

This amounts to use a monoidal action with two parameters. Associativity provides an identity in an algebra which acts on a diagram as the algebra of the sum of symmetric semigroups. Here, it is the symmetric semigroup which acts on the black spots





The labelled diagrams are in one to one correspondence with the packed matrices of MQSym and we can see easily that the product of the latter is obtained for

$$q_c = 1 = q_s$$

**<u>Hopf interpolation</u>** : One can see that the more intertwined the diagrams are the fewer connected components they have. This is the main argument to prove that LDIAG( $q_{c_i} q_s$ ) is free on indecomposable diagrams. Therefore one can define a coproduct on these generators by

$$\Delta_{t} = (1-t)\Delta_{BS} + t \Delta_{MQSym}$$

this is LDIAG( $q_{c}, q_{s}, t$ ).



Notes :

i) The arrow *Planar Dec. Trees*  $\rightarrow$  *LDIAG*(1,q,t) is due to L. Foissy

ii) LDIAG, through a noncommutative alphabetic realization shows to be a bidendriform algebra (FPSAC07 paper by ParisXIII & Monge). (A part of) The legacy of Schützenberger or how to compute efficiently in Sweedler's duals using Automata Theory

Sweedler's dual of a Hopf algebra *i) Multiplication*   $\mathcal{A} \otimes \mathcal{A} \xrightarrow{\mu} \mathcal{A}$  *ii)* By dualization one gets  $(\mathcal{A})^* \longrightarrow (\mathcal{A} \otimes \mathcal{A})^*$ but not a "stable calculus" as  $(\mathcal{A})^* \otimes (\mathcal{A})^* \subset (\mathcal{A} \otimes \mathcal{A})^*$ 

(strict in general). We ask for elements  $x \in \mathcal{A}$  such that  ${}^{t}\mu(x) \in (\mathcal{A})^{*} \otimes (\mathcal{A})^{*}$  These elements are easily characterized as the "representative linear forms" (see also the Group-Theoretical formulation in the last talk of Pierre Cartier)

**<u>Proposition</u>**: TFAE (the notations being as above) i)  ${}^{t}\mu(c) \in (\mathcal{A})^{*} \otimes (\mathcal{A})^{*}$ ii) There are functions  $f_{i}, g_{i} = 1, 2...n$  such that  $c(xy) = \sum_{i=1}^{n} f_{i}(x) g_{i}(y)$ 

forall x,y in  $\mathcal{A}$  .

 iii) There is a morphism of algebras μ: A --> k<sup>n x n</sup> (square matrices of size n x n), a line λ in k<sup>1 x n</sup> and a column ξ in k<sup>n x 1</sup> such that, for all z in A,

 $c(z) = \lambda \mu(z) \xi$ 

#### In many "Combinatorial" cases, we are concerned with the case $\mathcal{A}$ = k<A> (non-commutative polynomials with coefficients in a field k).

Indeed, one has the following theorem (the beginning can be found in [ABE : Hopf algebras]) and the end is one of the starting points of Schützenberger's school of automata and language theory. <u>Theorem A:</u> TFAE (the notations being as above) i)  $^{t}\mu(c) \in (\mathcal{A})^{*} \otimes (\mathcal{A})^{*}$ ii) There are functions  $f_i, g_i = 1, 2... n$  such that  $c(uv) = \sum_{i=1}^{n} f_{i}(u) g_{i}(v)$ u,v words in A<sup>\*</sup> (the free monoid of alphabet A). iii) There is a morphism of monoids  $\mu$ :  $A^* -> k^{n \times n}$ (square matrices of size n x n), a row  $\lambda$  in k<sup>1 x n</sup> and a column  $\xi$  in  $k^{n \times 1}$  such that, for all word w in  $A^*$ 

 $c(w) = \lambda \mu(w) \xi$ 

iv) (Schützenberger) (If A is finite) c lies in the rational closure of A within the algebra k<<A>>. We can safely apply the first three conditions of <u>Theorem A</u> to *Ldiag*. The monoid of labelled diagrams is free, but with an infinite alphabet, so we cannot keep Schützenberger's equivalence at its full strength and have to take more "basic" functions. The modification reads

iv) (A is infinite) c is in the rational closure of the weighted sums of letters

 $\sum_{a \in A} p(a) a$ 

within the algebra k<<A>>.

*iii) Schützenberger's* theorem (known as the theorem of Kleene-Schützenberger) could be rephrased in saying that functions in a Sweedler's dual are behaviours of finite (state and alphabet) automata.



#### Computations in K<sup>rat</sup><<A>>, Sweedler's dual of K<A>

 $\begin{array}{l} \textbf{Summability: We say that a family (f_i)_{i\in I} (I finite or not, f_i in K^{rat} << A>>) is summable if, for each w \in A*, the family (<f_i|w>)_{i\in I} is finitely supported and we set (<math>\sum_{i\in I} f_i$ ): w  $\rightarrow (\sum_{i\in I} < f_i|w>)$ 

Identifying each word with the Dirac linear form located at the word, one has then, for each  $f \in K < <A >>$ 

$$f = \sum_{w \in A^*} f(w)w$$

If  $f \in K^{rat} << A>>$ , it exists a morphism of monoids  $\mu: A^* -> K^{n \times n}$  (square matrices of size  $n \times n$ ), a row  $\lambda$  in  $k^{1 \times n}$  and a column  $\xi$  in  $k^{n \times 1}$  such that, for all word w in  $A^*$ ,  $f(w) = \lambda \mu(w) \xi$ . Then

$$f = \sum_{w \in A^*} f(w)w = \sum_{w \in A^*} \lambda \mu(w)\xi w = \lambda(\sum_{w \in A^*} \mu(w)w)\xi =$$

$$\lambda(\sum_{w \in A^*} \mu(w)w) \xi = \lambda(\sum_{m \ge 0} \sum_{|w|=m} \mu(w)w) \xi$$

But, as words and scalars commute (it is so by construction of the convolution algebra K<sup>n × n</sup> <<A>>), one has

$$\sum_{m\geq 0} \sum_{|w|=m} \mu(w)w = \sum_{m\geq 0} (\sum_{a\in A} \mu(a)a)^m = (\sum_{a\in A} \mu(a)a)^*$$

$$f = \lambda(\sum_{a \in A} \mu(a)a) * \xi$$

where the "star" stands for the sum of the geometric series.

If Q is a finite set, the space  $k^{Q \times Q}$  of square matrices with indices in Q and coefficients in k has a natural semiring structure with the usual operations (sum and product). A (right) star of  $M \in k^{Q \times Q}$  (when it exists) is a solution of the equation  $MY + 1_{Q \times Q} = Y$  (where  $1_{Q \times Q}$  is the identity matrix). Let  $M \in k^{Q \times Q}$  be given by

$$M = \left(\begin{array}{cc} a_{11} & a_{12} \\ a_{21} & a_{22} \end{array}\right)$$

where  $a_{11} \in k^{Q_1 \times Q_1}$ ,  $a_{12} \in k^{Q_1 \times Q_2}$ ,  $a_{21} \in k^{Q_2 \times Q_1}$  and  $a_{22} \in k^{Q_2 \times Q_2}$  such that  $Q_1 + Q_2 = Q$ . Let  $N \in k^{Q \times Q}$  given by

$$\mathsf{N} = \left(\begin{array}{cc} A_{11} & A_{12} \\ A_{21} & A_{22} \end{array}\right)$$



#### A (short) word on automata theory.

• The formulas (for the star\* of a matrix) above are sufficiently "expressive" to be the crucial fact in the resolution of a conjecture in Noncommutative Geometry.

• For applications, automata theory had to cope with spaces of coefficients much more general than that of a field ... even the "minus" operation of the rings had to disappear to be able to cope with problems like shortest path or the Noncommutative problem or the shortest path with list of minimal arcs .

The emerging structure is that of a semiring. Think of a ring without the "minus" operation, nevertheless "transfer" matrix computations can be performed.

The input alphabet being set by the automaton under consideration, we will here rather focus on the definition of semirings providing transition coefficients. For convenience, we first begin with various laws on  $\mathbb{R}_+ := [0, +\infty[$  including

- 1. + (ordinary sum)
- 2.  $\times$  (ordinary product)
- 3. min (if over [0, 1], with neutral 1, otherwise must be extended to  $[0, +\infty]$  and then, with neutral  $+\infty$ ) or max
- 4.  $+_a$  defined by  $x +_a y := log_a(a^x + a^y)$ (a > 0)
- 5. +<sub>[n]</sub> (Hölder laws) defined by  $x +_{[n]} y := \sqrt[n]{x^n + y^n}$
- 6.  $+^{s}$  (shifted sum,  $x +^{c} y := x + y 1$ , over whole  $\mathbb{R}$ , with neutral 1)
- 7.  $\times^{c}$  (complemented product, x + y xy, can be extended also to whole  $\mathbb{R}$ , stabilizes the range of probabilities or fuzzy [0, 1] and is distributive over the shifted sum)

As (useful) examples, one has  $([0,+\infty], \min, +)$ ,  $([0,+\infty[, \max, +) \text{ or its})$ (commutative or not) variants.

#### What remains for K<A> ? (free algebra)

• K semiring :

- Universal properties (comprising – little known - tensor products)

- Complete semiring K<<A>>, summability is defined by pointwise convergence (see computation above).

- Rational closures and Kleene-Schützenberger Thm
- Rational expressions, Brzozowski theorem
- Automata theory, theory of codes
- Lazard's monoidal elimination

#### Concluding remarks and future

*i*) The diagrams of diag are well suited to EGFs. What are the good data structures for other ones ?

*ii*) One can change the constants  $V_k=1$  to a condition with level (i.e.  $V_k=1$  for k $\leq$ N and  $V_k=0$  for k>N). We obtain then sub-Hopf algebras of the one constructed above. These can apply to the manipulation of partition functions of physical models including Free Boson Gas, Kerr model and Superfluidity.

#### Concluding remarks and future (cont'd)

*iii)* The deformation above is likely to be decomposed in two deformation processes ; twisting (already investigated in NCSFIII) and shifting (ongoing work with JGL and al.). Also, it could have a connection with other well known associators.

*iv)* The identity on the symmetric semigroup can be lifted to a more general monoid which takes into account the operations of concatenation and stacking which are so familiar to Computer Scientists (ongoing work in LIPN).

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## Thank You