



# A subtyping algorithm for intersection and union types

Claude Stolze

IRIF - Université de Paris

Journée CoGITARe - November 7, 2019

# Outline

- Polymorphism in the  $\lambda$ -calculus
- Subtyping as an effective semantic tool to increase expressivity on programming languages
- The power of intersection  $\cap$  and union  $\cup$  types assigned to pure lambda-calculus
- Description of the subtyping algorithm

# Simply typed $\lambda$ -calculus (Curry-style)

- Types:  $\sigma ::= \varphi \mid \sigma_1 \rightarrow \sigma_2$   $\varphi$  is an atomic type
- We note  $\sigma \rightarrow \tau \rightarrow \rho$  for  $\sigma \rightarrow (\tau \rightarrow \rho)$

- Typing rules:

$$\begin{array}{l} (x:\sigma) \in \Gamma \Rightarrow \Gamma \vdash x : \sigma \\ \Gamma \vdash M : \sigma \rightarrow \tau \text{ and } \Gamma \vdash N : \sigma \Rightarrow \Gamma \vdash M N : \tau \\ \Gamma, x:\sigma \vdash M : \tau \Rightarrow \Gamma \vdash \lambda x.M : \sigma \rightarrow \tau \end{array}$$

- Preferred notation for the typing rules:

$$\begin{array}{l} \frac{(x:\sigma) \in \Gamma}{\Gamma \vdash x : \sigma} \text{ (Var)} \quad \frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash M N : \tau} \text{ } (\rightarrow E) \\ \frac{\Gamma, x:\sigma \vdash M : \tau}{\Gamma \vdash \lambda x.M : \sigma \rightarrow \tau} \text{ } (\rightarrow I) \end{array}$$

## Example

$$\frac{\frac{\frac{f:\sigma \rightarrow \tau, x:\sigma \vdash f : \sigma \rightarrow \tau \quad f:\sigma \rightarrow \tau, x:\sigma \vdash x:\sigma}{f:\sigma \rightarrow \tau, x:\sigma \vdash f x : \tau}}{f:\sigma \rightarrow \tau \vdash \lambda x.f x : \sigma \rightarrow \tau}}{\vdash \lambda f.\lambda x.f x : (\sigma \rightarrow \tau) \rightarrow \sigma \rightarrow \tau}$$

## Example

$$\frac{\frac{\frac{f:\sigma \rightarrow \tau, x:\sigma \vdash f : \sigma \rightarrow \tau \quad f:\sigma \rightarrow \tau, x:\sigma \vdash x:\sigma}{f:\sigma \rightarrow \tau, x:\sigma \vdash f x : \tau}}{f:\sigma \rightarrow \tau \vdash \lambda x.f x : \sigma \rightarrow \tau}}{\vdash \lambda f.\lambda x.f x : (\sigma \rightarrow \tau) \rightarrow \sigma \rightarrow \tau}$$

Notice that, in this case, the type is **not unique**: there is an infinity of possible types:

- $\vdash \lambda f.\lambda x.f x : (\tau \rightarrow \sigma) \rightarrow \tau \rightarrow \sigma$
- $\vdash \lambda f.\lambda x.f x : (\sigma \rightarrow \sigma) \rightarrow \sigma \rightarrow \sigma$
- $\vdash \lambda f.\lambda x.f x : (\sigma \rightarrow \tau \rightarrow \rho) \rightarrow \sigma \rightarrow \tau \rightarrow \rho$
- ...

## Girard's parametric polymorphism ( $\lambda 2$ )

- Idea: add the **quantifier**  $\forall$  in types, on order to deal with polymorphism
- Rules: the same as in the simply-typed  $\lambda$ -calculus, and:

$$\frac{\Gamma \vdash M : \sigma \quad \alpha \notin FV(\Gamma)}{\Gamma \vdash M : \forall \alpha. \sigma} (\forall I) \quad \frac{\Gamma \vdash M : \forall \alpha. \sigma}{\Gamma \vdash M : \sigma[\alpha := \tau]} (\forall E)$$

- OCaml implements a subset of  $\lambda 2$ , thanks to the Damas-Milner type inference algorithm:

```
# (fun f -> fun x -> f x);;  
- : ('a -> 'b) -> 'a -> 'b = <fun>
```

# Barendregt-Coppo-Dezani's *ad hoc* polymorphism ( $\lambda \cap$ )

- No  $\forall$  quantifier
- Instead, a  $\cap$  operator indicating that a term can have several types:

$$\frac{\Gamma \vdash M : \sigma \quad \Gamma \vdash M : \tau}{\Gamma \vdash M : \sigma \cap \tau} (\cap I) \quad \frac{\Gamma \vdash M : \sigma_1 \cap \sigma_2}{\Gamma \vdash M : \sigma_i} (\cap E_i)$$

- Example :  $\vdash \lambda f. \lambda x. f x : ((\sigma \rightarrow \tau) \rightarrow \sigma \rightarrow \tau) \cap ((\tau \rightarrow \sigma) \rightarrow \tau \rightarrow \sigma)$
- Example :  $\vdash \lambda x. x x : ((\sigma \rightarrow \tau) \cap \sigma) \rightarrow \tau$

# Barbanera-Dezani-de'Liguoro union types ( $\lambda\cap\cup$ )

- Another form of *ad hoc* polymorphism

$$\frac{\Gamma \vdash M : \sigma_i}{\Gamma \vdash M : \sigma_1 \cup \sigma_2} (\cup I_i) \quad \frac{\begin{array}{l} \Gamma, x:\sigma_1 \vdash M : \sigma_3 \\ \Gamma, x:\sigma_2 \vdash M : \sigma_3 \end{array} \quad \Gamma \vdash N : \sigma_1 \cup \sigma_2}{\Gamma \vdash M[N/x] : \sigma_3} (\cup E)$$

- Example :  $\vdash \lambda x.x : (\sigma \rightarrow \sigma) \cup \sigma$
- Example :  $\vdash \lambda f.\lambda x.f x : ((\sigma \rightarrow \rho) \cap (\tau \rightarrow \rho)) \rightarrow (\sigma \cup \tau) \rightarrow \rho$



# Subtyping in programming languages 1/3

- Subtyping, denoted by  $\leq$  is a form of **implicit polymorphism** (aka **implicit type conversion** or **type coercion**)

# Subtyping in programming languages 1/3

- Subtyping, denoted by  $\leq$  is a form of **implicit polymorphism** (aka **implicit type conversion** or **type coercion**)
- Subtyping allows us to **implicitly** and **safely** promote some variables of some type into another type

```
int x = 3;           x is an integer
float y = 4.0;      y is a float
float z = x + y;    x is is implicitly coerced into a float
                    // the result is 7.0
```

# Subtyping in programming languages 1/3

- Subtyping, denoted by  $\leq$  is a form of **implicit polymorphism** (aka **implicit type conversion** or **type coercion**)
- Subtyping allows us to **implicitly** and **safely** promote some variables of some type into another type

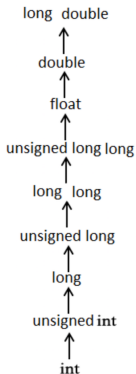
```
int x = 3;                x is an integer
float y = 4.0;           y is a float
float z = x + y;         x is is implicitly coerced into a float
                        // the result is 7.0
```

- Subtyping is not an **explicit type conversion** (aka **type casting**)

```
float x = 3.3;           x is a float
float y = 4.7;           y is a float
int z = (int)x + (int)y; x and y are casted into integers
                        // the result is 7
```

# Subtyping in programming languages 2/3

## Subtyping hierarchy in C



## Subtyping rule

$$\frac{\Gamma \vdash M : \sigma \quad \sigma \leq \tau}{\Gamma \vdash M : \tau} (\leq)$$

## Subtyping in OO programming languages 3/3

- Subtyping lurks also in object-oriented programming

*“An object of class  $T$  may be substituted with any object of a subclass  $S$ ”*  
*(Barbara Liskov substitution principle)*

- Inheritance as subtyping

# Subtyping in OO programming languages 3/3

- Subtyping lurks also in object-oriented programming

*“An object of class T may be substituted with any object of a subclass S”*  
*(Barbara Liskov substitution principle)*

- Inheritance as subtyping
- Subtyping hierarchy in Java

```
class Point { int x = 0; int y = 0; }  
class ColPoint extends Point with { String col = "red"; }  
  
Point p = new Point();  
ColPoint q = new ColPoint();
```

# Subtyping in OO programming languages 3/3

- Subtyping lurks also in object-oriented programming

*“An object of class T may be substituted with any object of a subclass S”*  
*(Barbara Liskov substitution principle)*

- Inheritance as subtyping
- Subtyping hierarchy in Java

```
class Point { int x = 0; int y = 0; }  
class ColPoint extends Point with { String col = "red"; }
```

```
Point p = new Point();  
ColPoint q = new ColPoint();
```

~~q = p;~~

reject

q = (ColPoint) p; accept (explicit cast), but runtime error

p = q;

accept

## Ad hoc vs. Parametric polymorphism

- Intersection types  $\cap$  [Barendregt-Coppo-Dezani 82] characterize the set of “strongly normalizable”  $\lambda$ -terms

- Ad hoc (C)

```
int a, b;  
float x, y;  
printf(“%d %f”, a+b, x+y);
```

- The type of the operator + is

$+ : (\text{int} \times \text{int} \rightarrow \text{int}) \cap (\text{float} \times \text{float} \rightarrow \text{float})$

- Parametric (caml)

```
> fun x -> x : 'a -> 'a
```

or  $\forall \alpha. \alpha \rightarrow \alpha$

- Well known: Girard’s **parametric** polymorphism (System F) is equivalent to *ad hoc* polymorphism

$$\forall \alpha. \sigma \approx \bigcap_{i=1 \dots \infty} \sigma_i$$



# Union types as a dual of intersection types

- Union types  $\cup$  [McQueen-Plotkin-Sethi 85] are considered as a dual of intersection types
- Union corresponds “roughly” to OCaml `match` construct

```
type 'a or = In1 of 'a | In2 of 'a ;;      'a is a type variable
let f x = match x with                    case analysis on the shape of x
| In1 y -> "case 1"                       first case
| In2 y -> "case 2"                       second case
;;
```

- The big difference between sum types and union types is that, for union types, both cases should have the same structure

## Ex: Type assignment judgments with $\cap$ and $\cup$

- The Forsythe code [by Pierce 91]

$\text{Test} \triangleq \text{if } b \text{ then } 1 \text{ else } -1 : \text{Pos} \cup \text{Neg}$

$\text{Is\_0} : (\text{Neg} \rightarrow F) \cap (\text{Zero} \rightarrow T) \cap (\text{Pos} \rightarrow F)$

$(\text{Is\_0 Test}) : F$

Without union types the best information we can get for  $(\text{Is\_0 Test})$  is a Boolean type

# Subtyping rules

1/4

A subtyping relation is a preorder, ie. a reflexive and transitive order.  $\top$  is a universal type, corresponding to the  $\top$  constant in the lattice of types (with  $\cup$  as  $\sqcup$  and  $\cap$  as  $\sqcap$ )

$\sigma \leq \sigma$  Reflexivity

$\sigma \leq \tau$  and  $\tau \leq \rho \Rightarrow \sigma \leq \rho$  Transitivity

$\sigma \leq \top$  Universal type

$\top \leq \top \rightarrow \top$  Universal type is also a function

# Subtyping rules

2/4

Main rules for intersection:

$$\sigma \leq \sigma \cap \sigma$$

$$\sigma \cap \tau \leq \sigma$$

$$\sigma \cap \tau \leq \tau$$

$$\sigma_1 \leq \sigma_2 \quad \text{and} \quad \tau_1 \leq \tau_2 \Rightarrow \sigma_1 \cap \tau_1 \leq \sigma_2 \cap \tau_2 \quad \text{Intersection compositionality}$$

# Subtyping rules

2/4

Main rules for intersection:

$$\sigma \leq \sigma \cap \sigma$$

$$\sigma \cap \tau \leq \sigma$$

$$\sigma \cap \tau \leq \tau$$

$$\sigma_1 \leq \sigma_2 \quad \text{and} \quad \tau_1 \leq \tau_2 \Rightarrow \sigma_1 \cap \tau_1 \leq \sigma_2 \cap \tau_2 \quad \text{Intersection compositionality}$$

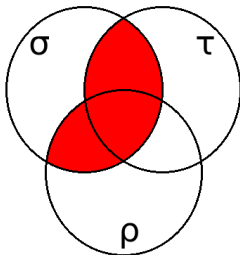
Main rules for union:

$$\sigma \cup \sigma \leq \sigma$$

$$\sigma \leq \sigma \cup \tau$$

$$\tau \leq \sigma \cup \tau$$

$$\sigma_1 \leq \sigma_2 \quad \text{and} \quad \tau_1 \leq \tau_2 \Rightarrow \sigma_1 \cup \tau_1 \leq \sigma_2 \cup \tau_2 \quad \text{Union compositionality}$$



$$\sigma \cap (\tau \cup \rho) \leq (\sigma \cap \tau) \cup (\sigma \cap \rho)$$

Distributivity of intersection over union

$$(\sigma \rightarrow \tau) \cap (\sigma \rightarrow \rho) \leq \sigma \rightarrow (\tau \cap \rho)$$

Codomain factorization

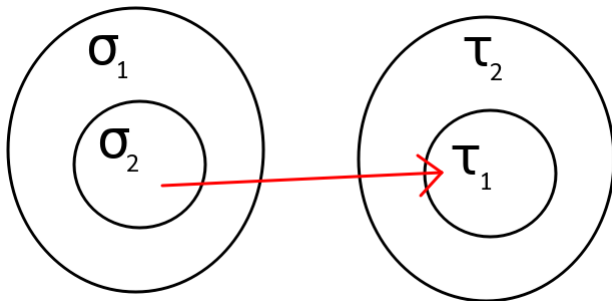
$$(\sigma \rightarrow \rho) \cap (\tau \rightarrow \rho) \leq (\sigma \cup \tau) \rightarrow \rho$$

Domain factorization

Distributivity of union over intersection can be inferred, so there is no need for another distributivity axiom

# Subtyping rules

4/4



Domain contravariance & codomain variance

$$\sigma_2 \leq \sigma_1 \quad \text{and} \quad \tau_1 \leq \tau_2 \Rightarrow \sigma_1 \rightarrow \tau_1 \leq \sigma_2 \rightarrow \tau_2$$

# The subtyping algorithm $\mathcal{A}$ in a nutshell

Fully detailed in [Stolze Liquori TTCS'17]

We note  $\sigma \sim \tau$  if  $\sigma \leq \tau$  and  $\tau \leq \sigma$

Idea:

- **First step:** we rewrite the types into an equivalent form that is easier to process, using four subroutines  $\mathcal{R}_1, \mathcal{R}_2, \mathcal{R}_3, \mathcal{R}_4$
- **Second step:** we apply  $\mathcal{A}$  on these normal forms
  - $\mathcal{A}$  proceeds by case analysis:
  - we decompose the unions and intersections
  - and we proceed by structural recursion



# Rewriting subroutine $\mathcal{R}_1$

1/3

We can show that:

$$\mathbb{U} \cap \sigma \sim \sigma$$

$$\mathbb{U} \cup \sigma \sim \mathbb{U}$$

$$\sigma \rightarrow \mathbb{U} \sim \mathbb{U}$$

This subroutine simplifies all the subterms containing  $\mathbb{U}$

- $\mathbb{U} \cap \sigma$  and  $\sigma \cap \mathbb{U}$  rewrite to  $\sigma$
- $\mathbb{U} \cup \sigma$  and  $\sigma \cup \mathbb{U}$  rewrite to  $\mathbb{U}$
- $\sigma \rightarrow \mathbb{U}$  rewrites to  $\mathbb{U}$

## Rewriting subroutines $\mathcal{R}_2, \mathcal{R}_3$

2/3

We can show that:

$$\sigma \cup (\tau \cap \rho) \sim (\sigma \cup \tau) \cap (\sigma \cup \rho)$$

$$\sigma \cap (\tau \cup \rho) \sim (\sigma \cap \tau) \cup (\sigma \cap \rho)$$

These subroutines rewrite types into conjunctive normal form (CNF) and disjunctive normal form (DNF).

- $\mathcal{R}_2$ :  $\sigma \cup (\tau \cap \rho)$  rewrites to  $(\sigma \cup \tau) \cap (\sigma \cup \rho)$
- $\mathcal{R}_3$ :  $\sigma \cap (\tau \cup \rho)$  rewrites to  $(\sigma \cap \tau) \cup (\sigma \cap \rho)$

**Well known:** DNF and CNF can grow **exponentially** in size

## Rewriting subroutine $\mathcal{R}_4$

3/3

We can show that:

$$\sigma \rightarrow (\tau \cap \rho) \sim (\sigma \rightarrow \tau) \cap (\sigma \rightarrow \rho)$$

$$(\sigma \cup \tau) \rightarrow \rho \sim (\sigma \rightarrow \rho) \cap (\tau \rightarrow \rho)$$

Mixing these equivalences with CNF and DNF, we get two mutually defined new normal forms CANF and DANF :

- $\mathcal{R}_2 \circ \mathcal{R}_4 \circ \mathcal{R}_1$ : **Conjunctive arrow normal form (CANF)**:
  - for any subterm  $\sigma \rightarrow \tau$ , rewrite  $\sigma$  in DANF and  $\tau$  in CANF,
  - rewrite  $\sigma \rightarrow (\tau \cap \rho)$  into  $(\sigma \rightarrow \tau) \cap (\sigma \rightarrow \rho)$
  - rewrite  $(\sigma \cup \tau) \rightarrow \rho$  into  $(\sigma \rightarrow \rho) \cap (\tau \rightarrow \rho)$

Then rewrite the resulting type in CNF

- $\mathcal{R}_3 \circ \mathcal{R}_4 \circ \mathcal{R}_1$ : **Disjunctive arrow normal form (DANF)**: ... as above (but rewrite the resulting type in DNF)

Idea:

- Lemma

$$\sigma \cup \tau \leq \rho \quad \text{iff} \quad \sigma \leq \rho \quad \text{and} \quad \tau \leq \rho$$

- Lemma

$$\sigma \leq \tau \cap \rho \quad \text{iff} \quad \sigma \leq \tau \quad \text{and} \quad \sigma \leq \rho$$

- Theorem

If  $\sigma \triangleq \cup_i (\cap_j \sigma_{i,j})$  is in DANF

and  $\tau \triangleq \cap_h (\cup_k \tau_{h,k})$  is in CANF

then  $\sigma \leq \tau$  iff  $\forall i, h, \exists j, k, \sigma_{i,j} \leq \tau_{h,k}$

# Subtyping algorithm $\mathcal{A}$

2/2

We start by asking  $\mathcal{A}$  if  $\sigma \leq \tau$ , with  $\sigma$  in DANF and  $\tau$  in CANF

- Case  $\cup_i(\cap_j \sigma_{i,j}) \leq \cap_h(\cup_k \tau_{h,k})$ :  
for every  $i, h$ , find  $j, k$ , such that that  $\sigma_{i,j} \leq \tau_{h,k}$ ;
- Case  $\sigma \leq \mathbb{U}$ : accept;
- Case  $\mathbb{U} \leq \phi$ : reject;
- Case  $\mathbb{U} \leq \sigma \rightarrow \tau$ : reject;
- Case  $\phi \leq \phi'$ : accept if  $\phi \equiv \phi'$ , else reject;
- Case  $\phi \leq \sigma \rightarrow \tau$ : reject;
- Case  $\sigma \rightarrow \tau \leq \phi$ : reject;
- Case  $\sigma \rightarrow \tau \leq \sigma' \rightarrow \tau'$ : accept if  $\sigma' \leq \sigma$  and  $\tau \leq \tau'$ , else reject.

## Previous Pierce's example

1/4

Six atomic types:  $Pos, Zero, Neg, Err, T, F$ .

$$x : Pos \cup Neg \cup Err$$

$$is\_0 : (Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow F) \cap (Err \rightarrow Err)$$

$is\_0 x$  should have type  $F \cup Err$ .

We have to prove that

$$(Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow Neg) \cap (Err \rightarrow Err)$$

$$\leq$$

$$(Pos \cup Neg \cup Err) \rightarrow (F \cup Err)$$

- $(Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow Neg) \cap (Err \rightarrow Err)$  is already in DANF
- $(Pos \cup Neg \cup Err) \rightarrow (F \cup Err)$  is not in CANF. It is rewritten into  $(Pos \rightarrow (F \cup Err)) \cap (Neg \rightarrow (F \cup Err)) \cap (Err \rightarrow (F \cup Err))$
- We now have the judgement

$$(Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow Neg) \cap (Err \rightarrow Err)$$

$$\leq$$

$$(Pos \rightarrow (F \cup Err)) \cap (Neg \rightarrow (F \cup Err)) \cap (Err \rightarrow (F \cup Err))$$

- $(Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow Neg) \cap (Err \rightarrow Err)$

 $\leq$  $Pos \rightarrow (F \cup Err)$ 

- $(Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow Neg) \cap (Err \rightarrow Err)$

 $\leq$  $Neg \rightarrow (F \cup Err)$ 

- $(Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow Neg) \cap (Err \rightarrow Err)$

 $\leq$  $Err \rightarrow (F \cup Err)$



- $(Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow Neg) \cap (Err \rightarrow Err)$

$$\leq$$
$$Pos \rightarrow (F \cup Err)$$

- $(Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow Neg) \cap (Err \rightarrow Err)$

$$\leq$$
$$Neg \rightarrow (F \cup Err)$$

- $(Pos \rightarrow F) \cap (Zero \rightarrow T) \cap (Neg \rightarrow Neg) \cap (Err \rightarrow Err)$

$$\leq$$
$$Err \rightarrow (F \cup Err)$$

- Either:
  - $Pos \rightarrow F \leq Pos \rightarrow (F \cup Err)$
  - or  $Zero \rightarrow T \leq Pos \rightarrow (F \cup Err)$
  - or  $Neg \rightarrow F \leq Pos \rightarrow (F \cup Err)$
  - or  $Err \rightarrow Err \leq Pos \rightarrow (F \cup Err)$
- Either:
  - $Pos \rightarrow F \leq Neg \rightarrow (F \cup Err)$
  - or  $Zero \rightarrow T \leq Neg \rightarrow (F \cup Err)$
  - or  $Neg \rightarrow F \leq Neg \rightarrow (F \cup Err)$
  - or  $Err \rightarrow Err \leq Neg \rightarrow (F \cup Err)$
- Either:
  - $Pos \rightarrow F \leq Err \rightarrow (F \cup Err)$
  - or  $Zero \rightarrow T \leq Err \rightarrow (F \cup Err)$
  - or  $Neg \rightarrow F \leq Err \rightarrow (F \cup Err)$
  - or  $Err \rightarrow Err \leq Err \rightarrow (F \cup Err)$

# Correctness

The algorithm has been proven (on paper and on Coq) to be correct, that is:

- **Theorem (Soundness):** if the algorithm accepts that  $\sigma \leq \tau$ , then  $\sigma \leq \tau$ ;
- **Theorem (Completeness):** if  $\sigma \leq \tau$ , then the algorithm accepts that  $\sigma \leq \tau$ .

# Coq implementation

The Coq implementation is done in three steps:

- Defining the problem
- Proving the interesting properties
- Proving the specification of the algorithms

# Definition of subtyping in Coq

**Inductive** Subtype : term -> term -> Prop :=

| R\_InterMeetLeft :  $\forall \sigma \tau, \sigma \cap \tau \leq \sigma$   
| R\_InterMeetRight :  $\forall \sigma \tau, \sigma \cap \tau \leq \tau$   
| R\_InterIdem :  $\forall \tau, \tau \leq \tau \cap \tau$   
| R\_UnionMeetLeft :  $\forall \sigma \tau, \sigma \leq \sigma \cup \tau$   
| R\_UnionMeetRight :  $\forall \sigma \tau, \tau \leq \sigma \cup \tau$   
| R\_UnionIdem :  $\forall \tau, \tau \cup \tau \leq \tau$   
| R\_InterDistrib :  $\forall \sigma \tau \rho, (\sigma \rightarrow \rho) \cap (\sigma \rightarrow \tau) \leq \sigma \rightarrow \rho \cap \tau$   
| R\_UnionDistrib :  $\forall \sigma \tau \rho, (\sigma \rightarrow \rho) \cap (\tau \rightarrow \rho) \leq \sigma \cup \tau \rightarrow \rho$   
| R\_InterSubtyDistrib :  $\forall \sigma \sigma' \tau \tau', \sigma \leq \sigma' \rightarrow \tau \leq \tau' \rightarrow \sigma \cap \tau \leq \sigma' \cap \tau'$   
| R\_UnionSubtyDistrib :  $\forall \sigma \sigma' \tau \tau', \sigma \leq \sigma' \rightarrow \tau \leq \tau' \rightarrow \sigma \cup \tau \leq \sigma' \cup \tau'$   
| R\_InterUnionDistrib :  $\forall \sigma \tau \rho, \sigma \cap (\tau \cup \rho) \leq (\sigma \cap \tau) \cup (\sigma \cap \rho)$   
| R\_CoContra :  $\forall \sigma \sigma' \tau \tau', \sigma \leq \sigma' \rightarrow \tau \leq \tau' \rightarrow \sigma' \rightarrow \tau \leq \sigma \rightarrow \tau'$   
| R\_OmegaTop :  $\forall \sigma, \sigma \leq U$   
| R\_OmegaArrow :  $U \leq U \rightarrow U$   
| R\_Reflexive :  $\forall \sigma, \sigma \leq \sigma$   
| R\_Transitive :  $\forall \sigma \tau \rho, \sigma \leq \tau \rightarrow \tau \leq \rho \rightarrow \sigma \leq \rho$   
where " $\sigma \leq \tau$ " := (Subtype  $\sigma \tau$ ).

# Normal Forms

- Definition of arbitrary unions and arbitrary intersections

**Inductive** Generalize (c : term → term → term) (P : term → Prop)  
: term → Prop :=  
| G\_nil : ∀ σ, P σ → Generalize c P σ  
| G\_cons : ∀ σ τ, Generalize c P σ → Generalize c P τ →  
Generalize c P (c σ τ).

**Notation** "[ ∩ P ]" := (Generalize (∩) P).

**Notation** "[ ∪ P ]" := (Generalize (∪) P).

- Definition of Arrow Normal Forms:

**Inductive** ANF : term → Prop :=  
| VarisANF : ∀ α, ANF (Var α)  
| ArrowisANF : ∀ σ τ, [∩ ANF] σ → [∪ ANF] τ → ANF (σ → τ)  
| ArrowisANF' : ∀ τ, [∪ ANF] τ → ANF (U → τ).

- Definition of CANF and DANF:

**Definition** CANF (σ : term) : Prop := [∩ [∪ ANF]] σ ∨ σ = U.

**Definition** DANF (σ : term) : Prop := [∪ [∩ ANF]] σ ∨ σ = U.

# Filters and ideals

- We define a predicate `isFilter`  $\sigma$ , and a predicate  $\uparrow[\sigma] \tau$
- We prove

**Theorem** `Filter_correct` :  $\forall \sigma \tau, \uparrow[\sigma] \tau \rightarrow \sigma \leq \tau$ .

**Theorem** `Filter_complete` :  $\forall \sigma, \text{isFilter } \sigma \rightarrow \forall \tau, \sigma \leq \tau \rightarrow \uparrow[\sigma] \tau$ .

- We define a predicate  $\downarrow[\sigma] \tau$
- We prove

**Theorem** `Ideal_correct` :  $\forall \sigma \tau, \downarrow[\sigma] \tau \rightarrow \tau \leq \sigma$ .

**Theorem** `Ideal_complete` :  $\forall \sigma, [\bigcup \text{ANF}] \sigma \rightarrow \forall \tau, \tau \leq \sigma \rightarrow \downarrow[\sigma] \tau$ .

# Implementation of the algorithms

- Functions return a value and a proof the value verify a specification

**Fixpoint** deleteOmega ( $\sigma : \text{term}$ ) :  $\{\tau \mid \tau \sim \sigma \wedge (\text{Omega\_free } \tau \vee \tau = \text{U})\}$ .

- We have functions which rewrite terms to CANF and DANF:

**Fixpoint** \_CANF ( $\sigma : \text{term}$ ) :  $(\text{Omega\_free } \sigma \vee \sigma = \text{U}) \rightarrow \{\tau \mid \tau \sim \sigma \wedge \text{CANF } \tau\}$

**with** \_DANF ( $\sigma : \text{term}$ ) :  $(\text{Omega\_free } \sigma \vee \sigma = \text{U}) \rightarrow \{\tau \mid \tau \sim \sigma \wedge \text{DANF } \tau\}$ .

- The main algorithm,  $\mathcal{A}$ , takes as input terms in normal form

**Definition** main\_algo :  $\forall \text{ pair} : \text{term} * \text{term},$   
DANF (fst pair)  $\rightarrow$  CANF (snd pair)  $\rightarrow$   
 $\{\text{fst pair} \leq \text{snd pair}\} + \{\neg \text{fst pair} \leq \text{snd pair}\}$ .

- We then have a certified program:

**Definition** decide\_subtype :  $\forall \sigma \tau, \{\sigma \leq \tau\} + \{\neg \sigma \leq \tau\}$ .



# Thank you for your attention

Questions are welcomed

## Extra Coq code

```
Inductive isFilter : term -> Prop :=  
| OmegaisFilter : isFilter U  
| VarisFilter :  $\forall \alpha$ , isFilter (Var  $\alpha$ )  
| ArrowisFilter :  $\forall \sigma \tau$ , isFilter ( $\sigma \rightarrow \tau$ )  
| InterisFilter :  $\forall \sigma \tau$ , isFilter  $\sigma \rightarrow$  isFilter  $\tau \rightarrow$  isFilter ( $\sigma \cap \tau$ ).
```

**Definition** decide\_subtype :  $\forall \sigma \tau$ ,  $\{\sigma \leq \tau\} + \{\neg \sigma \leq \tau\}$ .

**Proof.**

intros.

```
refine (let ( $\sigma 1$ ,pf $\sigma$ ) := deleteOmega  $\sigma$  in let ( $H\sigma 1$ ,pf $\sigma$ ) := pf $\sigma$  in  
let ( $\tau 1$ ,pf $\tau$ ) := deleteOmega  $\tau$  in let ( $H\tau 1$ ,pf $\tau$ ) := pf $\tau$  in  
let ( $\sigma 2$ ,pf $\sigma$ ) := _DANF  $\sigma 1$  pf $\sigma$  in let ( $H\sigma 2$ ,pf $\sigma$ ) := pf $\sigma$  in  
let ( $\tau 2$ ,pf $\tau$ ) := _CANF  $\tau 1$  pf $\tau$  in let ( $H\tau 2$ ,pf $\tau$ ) := pf $\tau$  in  
match main_algo ( $\sigma 2$ , $\tau 2$ ) pf $\sigma$  pf $\tau$  with  
| left H  $\Rightarrow$  left _  
| right H  $\Rightarrow$  right _  
end);  
rewrite  $\leftarrow H\tau 1$ ,  $\leftarrow H\sigma 1$ ,  $\leftarrow H\tau 2$ ,  $\leftarrow H\sigma 2$ ; assumption.
```

**Defined.**

## Extra Coq code

**Inductive** Filter : term  $\rightarrow$  term  $\rightarrow$  Prop :=

- | F\_Refl :  $\forall \sigma : \text{term}, \text{isFilter } \sigma \rightarrow \uparrow[\sigma] \sigma$
- | F\_Inter :  $\forall \sigma \tau \rho : \text{term}, \uparrow[\sigma] \tau \rightarrow \uparrow[\sigma] \rho \rightarrow \uparrow[\sigma] \tau \cap \rho$
- | F\_Union1 :  $\forall \sigma \tau \rho : \text{term}, \uparrow[\sigma] \tau \rightarrow \uparrow[\sigma] \tau \cup \rho$
- | F\_Union2 :  $\forall \sigma \tau \rho : \text{term}, \uparrow[\sigma] \rho \rightarrow \uparrow[\sigma] \tau \cup \rho$
- | F\_Arrow1 :  $\forall \sigma_1 \sigma_2 \tau_1 \tau_2 : \text{term}, \sigma_2 \leq \sigma_1 \rightarrow \tau_1 \leq \tau_2 \rightarrow \uparrow[\sigma_1 \rightarrow \tau_1] \sigma_2 \rightarrow \tau_2$
- | F\_Arrow2 :  $\forall \sigma_1 \sigma_2 \tau_1 \tau_2 \rho_1 \rho_2 : \text{term}, \uparrow[\sigma_1 \cap \sigma_2] \tau_1 \rightarrow \rho_1 \rightarrow \tau_2 \leq \tau_1 \rightarrow$   
 $\rho_1 \leq \rho_2 \rightarrow \uparrow[\sigma_1 \cap \sigma_2] \tau_2 \rightarrow \rho_2$
- | F\_OmegaTopV :  $\forall (\alpha : \mathbb{V}.t) (\tau : \text{term}), \uparrow[\mathbb{U}] \tau \rightarrow \uparrow[\text{Var } \alpha] \tau$
- | F\_OmegaTopA :  $\forall \sigma_1 \sigma_2 \tau : \text{term}, \uparrow[\mathbb{U}] \tau \rightarrow \uparrow[\sigma_1 \rightarrow \sigma_2] \tau$
- | F\_OmegaTopI :  $\forall \sigma_1 \sigma_2 \tau : \text{term}, \text{isFilter } (\sigma_1 \cap \sigma_2) \rightarrow \uparrow[\mathbb{U}] \tau \rightarrow \uparrow[\sigma_1 \cap \sigma_2] \tau$
- | F\_Omega :  $\forall \sigma \tau : \text{term}, \uparrow[\mathbb{U}] \tau \rightarrow \uparrow[\mathbb{U}] \sigma \rightarrow \tau$
- | F\_Inter1 :  $\forall \sigma_1 \sigma_2 \tau : \text{term}, \text{isFilter } \sigma_2 \rightarrow \uparrow[\sigma_1] \tau \rightarrow \uparrow[\sigma_1 \cap \sigma_2] \tau$
- | F\_Inter2 :  $\forall \sigma_1 \sigma_2 \tau : \text{term}, \text{isFilter } \sigma_1 \rightarrow \uparrow[\sigma_2] \tau \rightarrow \uparrow[\sigma_1 \cap \sigma_2] \tau$
- | F\_ArrowInter :  $\forall \sigma_1 \sigma_2 \tau \rho_1 \rho_2 : \text{term}, \uparrow[\sigma_1 \cap \sigma_2] (\tau \rightarrow \rho_1) \cap (\tau \rightarrow \rho_2) \rightarrow$   
 $\uparrow[\sigma_1 \cap \sigma_2] \tau \rightarrow \rho_1 \cap \rho_2$
- | F\_ArrowUnion :  $\forall \sigma_1 \sigma_2 \tau_1 \tau_2 \rho : \text{term}, \uparrow[\sigma_1 \cap \sigma_2] (\tau_1 \rightarrow \rho) \cap (\tau_2 \rightarrow \rho) \rightarrow$   
 $\uparrow[\sigma_1 \cap \sigma_2] \tau_1 \cup \tau_2 \rightarrow \rho$

where " $\uparrow[\sigma] \tau$ " := (Filter  $\sigma \tau$ ).

## Extra Coq code

```
Inductive Ideal : term -> term -> Prop :=  
| I_Ref1 :  $\forall \sigma : \text{term}, [\bigcup \text{ANF}] \sigma \rightarrow \downarrow[\sigma] \sigma$   
| I_Inter1 :  $\forall \sigma \tau \rho : \text{term}, \downarrow[\sigma] \tau \rightarrow \downarrow[\sigma] \tau \cap \rho$   
| I_Inter2 :  $\forall \sigma \tau \rho : \text{term}, \downarrow[\sigma] \rho \rightarrow \downarrow[\sigma] \tau \cap \rho$   
| I_Union :  $\forall \sigma \tau \rho : \text{term}, \downarrow[\sigma] \tau \rightarrow \downarrow[\sigma] \rho \rightarrow \downarrow[\sigma] \tau \cup \rho$   
| I_Arrow1 :  $\forall \sigma_1 \sigma_2 \tau_1 \tau_2 : \text{term}, [\bigcap \text{ANF}] \sigma_1 \rightarrow \uparrow[\sigma_1] \sigma_2 \rightarrow \downarrow[\tau_1] \tau_2 \rightarrow$   
     $\downarrow[\sigma_1 \rightarrow \tau_1] \sigma_2 \rightarrow \tau_2$   
| I_Arrow2 :  $\forall \sigma \tau_1 \tau_2 : \text{term}, \uparrow[\bigcup] \sigma \rightarrow \downarrow[\tau_1] \tau_2 \rightarrow \downarrow[\bigcup \rightarrow \tau_1] \sigma \rightarrow \tau_2$   
| I_Union1 :  $\forall \sigma_1 \sigma_2 \tau : \text{term}, [\bigcup \text{ANF}] \sigma_2 \rightarrow \downarrow[\sigma_1] \tau \rightarrow \downarrow[\sigma_1 \cup \sigma_2] \tau$   
| I_Union2 :  $\forall \sigma_1 \sigma_2 \tau : \text{term}, [\bigcup \text{ANF}] \sigma_1 \rightarrow \downarrow[\sigma_2] \tau \rightarrow \downarrow[\sigma_1 \cup \sigma_2] \tau$   
where " $\downarrow[\sigma] \tau$ " := (Ideal  $\sigma \tau$ ).
```