

Maximally Dense Sphere Packings

Thomas Fernique



CNRS & Université Paris Nord

Outline

A Proof from the Book

Things get worse

Localization

Some results

Some open questions

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Thue's theorem

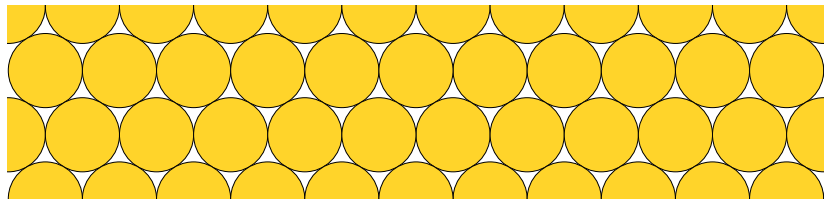
A **packing** of spheres in \mathbb{R}^n is a union of interior disjoint balls.
Its **density** is defined by

$$\delta := \limsup_{k \rightarrow \infty} \frac{\text{area of } B_n(0, k) \text{ covered by the spheres}}{\text{volume of } B_n(0, k)}.$$

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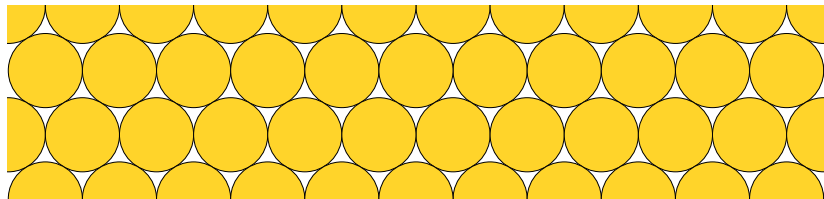
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Theorem (Thue 1910, Tóth 1943)

Any packing of equal circles in \mathbb{R}^2 has density at most $\frac{\pi}{2\sqrt{3}}$.

Proof (following H.-C. Chang & L.-C. Wang, 2010)

Delaunay triangulation: circumscribed circles have empty interior.

Saturated packing: no further circle can be added.

Lemma

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This yields a circumradius $R = \frac{\overline{AC}}{2 \sin \hat{B}} \geq 2$: a new circle can thus be added at the circumcenter. Contradiction $\rightsquigarrow \hat{A} < \frac{2\pi}{3}$.

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- ▶ The area is $\frac{1}{2} \sin \hat{A} \cdot \overline{AB} \cdot \overline{AC} \geq \sqrt{3}$, covered by half a unit disc.

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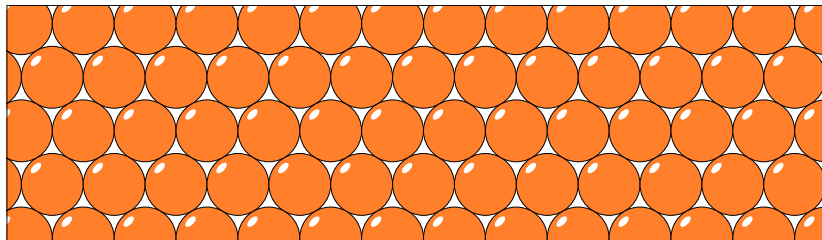
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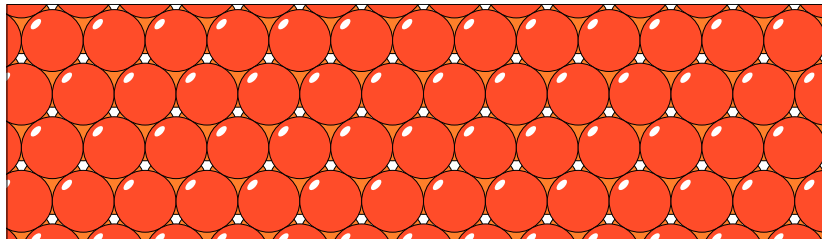
The Kepler conjecture

In \mathbb{R}^3 , close-packings of equal spheres achieve the density $\frac{\pi}{3\sqrt{2}}$:



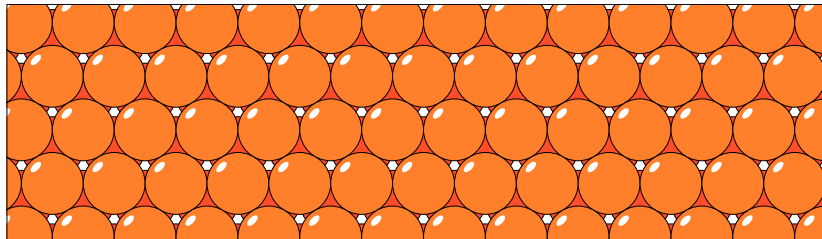
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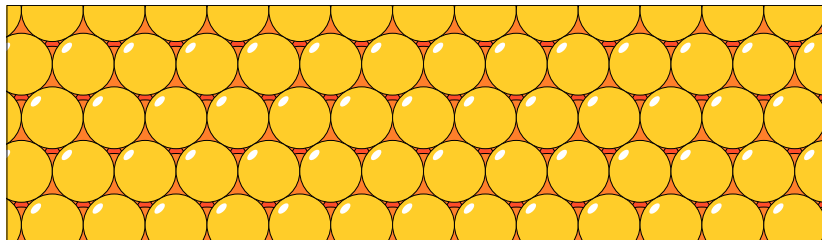
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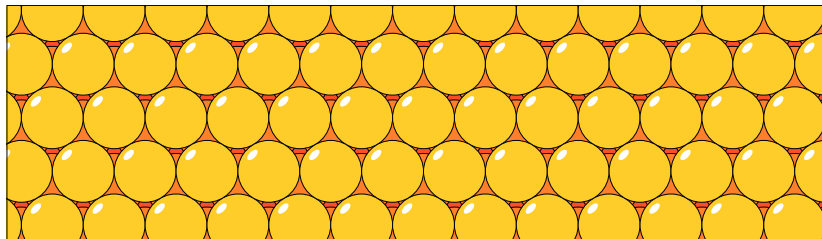
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Theorem (Hales-Ferguson, 1992–2014)

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Frustration

One could try to mimic the proof of Thue's theorem:

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The global optimum (densest packing) is not locally optimal.

This lemma only yields an upper bound on the optimal density:

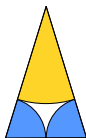
$$\sqrt{2} \arccos \frac{23}{27} \approx 0.7796 > \frac{\pi}{3\sqrt{2}} \approx 0.7405.$$

More frustration

Already in \mathbb{R}^2 , this situation is the norm for **unequal** circles:

Theorem (Florian, 1960)

In a Delaunay triangulation of the centers of a saturated packing of unequal circles, the densest possible triangle connects the centers of two smallest circles and a largest one, all mutually tangent.



There is no decomposition made of such triangles only (exercise).
Again, this only yields an upper bound on the optimal density.

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Even better:

Can we express it in the elementary language of the real numbers
(quantifiers, logical connectives, $+$, $-$, \times , variables, constants)?

\rightsquigarrow This would, at least, be **decidable** (Tarski, 1931)

Localization (following J. C. Lagarias, 2002)

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Each local density inequality yields an upper bound on the density.

Localization in practice

We have to find a local density inequality which

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For **unequal circles** (see suite), I used an enhanced mix of the localizations proposed by Heppes in 2003 and Kennedy in 2004.

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Triangulated (or compact) circle packings

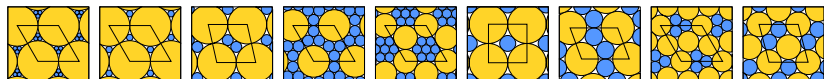
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Theorem (Kennedy, 2006)

There are exactly 9 sizes allowing a triangulated binary packing.

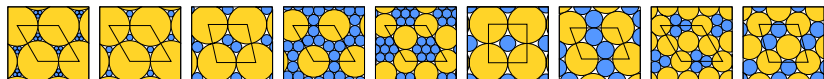


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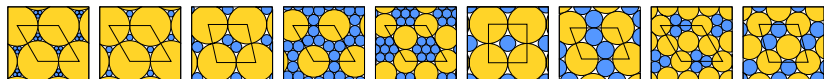
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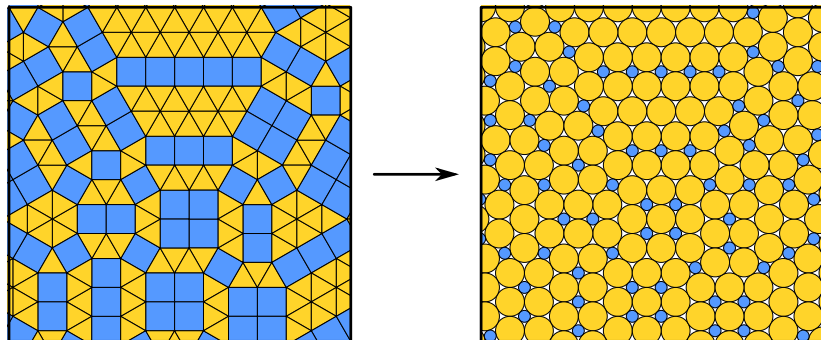
Theorem (Fernique-Hashemi-Sizova, 2019)

There are exactly 164 sizes allowing a triangulated ternary packing.



In some cases (not all), the density is maximized by such a packing.

Playing with stoichiometry

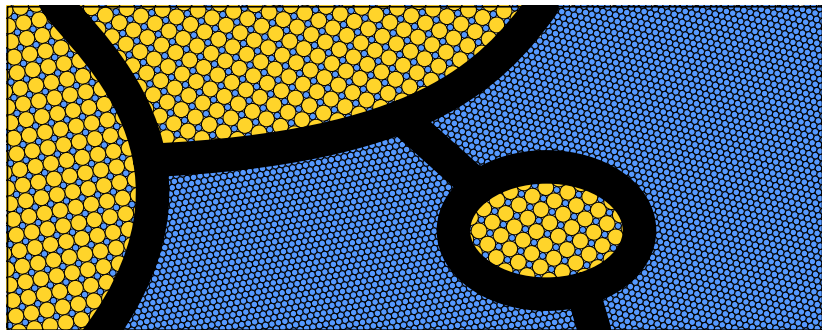


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The densest packings with circles of size 1 and $\sqrt{2} - 1$ are

- ▶ *recodings of square-triangle tilings for a large circles excess;*

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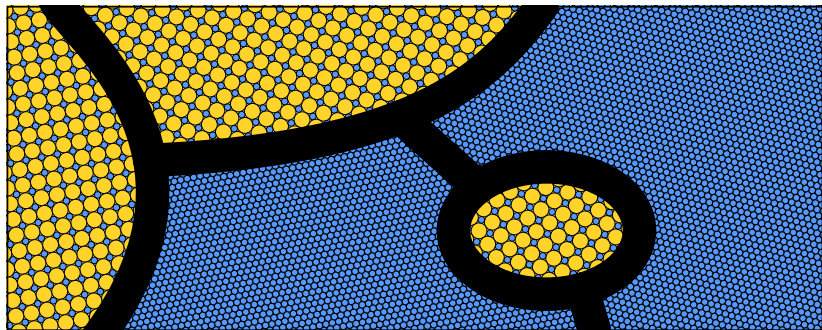


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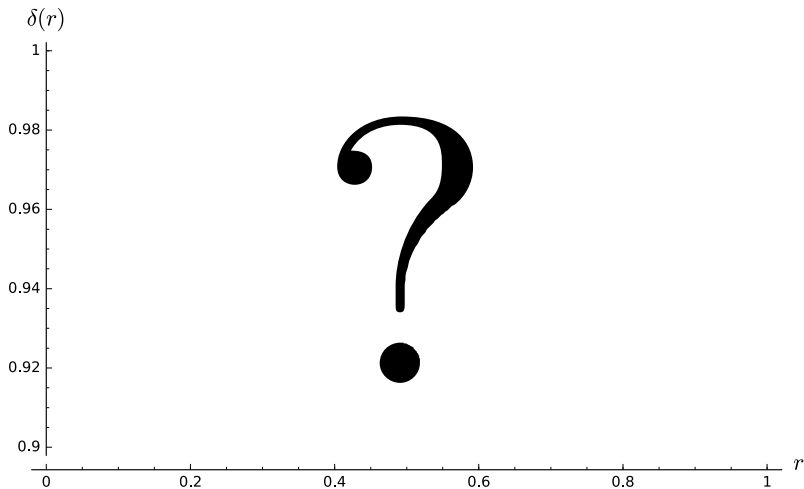
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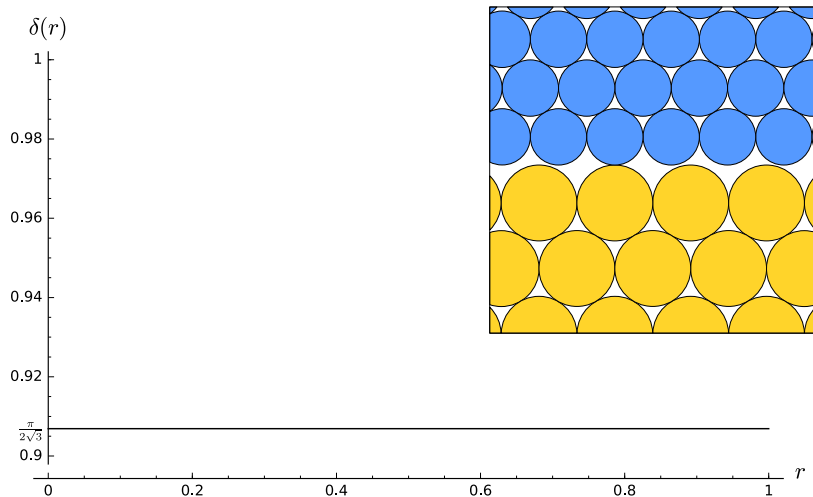
The maximum density as a function of the stoichiometry follows.

Global picture for two sizes of circle



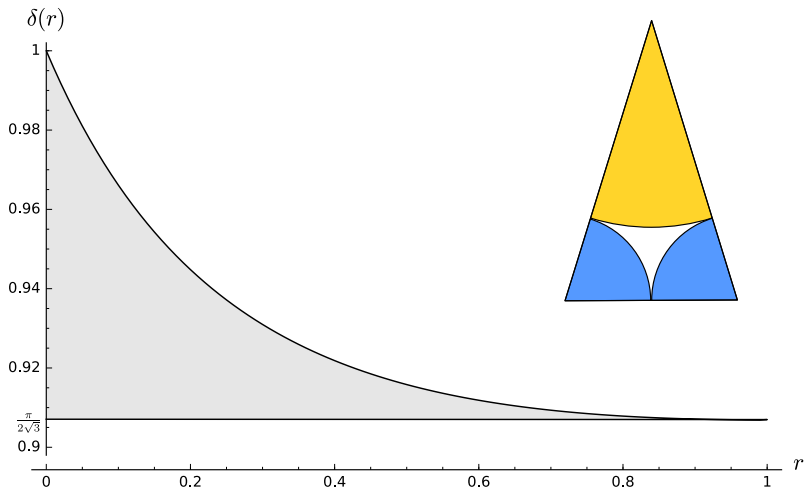
Maximal density $\delta(r)$ of packings of circles of size 1 and r ?

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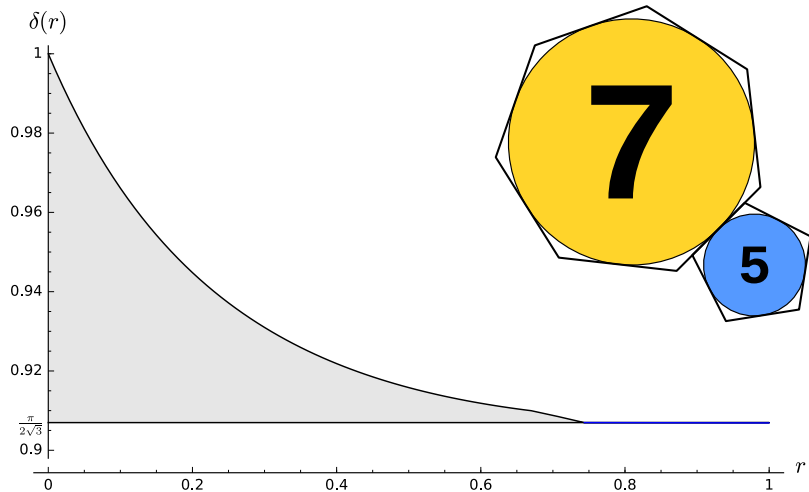
Trivial lower bound: the density of the hexagonal compact packing.

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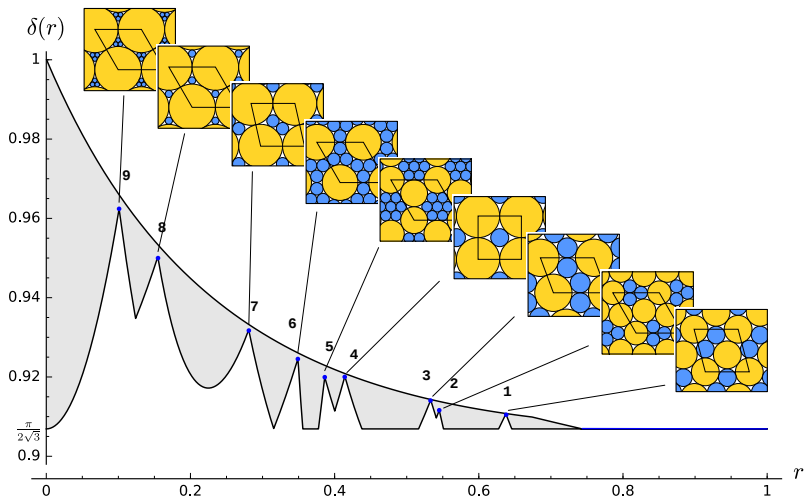
The already mentioned Florian's upper bound.

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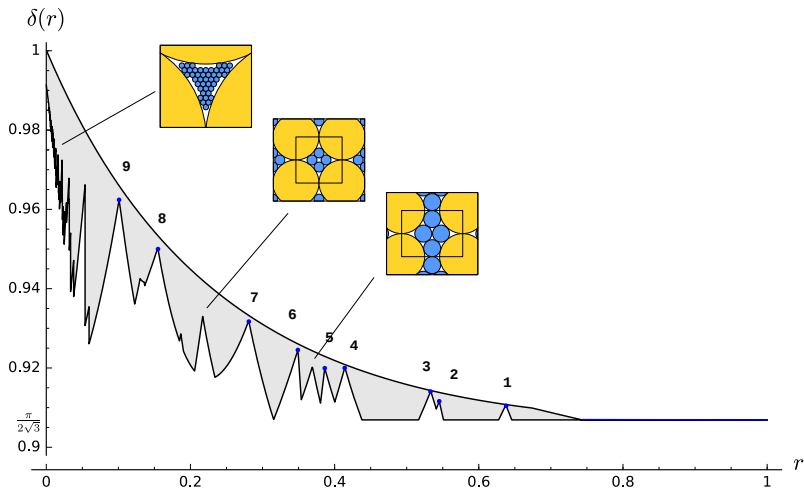
Blind's upper bound (1969) yields a sharp bound over $[0.74\dots, 1]$.

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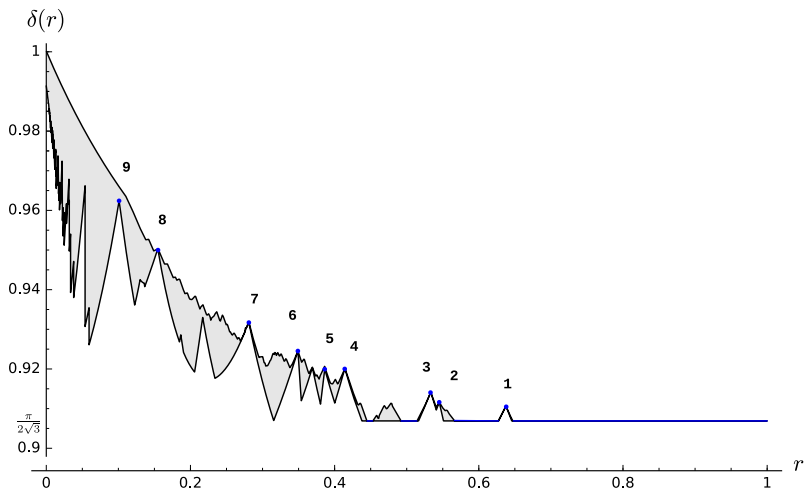
Flip & flow on the 9 triangulated binary packing \rightsquigarrow lower bound.

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Flip & flow on any suitable packing improves the lower bound.

Global picture for two sizes of circle



Massive computations for localization improve the upper bound.

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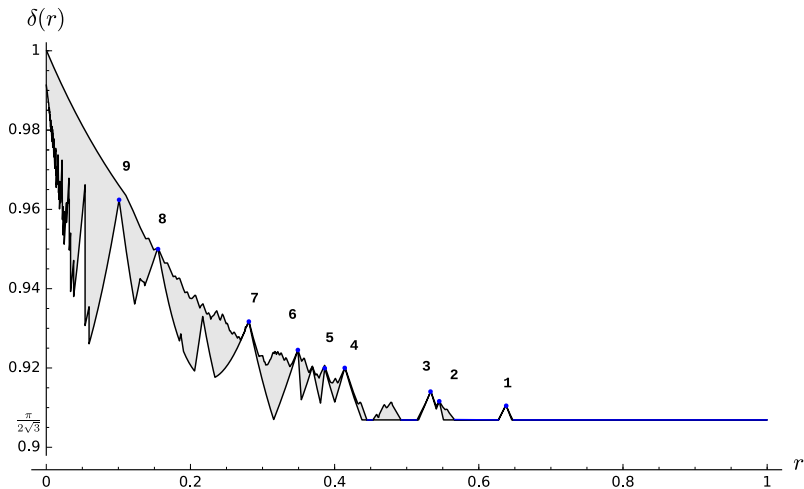
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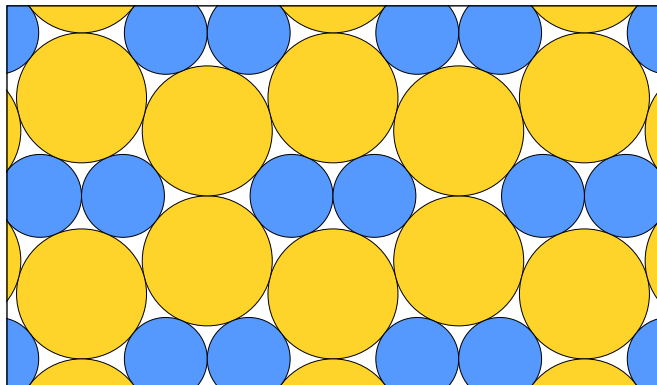
The Complete picture for two sizes of circle



The localization is challenged by nontriangulated optimal packings and circles that fit well **locally** (around a circle), but not **globally**.

The most uniform packing

What is the largest r such that circles with sizes in $[r, 1]$ can be packed more densely than all equal circles?

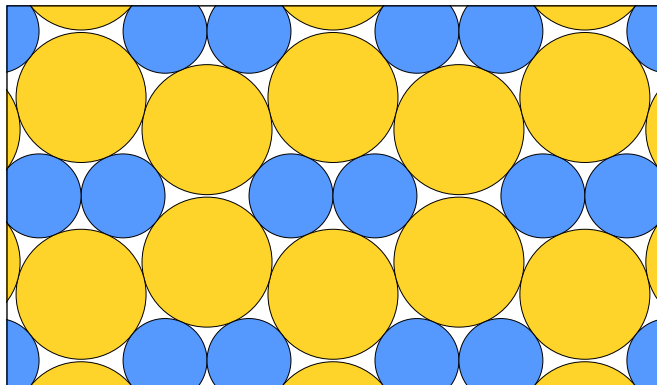


$$r \geq 0.6375 \dots \text{ (Tóth, 1964)}$$

$$r \leq 0.7430 \dots \text{ (Blind, 1969).}$$

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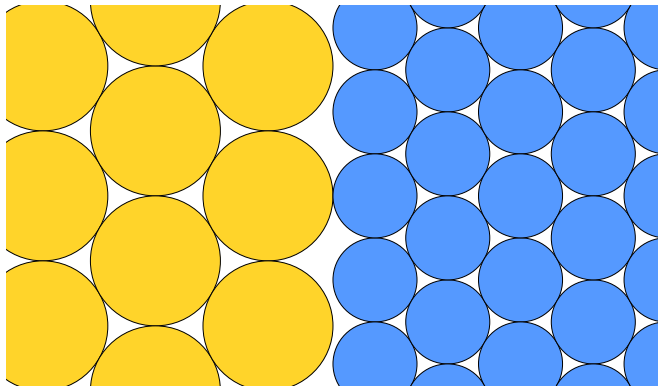


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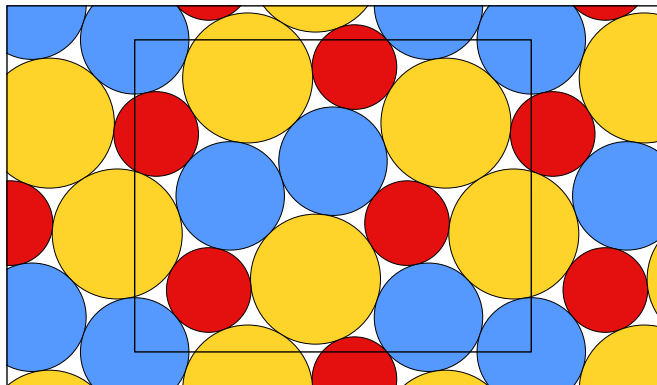
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$r < 0.6464$ if there are only **two** sizes of circle (Fernique, 2020).

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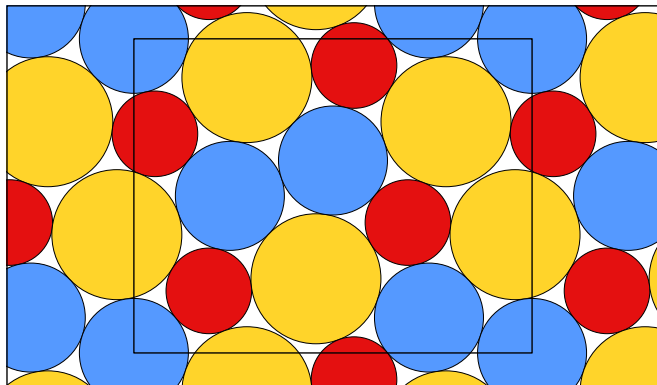
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$r \geq 0.6510\dots$ (Fernique-Hashemi-Sizova, 2018).

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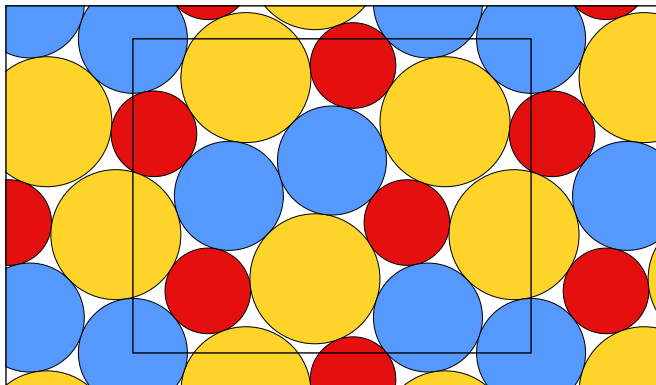
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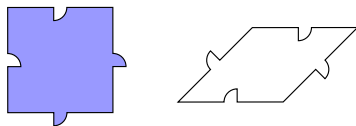
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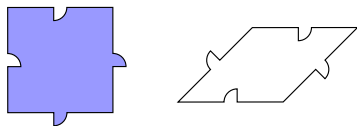


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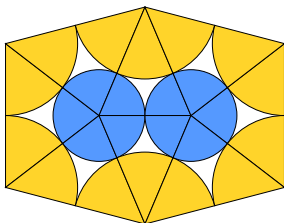
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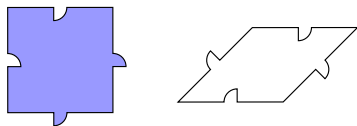


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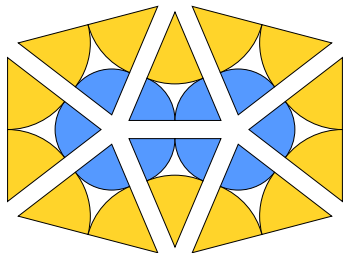
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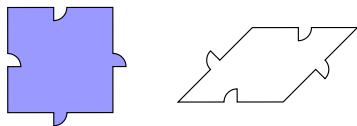


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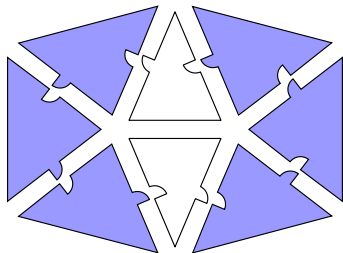
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Some references

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-  J. C. Lagarias, *Bounds for local density of sphere packings and the Kepler conjecture*, *Disc. & Comput. Geom.*, 2002 ([link](#)).
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-  Th. Fernique, *Density of binary disc packings: playing with stoichiometry*, preprint, 2020 ([link](#)).
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