# Weakly-unambiguous Parikh automata and their link with holonomic series 

Alin Bostan, Arnaud Carayol, Florent Koechlin, Cyril Nicaud
LIGM UMR 8049 CNRS

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## Link between languages and combinatorics

$$
L(x)=\sum_{w \in L} x^{|w|}=\sum_{n \in \mathbb{N}} \ell_{n} x^{n} \quad \ell_{n}: \text { number of words of length } n
$$

Formal languages

$$
L \quad \longrightarrow \quad L(x)
$$

## Link between languages and combinatorics

$L(x)=\sum_{w \in L} x^{|w|}=\sum_{n \in \mathbb{N}} \ell_{n} x^{n} \quad \ell_{n}:$ number of words of length $n$
Formal languages
Generating series


$$
\begin{aligned}
&\left\{\begin{array}{l}
q_{0}(x)
\end{array}=x q_{0}(x)+x q_{1}(x)\right. \\
& q_{1}(x)=1+x q_{1}(x)+x q_{0}(x)
\end{aligned} \begin{aligned}
& L(x)=\frac{x}{1-2 x}
\end{aligned}
$$

## Link between languages and combinatorics

$$
L\left(x_{1}, \ldots, x_{r}\right)=\sum_{w \in L} x_{1}^{|w|_{a_{1}}} \ldots x_{r}^{|w|_{a_{r}}}
$$

$$
\Sigma=\left\{a_{1}, \ldots, a_{r}\right\}
$$

Formal languages
Generating series


Regular
$\longrightarrow$ rational $L(x)=P(x) / Q(x)$


$$
\left\{\begin{aligned}
q_{0}\left(x_{a}, x_{b}\right) & =x_{a} q_{0}\left(x_{a}, x_{b}\right)+x_{b} q_{1}\left(x_{a}, x_{b}\right) \\
q_{1}\left(x_{a}, x_{b}\right) & =1+x_{b} q_{1}\left(x_{a}, x_{b}\right)+x_{a} q_{0}\left(x_{a}, x_{b}\right) \\
L\left(x_{a}, x_{b}\right) & =\frac{x_{b}}{1-\left(x_{a}+x_{b}\right)}
\end{aligned}\right.
$$

## Link between languages and combinatorics

$L(x)=\sum_{w \in L} x^{|w|}=\sum_{n \in \mathbb{N}} \ell_{n} x^{n} \quad \ell_{n}$ : number of words of length $n$

Formal languages
Generating series


Unambiguous context-free $\longrightarrow \quad$ algebraic $P(x, L(x))=0$

$$
\begin{gathered}
\left\{\begin{array} { l } 
{ S \rightarrow a S B | \varepsilon } \\
{ B \rightarrow c B | b S }
\end{array} \quad \left\{\begin{array}{l}
S(x)=x S(x) B(x)+1 \\
B(x)=x B(x)+x S(x)
\end{array}\right.\right. \\
x^{2} S(x)^{2}-(1-x) S(x)+1-x=0
\end{gathered}
$$

## Link between languages and combinatorics

$$
L\left(x_{1}, \ldots, x_{r}\right)=\sum_{w \in L} x_{1}^{|w|_{a_{1}}} \ldots x_{r}^{|w|_{a_{r}}}
$$

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\end{array}\right.\right. \\
& x_{a} x_{b} S\left(x_{a}, x_{b}, x_{c}\right)^{2}-\left(1-x_{c}\right) S\left(x_{a}, x_{b}, x_{c}\right)+1-x_{c}=0
\end{aligned}
$$

## Link between languages and combinatorics

$$
L\left(x_{1}, \ldots, x_{r}\right)=\sum_{w \in L} x_{1}^{|w|_{a_{1}}} \ldots x_{r}^{|w|_{a_{r}}} \quad \Sigma=\left\{a_{1}, \ldots, a_{r}\right\}
$$

Formal languages
Generating series
Regular

$$
\begin{array}{cc}
\longrightarrow & L(x) \\
\longrightarrow & \text { rational } L(x)=P(x) / Q(x)
\end{array}
$$ ambiguous context-free $\qquad$

$$
\begin{aligned}
& \left\{\begin{array} { l } 
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\end{array} \quad \left\{\begin{array}{l}
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\end{aligned}
$$

## Link between languages and combinatorics

$L(x)=\sum_{w \in L} x^{|w|}=\sum_{n \in \mathbb{N}} \ell_{n} x^{n} \quad \ell_{n}$ : number of words of length $n$
Formal languages
Generating series


Unambiguous context-free $\longrightarrow \quad$ algebraic $P(x, L(x))=0$

$$
\begin{aligned}
& \frac{1-2 x+225 x^{2}}{(1-25 x)\left(625 x^{2}+14 x+1\right)}=1+9 x+49 x^{2}+\ldots . \quad \text { Bousquet-Mélou 08] } \\
& G(x)=1+2 x+11 x^{2}+\ldots \quad[\text { Bostan \& Kauers 10, Drmota \& Banderier 13] }
\end{aligned}
$$

## Analytic criteria for inherent ambiguity

## Theorem (Chomsky and Schützenberger 63)

The generating series of an unambiguous context-free language is algebraic.

## Contraposition

If the generating series of a context-free language is not algebraic, then it is inherently ambiguous.

## Detailed Example

## Example (Flajolet 87)

$\mathcal{D}=\left\{a^{n_{1}} b a^{n_{2}} b \ldots a^{n_{k}} b: k \in \mathbb{N}^{*}, n_{1}=1\right.$ and $\left.\exists j<k, n_{j+1} \neq 2 n_{j}\right\}$
is inherently ambiguous.

- $a a b \notin \mathcal{D}$
- abaabaaab $\in \mathcal{D}$
- abaabaaaab $\notin \mathcal{D}$
- $a b a^{2} b a^{4} b \ldots a^{2^{k-1}} b \notin \mathcal{D}$


## Detailed Example

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$\mathcal{D}=\left\{a^{n_{1}} b a^{n_{2}} b \ldots a^{n_{k}} b: k \in \mathbb{N}^{*}, n_{1}=1\right.$ and $\left.\exists j<k, n_{j+1} \neq 2 n_{j}\right\}$ is inherently ambiguous.

- By contradiction, suppose $\mathcal{D}$ is unambiguous. Then $D(x)$ is algebraic
- Aim: build from $D(x)$ a series that is not algebraic and use closure properties


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$\mathcal{D}=\left\{a^{n_{1}} b a^{n_{2}} b \ldots a^{n_{k}} b: k \in \mathbb{N}^{*}, n_{1}=1\right.$ and $\left.\exists j<k, n_{j+1} \neq 2 n_{j}\right\}$ is inherently ambiguous.

- By contradiction, suppose $\mathcal{D}$ is unambiguous. Then $D(x)$ is algebraic
- Aim: build from $D(x)$ a series that is not algebraic and use closure properties
- $\mathcal{B}=a b\left(a b^{*}\right)^{*} \backslash \mathcal{D}=\left\{a b a^{2} b a^{4} b \ldots a^{2^{k-1}} b: k \in \mathbb{N}^{*}\right\}$
- $B(x)=\frac{x^{2}}{1-\frac{x}{1-x}}-D(x)=$ algebraic
- So $B(x)=\sum_{k \geq 1} x^{2^{k}-1+k}$, which is lacunary
- So $B(x)$ is not algebraic. Contradiction


## Remarks on this method

- Analytic criteria for solving some instances of an undecidable problem
- It can avoid technical proofs on automata based on pumping techniques.
- $L=\left\{a^{n} b^{m} c^{p}: n=m\right.$ or $\left.m=p\right\}$ is inherently ambiguous as a CF language yet $L(x)=\frac{2}{\left(1-x^{2}\right)(1-x)}-\frac{1}{1-x^{3}}$ is rational
- Specific about inherent ambiguity questions.
$\rightarrow$ language of primitive words $\mathcal{L}_{P}$

$$
a a b b \in \mathcal{L}_{P}, a b a b \notin \mathcal{L}_{P}
$$

CFL: open not unambiguous CFL: [Peterson 96]

## Hierarchy of languages and series

Language


Regular

Unambiguous context-free
?

Generating series

$$
\begin{gathered}
L(x) \\
\text { rational } Q(x) L(x)=P(x)
\end{gathered}
$$


algebraic $P(x, L(x))=0$

$\longrightarrow$ holonomic $P\left(x, \partial_{x}\right) \cdot L(x)=0$

A series $f(x)=\sum_{n} a_{n} x^{n}$ is holonomic (or D-finite) if it satisfies a differential equation of the form:

$$
P_{k}(x) f^{(k)}(x)+\ldots+P_{0}(x) f(x)=0 \quad \text { with } P_{i}(x) \in \mathbb{Q}[x]
$$

Equivalently $a_{n}$ satisfies a linear recurrence of the form

$$
p_{r}(n) a_{n+r}+\ldots+p_{0}(n) a_{n}=0 \quad \text { with } p_{i}(n) \in \mathbb{Q}[n]
$$

Closed by sum, product, composition with algebraic series, Hadamard product...

## Example of holonomic series

- rational series $F=P / Q:(P Q) F^{\prime}+\left(P Q^{\prime}-P^{\prime} Q\right) F=0$ $\rightarrow$ Linear recurrence with constant coefficients
- algebraic series (the proof is however not straightforward)
$F(x)=\sqrt{1-x}:=\sum \frac{4^{-n}}{1-2 n}\binom{2 n}{n} x^{n}$ satisfies $F^{2}-1-x=0$
$2(1-x) F^{\prime}-F=0$
$2(n+1) u_{n+1}-(2 n+1) u_{n}=0$
- $F(x)=e^{x}:=\sum x^{n} / n$ ! is holonomic but is not algebraic $F^{\prime}-F=0$
$(n+1) u_{n+1}-u_{n}=0$


## Holonomic series in several variables (Lipshitz 89)

A series $f\left(x_{1}, \ldots, x_{n}\right)$ is holonomic (or D-finite) if it satisfies a system of partial derivative equations of the form:

$$
\left\{\begin{array}{c}
A_{1, r_{1}}(\vec{x}) \partial_{x_{1}}^{r_{1}} f(\vec{x})+\ldots+A_{1,1}(\vec{x}) \partial_{x_{1}} f(\vec{x})+A_{1,0}(\vec{x}) f(\vec{x})=0 \\
\vdots \\
A_{n, r_{n}}(\vec{x}) \partial_{x_{n}}^{r_{n}} f(\vec{x})+\ldots+A_{n, 1}(\vec{x}) \partial_{x_{n}} f(\vec{x})+A_{n, 0}(\vec{x}) f(\vec{x})=0
\end{array}\right.
$$

with $A_{i, j}(\vec{x}) \in \mathbb{Q}[\vec{x}]$, and $\vec{x}=\left(x_{1}, \ldots, x_{n}\right)$.

We only use closure properties rather than the definition

## Theorem (Lipshitz 1988, 1989)

Holonomic series are closed under :
(1) arithmetic operations,$+ \times,-$
(2) specialization to 1 , when it is well-defined: if $f\left(x_{1}, \ldots, x_{n}\right)$ is holonomic, then $f(x, 1, \ldots, 1)$ is holonomic too
(3) Hadamard's product $\odot$

$$
\begin{aligned}
f\left(x_{1}, \ldots, x_{n}\right) & =\sum_{i \in \mathbb{N}^{n}} a\left(i_{1}, \ldots, i_{n}\right) x_{1}^{i_{1}} \ldots x_{n}^{i_{n}} \\
g\left(x_{1}, \ldots, x_{n}\right) & =\sum_{i \in \mathbb{N}^{n}} b\left(i_{1}, \ldots, i_{n}\right) x_{1}^{i_{1}} \ldots x_{n}^{i_{n}} \\
f \odot g\left(x_{1}, \ldots, x_{n}\right) & =\sum_{i \in \mathbb{N}^{n}} a\left(i_{1}, \ldots, i_{n}\right) b\left(i_{1}, \ldots, i_{n}\right) x_{1}^{i_{1}} \ldots x_{n}^{i_{n}}
\end{aligned}
$$

## Crucial particular case: support series

Let $\mathcal{S} \subseteq \mathbb{N}^{n}$. The support series of $\mathcal{S}$ is

$$
g\left(x_{1}, \ldots, x_{n}\right)=\sum_{\left(i_{1}, \ldots, i_{n}\right) \in \mathcal{S}} x_{1}^{i_{1}} \ldots x_{n}^{i_{n}}
$$

Let $f\left(x_{1}, \ldots, x_{n}\right)=\sum_{i_{n} \in \mathbb{N}^{n}} a\left(i_{1}, \ldots, i_{n}\right) x_{1}^{i_{1}} \ldots x_{n}^{i_{n}}$. Then:

$$
(f \odot g)\left(x_{1}, \ldots, x_{n}\right)=\sum_{\left(i_{1}, \ldots, i_{n}\right) \in \mathcal{S}} a\left(i_{1}, \ldots, i_{n}\right) x_{1}^{i_{1}} \ldots x_{n}^{i_{n}}
$$

## Example of Hadamard's product

> Example
> $\Omega_{3}=\left\{w \in(a+b+c)^{*}:|w|_{a} \neq|w|_{b}\right.$ or $\left.|w|_{b} \neq|w|_{c}\right\}$.

- $a b b c a \in \Omega_{3}$, $a b b c c a \notin \Omega_{3}$.
- $\Omega_{3}$ is context-free, inherently ambiguous as a CFL.

$$
\begin{aligned}
\Omega_{3}\left(x_{a}, x_{b}, x_{c}\right) & =\underbrace{\frac{1}{1-\left(x_{a}+x_{b}+x_{c}\right)}}_{(a+b+c)^{*}} \odot \underbrace{\left(\frac{1}{\left(1-x_{a}\right)\left(1-x_{b}\right)\left(1-x_{c}\right.}-\frac{1}{1-x_{a} x_{b} x_{c}}\right)}_{|w|_{a} \neq|w|_{b} \text { or }|w|_{b} \neq|w|_{c}} \\
& =\frac{1}{1-\left(x_{a}+x_{b}+x_{c}\right)}-\frac{1}{1-\left(x_{a}+x_{b}+x_{c}\right)} \odot \frac{1}{1-x_{a} x_{b} x_{c}}
\end{aligned}
$$

## Example of Hadamard's product

## Example

$\Omega_{3}=\left\{w \in(a+b+c)^{*}:|w|_{a} \neq|w|_{b}\right.$ or $\left.|w|_{b} \neq|w|_{c}\right\}$.
$\frac{1}{1-\left(x_{a}+x_{b}+x_{c}\right)} \odot \frac{1}{1-x_{a} x_{b} x_{c}}=\left[y_{a}^{-1} y_{b}^{-1} y_{c}^{-1}\right] \frac{1}{y_{a} y_{b} y_{c}} \frac{1}{1-\left(\frac{x_{a}}{y_{a}}+\frac{x_{b}}{y_{b}}+\frac{x_{c}}{y_{c}}\right)} \frac{1}{1-y_{a} y_{b} y_{c}}$
Mgfun [Chyzak] and gfun [Salvy and Zimmermann] give:
$p_{3}(\vec{x}) \partial_{X_{a}}^{3} \Omega_{3}(\vec{x})+p_{2}(\vec{x}) \partial_{X_{a}}^{2} \Omega_{3}(\vec{x})+p_{1}(\vec{x}) \partial_{X_{a}} \Omega_{3}(\vec{x})+p_{0}(\vec{x}) \Omega_{3}(\vec{x})=0$ with $\left\|p_{i}\right\|_{\infty} \leq 7344$ and $\operatorname{deg}\left(p_{i}\right) \leq 9$.

## Example of Hadamard's product

## Example

$$
\Omega_{3}=\left\{w \in(a+b+c)^{*}:|w|_{a} \neq|w|_{b} \text { or }|w|_{b} \neq|w|_{c}\right\} .
$$

$$
\frac{1}{1-\left(x_{a}+x_{b}+x_{c}\right)} \odot \frac{1}{1-x_{a} x_{b} x_{c}}=\left[y_{a}^{-1} y_{b}^{-1} y_{c}^{-1}\right] \frac{1}{y_{a} y_{b} y_{c}} \frac{1}{1-\left(\frac{x_{a}}{y_{a}}+\frac{x_{b}}{y_{b}}+\frac{x_{c}}{y_{c}}\right)} \frac{1}{1-y_{a} y_{b} y_{c}}
$$

Mgfun [Chyzak] and gfun [Salvy and Zimmermann] give:
$p_{3}(\vec{x}) \partial_{X_{a}}^{3} \Omega_{3}(\vec{x})+p_{2}(\vec{x}) \partial_{x_{a}}^{2} \Omega_{3}(\vec{x})+p_{1}(\vec{x}) \partial_{X_{a}} \Omega_{3}(\vec{x})+p_{0}(\vec{x}) \Omega_{3}(\vec{x})=0$ with $\left\|p_{i}\right\|_{\infty} \leq 7344$ and $\operatorname{deg}\left(p_{i}\right) \leq 9$.

## Remark (Flajolet 87)

$\Omega_{3}\left(x_{a}, x_{b}, x_{c}\right)$ is holonomic but not algebraic.

- [Lipshitz 88] added linear constraints to the support of a holonomic series using a Hadamard product with a support series
- [Massazza 93] formalized the idea with (semi)linear constraints (Linear Constrained Languages)
- [Castiglione and Massazza 2017] RCM (Regular languages with semilinear Constraints and a (injective) Morphism) ex: $a^{n} b^{m} a^{n} b^{m}$
$\rightarrow$ not fully satisfactory from an automaton point of view.
Conjectured a link with deterministic Reversal Bounded Counter Machines.


## Hierarchy of languages and series

Language L

Regular

Unambiguous context-free


Weakly-unambiguous Pushdown PA

Generating series
$L(x)$
rational $Q(x) L(x)=P(x)$
$\rightarrow \cap$
algebraic $P(z, L(x))=0$
$\longrightarrow$ holonomic $P\left(x, \partial_{x}\right) \cdot L(x)=0$

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algebraic $P(z, L(x))=0$
Generating series
$L(x)$
rational $Q(x) L(x)=P(x)$
*
$\uparrow$
$\longrightarrow$ holonomic $P\left(x, \partial_{x}\right) \cdot L(x)=0$

For the presentation we work with PA and not Pushdown PA.











## Parikh automata [Klaedtke and Rueß 03]

$$
\left.\begin{array}{c}
a,\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right) \\
C=\left\{(n, n, n): n \in \mathbb{N}^{*}\right\} \\
w=\text { aaabbbccc } \longrightarrow\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right) \\
w \in\left(\begin{array}{l}
3 \\
3 \\
3 \\
3
\end{array}\right) \in C \\
1 \\
0
\end{array}\right)
$$

$$
\begin{gathered}
a,\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right) \\
C=\left\{(n, n, n): n \in \mathbb{N}^{*}\right\} \\
\ell=\left\{\left(a^{n} b^{m} c^{p},\left(\begin{array}{l}
n \\
0 \\
m \\
p
\end{array}\right)\right): n, m,\left(\begin{array}{l}
0 \\
1 \\
0
\end{array}\right)\right. \\
L(\mathcal{A})=\left\{a^{n} b^{n} c^{n}: n \in \mathbb{N}^{*}\right\}
\end{gathered}
$$

## Semilinear sets of $\mathbb{N}^{d}$ (Parikh 1966)

- Intuitively: boolean combinaison of linear (affine) inequalities defining subsets of $\mathbb{N}^{d}$

$$
x_{1}-x_{2}=0 \wedge x_{2}-x_{3}=0 \rightarrow C=\{(n, n, n): n \in \mathbb{N}\}
$$

- More generally, subsets defined by the Presburger arithmetic [Ginsburg and Spanier 66]
$\Phi\left(x_{1}, x_{2}\right):=\exists x, x_{1}-3 x=0 \wedge 1+2 x_{1}-x_{2}=0$
$\rightarrow\{(3 n, 6 n+1): n \in \mathbb{N}\}$


## Semilinear sets of $\mathbb{N}^{d}$ (Parikh 66)



Semilinear $=$ Finite union of linear sets $\vec{c}+P^{*}$ where $P=\left\{p_{1}, \ldots, p_{r}\right\}$ and $P^{*}=\left\{\lambda_{1} p_{1}+\ldots+\lambda_{r} p_{r}: \lambda_{i} \in \mathbb{N}\right\}$

## Semilinear sets of $\mathbb{N}^{d}$ (Parikh 66)

## Theorem (Eilenberg and Schützenberger 69, Ito 69)

If $C$ is semilinear, then $C\left(x_{1}, \ldots, x_{d}\right)=\sum_{\vec{v} \in C} x_{1}^{v_{1}} \ldots x_{d}^{v_{d}}$, its support series, is rational.
$m$
If $C=\bigcup_{i=1} \vec{c}_{i}+P_{i}^{*}$ is an unambiguous description of $C$ :

$$
C\left(x_{1}, \ldots, x_{d}\right)=\sum_{i=1}^{m} \frac{\vec{x}^{c_{i}}}{\prod_{p \in P_{i}}\left(1-\vec{x}^{p}\right)}
$$

## Remark

In the sequel we will deal with holonomic series of the form $f \odot C$, where $C$ is the support series of a semilinear set.

## Weakly-unambiguous Parikh automaton

Weakly-unambiguous: at most one accepting run for every word.

$$
\begin{aligned}
& \{a, b\},\binom{1}{0} \quad\{a, b\},\binom{0}{1} \\
& C=\{(n, n): n \in \mathbb{N}\}
\end{aligned}
$$

$L(\mathcal{A})=\left\{w_{1} a w_{2}:\left|w_{1}\right|=\left|w_{2}\right|, w_{1}, w_{2} \in \Sigma^{*}\right\}$ with $\Sigma=\{a, b\}$.
$\neq$ [Cadilhac, Finkel and McKenzie 13] Unambiguous constraint automata

## Weakly-unambiguous Parikh automata

- PA coincide with the class of Reversal Bounded Counter Machines [Klaedtke and Rueß 03]
- Deterministic versions do not coincide.
- Weakly-unambiguous PA coincide with the class of unambiguous RBCM...
...and the class of RCM languages!
- Weakly-unambiguous PA are closed under intersection, and left quotient with words.
- Closure under union? Complement? Still open.


## Weakly-unambiguous Parikh automata

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...and the class of RCM languages!
- Weakly-unambiguous PA are closed under intersection, and left quotient with words.
- Closure under union? Complement? Still open.
- Languages recognized by weakly-unambiguous PA have holonomic generating series


## Weighted generating series of a PA

Definition (Generating series of the runs of a PA)

$$
q\left(x, y_{1}, \ldots, y_{d}\right)=\sum_{n, i_{1}, \ldots, i_{d}} q_{n, i_{1}, \ldots, i_{d}} x^{n} y_{1}^{i_{1}} \ldots y_{d}^{i_{d}}
$$

where $q_{n, i_{1}, \ldots, i_{d}}$ denotes the number of runs from $q$ to a final state, labelled by $(w, v)$ with $|w|=n$ and $v=\left(i_{1}, \ldots, i_{d}\right)$.

The generating series of these runs are classically rational.

## Example

$$
\begin{gathered}
a,\binom{3}{0} \\
\left\{\begin{array}{l}
q_{0}\left(x, y_{1}, y_{2}, y_{3}\right)=x y_{1} y_{2}^{3} y_{3} q_{1}\left(x, y_{1}, y_{2}, y_{3}\right) \\
q_{1}\left(x, y_{1}, y_{2}, y_{3}\right)=x y_{1}^{3} q_{1}\left(x, y_{1}, y_{2}, y_{3}\right)+x y_{2} q_{2}\left(x, y_{1}, y_{2}, y_{3}\right) \\
q_{2}\left(x, y_{1}, y_{2}, y_{3}\right)=x y_{2} q_{2}\left(x, y_{1}, y_{2}, y_{3}\right)+x y_{3}^{2} q_{3}\left(x, y_{1}, y_{2}, y_{3}\right) \\
q_{3}\left(x, y_{1}, y_{2}, y_{3}\right)=x y_{1}^{2} y_{3} q_{3}\left(x, y_{1}, y_{2}, y_{3}\right)+1
\end{array}\right.
\end{gathered}
$$

## Weakly-unambiguous PA have holonomic series

## Proposition

The generating series of a language recognized by a weakly-unambiguous Parikh Automaton is holonomic.

- $q_{I}\left(x, y_{1}, \ldots, y_{d}\right)$ counts every run of the automaton from $q_{I}$ to a final state. It is rational
- $C\left(y_{1}, \ldots, y_{d}\right)=\quad \sum y_{1}^{i_{1}} \ldots y_{d}^{i_{d}}$ support series of the $\left(i_{1}, \ldots, i_{d}\right) \in C$
semilinear set $C$, which is rational
- $A\left(x, y_{1}, \ldots, y_{d}\right):=q_{l}\left(x, y_{1}, \ldots, y_{d}\right) \odot \frac{1}{1-x} C\left(y_{1}, \ldots, y_{d}\right)$ counts the accepting runs of the automaton, sorted by length and vector value. It is holonomic


## Weakly-unambiguous PA have holonomic series

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semilinear set $C$, which is rational
- $A\left(x, y_{1}, \ldots, y_{d}\right):=q_{l}\left(x, y_{1}, \ldots, y_{d}\right) \odot \frac{1}{1-x} C\left(y_{1}, \ldots, y_{d}\right)$ counts the accepting runs of the automaton, sorted by length and vector value. It is holonomic
- $A(x, 1, \ldots, 1)$ counts the accepting runs of the automaton, sorted by length. It is holonomic
- By weak-unambiguity, $L(x)=A(x, 1, \ldots, 1)$.


## Inherent weak-ambiguity

## Proposition

The generating series of a language recognized by a weakly-unambiguous Parikh Automaton is holonomic.

## Contraposition

If the generating series of a language recognized by a PA is not holonomic, then it is inherently weakly-ambiguous as a PA language.

## Example

## Example

$\mathcal{D}=\left\{a^{n_{1}} b a^{n_{2}} b \ldots a^{n_{k}} b: k \in \mathbb{N}^{*}, n_{1}=1\right.$ and $\left.\exists j<k, n_{j+1} \neq 2 n_{j}\right\}$ is inherently weakly-ambiguous as a PA language.


Ambiguous automaton: ababab has two accepting runs.
From $D(x)$ we built a lacunary series. Lacunary series are not holonomic.

## Criteria for non holonomy

## Theorem (Stanley 1980)

Let $f(x)=\sum a_{n} x^{n}$ :

- If $f$ has an infinite number of singularities, $f$ is not holonomic.
- If $a_{n}$ does not satisfy a linear recurrence with polynomial coefficients, then $f$ is not holonomic.

Example $\left(B(x)=\sum_{k \geq 1} x^{2^{k}-1+k}\right)$
$2^{k+1}-1+k+1-\left(2^{k}-1+k\right) \rightarrow \infty$ incompatible with any

$$
p_{r}(n) a_{n+r}+\ldots+p_{0}(n) a_{n}=0 \quad \text { with } p_{i}(n) \in \mathbb{Q}[n]
$$

## Limits of the method

Inherent weak-ambiguity is undecidable, by Greibach's theorem, using undecidability of universality of PA [Klaedtke and Rueß 03]

The series criterium may fail. There exist inherently weakly-ambiguous PA languages having holonomic series.

## Inherently weakly-ambiguous language with algebraic series

## Proposition

$\mathcal{L}_{\text {even }}=\left\{a^{n_{1}} b a^{m_{1}} b \ldots a^{n_{k}} b a^{m_{k}} b: k \in \mathbb{N}^{*}, \exists i \in[1, k], n_{i}=m_{i}\right\}$ is inherently weakly-ambiguous as a PA.

- aaabaab aabaab $a b a a b \in \mathcal{L}_{\text {even }}$
- It is deterministic context-free $\Rightarrow$ algebraic generating series
- The proof uses Ramsey's theorem, and is very specific to this language. It shows inherent ambiguity for a wider family of automata.


## An algorithmic consequence of holonomy

Holonomy of the generating series has algorithmic consequences
$\rightarrow$ It has already been used for standard unambiguous finite automata!
(1) Present the case of the inclusion problem for unambiguous finite automata
(2) Show how the same general ideas apply to weakly-unambiguous PA.

## Inclusion separation problem



## Proposition (Stearns and Hunt 85)

Given two unambiguous finite automata $\mathcal{A}$ and $\mathcal{B}$ such that

$$
L(\mathcal{B}) \subsetneq L(\mathcal{A})
$$

Then there is a small witness word $w \in L(\mathcal{A}) \backslash L(\mathcal{B})$ such that

$$
|w|<\left|Q_{\mathcal{A}}\right|+\left|Q_{\mathcal{B}}\right|
$$

## Sketch of the proof

- $L_{\mathcal{A}}(x)=\sum_{n} a_{n} x^{n}$ generating series of $L(\mathcal{A})$
- $L_{\mathcal{B}}(x)=\sum_{n} b_{n} x^{n}$ generating series of $L(\mathcal{B})$.
- $G(x)=L_{\mathcal{B}}(x)-L_{\mathcal{A}}(x)$ rational, degrees at most $r \leq\left|Q_{\mathcal{A}}\right|+\left|Q_{\mathcal{B}}\right|$
- Then $g_{n}=b_{n}-a_{n}$ satisfies:

$$
\forall n \geq r, c_{r} g_{n}=c_{r-1} g_{n-1}+\ldots+c_{0} g_{n-r}
$$

## Sketch of the proof

- $L_{\mathcal{A}}(x)=\sum_{n} a_{n} x^{n}$ generating series of $L(\mathcal{A})$
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\forall n \geq r, c_{r} g_{n}=c_{r-1} g_{n-1}+\ldots+c_{0} g_{n-r}
$$

- So if $a_{n}=b_{n}$ for every $n<r$, then $a_{n}=b_{n}$ for all $n$.
- As $L(\mathcal{A}) \subsetneq L(\mathcal{B})$, there exists $N<r$ such that $a_{N}<b_{N}$.
$\rightarrow$ There is a small witness word of length $<\left|Q_{\mathcal{A}}\right|+\left|Q_{\mathcal{B}}\right|$ in $L(\mathcal{B}) \backslash L(\mathcal{A})$.


## Inclusion problem

Input: two weakly-unambiguous Parikh automata $\mathcal{A}, \mathcal{B}$
Question: $L(\mathcal{A}) \subseteq L(\mathcal{B})$ ?

- decidable for deterministic PA
- decidable for RCM [Castiglione and Massazza 17] (hence for weakly-unambiguous PA) without complexity bound
- undecidable for non-deterministic PA
$\rightarrow$ Our contribution is to give explicit bounds in the weakly-unambiguous case


## Inclusion separation for weakly-unambiguous automata?

Essentially same ideas as regular case, however:

- $L_{\mathcal{A}}(x)=A(x, 1, \ldots, 1)$ where:

$$
A\left(x, y_{1}, \ldots, y_{d}\right):=q_{l}\left(x, y_{1}, \ldots, y_{d}\right) \odot C\left(x, y_{1}, \ldots, y_{d}\right)
$$

$\rightarrow$ Same problem with $L_{\mathcal{B}}(x)$

- Then $g_{n}=v_{n}-u_{n}$ satisfies a linear recurrence of the form

$$
\forall n \geq r, c_{r}(n) g_{n}=c_{r-1}(n) g_{n-1}+\ldots+c_{0}(n) g_{n-r}
$$

$G(x)=x^{1000} \rightarrow(1000-n) g_{n}=0$
$\rightarrow$ we need to go beyond $r$ and the roots of $c_{r}$ that are in $\mathbb{N}$

## Inclusion separation for weakly-unambiguous automata?

- We want bounds on the polynomials and order of the recurrence of $G(x)$, depending on the size of the automata $\mathcal{A}$ and $\mathcal{B}$
- At each step (Hadamard product, $y=1$, sum...), bound the size of the representation of the resulting holonomic series (holonomic series are represented by their system of differential equations)
- by a careful analysis of every operation:


## Proposition

If $L(\mathcal{A}) \nsubseteq L(\mathcal{B})$, there exists a word $w \in L(\mathcal{B}) \backslash L(\mathcal{A})$ such that

$$
|w| \leq 2^{2^{O\left(d^{2} \log (d M)\right)}}
$$

where $d=d_{\mathcal{A}}+d_{\mathcal{B}}, M=|\mathcal{A}||\mathcal{B}|\|\mathcal{A}\|_{\infty}\|\mathcal{B}\|_{\infty}$.

## Consequence: inclusion problem

Input: two weakly-unambiguous Parikh automata $\mathcal{A}, \mathcal{B}$
Question: $L(\mathcal{A}) \subseteq L(\mathcal{B})$ ?

## Proposition

We can decide in time $\leq 2^{2^{O\left(d^{2} \log (d M)\right)}}$ whether $L(\mathcal{A}) \subseteq L(\mathcal{B})$, where $d=d_{\mathcal{A}}+d_{\mathcal{B}}, M=|\mathcal{A}||\mathcal{B}|\|\mathcal{A}\|_{\infty}\|\mathcal{B}\|_{\infty}$.
$\rightarrow$ dynamic programming approach to avoid an other exponential when enumerating every word of length less than the witness!

## Conclusion

Language

Regular

Unambiguous context-free $\qquad$
$\longrightarrow$ holonomic $P\left(x, \partial_{x}\right) \cdot L(x)=0$ Pushdown PA

Generating series

$$
\begin{gathered}
L(x) \\
\text { rational } Q(x) L(x)=P(x)
\end{gathered}
$$


algebraic $P(x, L(x))=0$
*
Weakly-unambiguous

Language

$$
\begin{gathered}
L \\
\text { Regular }
\end{gathered}
$$

Unambiguous context-free $\qquad$ $\uparrow$

Weakly-unambiguous Pushdown PA

Generating series

$$
\begin{gathered}
L(x) \\
\text { rational } Q(x) L(x)=P(x)
\end{gathered}
$$



$$
\text { algebraic } P(x, L(x))=0
$$


$\longrightarrow$ holonomic $P\left(x, \partial_{x}\right) \cdot L(x)=0$

Remaining problems: closure under union, universality with a stack, implementation of algorithms...

## Perspectives

Extension: larger classes with holonomic series?
Proposition (Bell and Chen 17)
Any holonomic series with coefficients in $\{0,1\}$ is the support series of a semilinear set.

We are close to the limits of this approach $\rightarrow$ need for new ideas to find other links between holonomic series and formal languages.

## Perspectives

Extension: larger classes with holonomic series?

## Proposition (Bell and Chen 17)

Any holonomic series with coefficients in $\{0,1\}$ is the support series of a semilinear set.

We are close to the limits of this approach $\rightarrow$ need for new ideas to find other links between holonomic series and formal languages.

Thank you!

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## Conclusion

Language L

Regular

Unambiguous context-free

Weakly-unambiguous Pushdown PA

Generating series

$L(x)$
$\longrightarrow$
$\longrightarrow$
algebraic $P(x, L(x))=0$
$\uparrow$
$\longrightarrow$ holonomic $P\left(x, \partial_{x}\right) \cdot L(x)=0$

## Example

$\mathcal{D}=\left\{a^{n_{1}} b a^{n_{2}} b \ldots a^{n_{k}} b: k \in \mathbb{N}^{*}, n_{1}=1\right.$ and $\left.\exists j<k, n_{j+1} \neq 2 n_{j}\right\}$ is inherently ambiguous as a PA language.

## Consequence

Weakly-unambiguous PA are not closed under left quotient with regular languages.
$\mathcal{D}_{2}=\left\{c^{j} a^{n_{1}} b a^{n_{2}} b \ldots a^{n_{k}} b: k \in \mathbb{N}^{*}, j<k, n_{1}=1 \wedge n_{j+1} \neq 2 n_{j}\right\}$

$$
\left(c^{*}\right)^{-1} \mathcal{D}_{2} \cap(a+b)^{*}=\mathcal{D}
$$

## Incomparable

$\left\{a^{n} b^{m} c^{p}: n=m\right.$ or $\left.m=p\right\}$ is

- inherently ambiguous as a CF language
- deterministic as a PA language
$\mathcal{L}_{\text {even }}=\left\{a^{n_{1}} b \ldots a^{n_{2 k}} b: k \in \mathbb{N}^{*}, \exists i \in[1, k], n_{2 i-1}=n_{2 i}\right\}$ is
- deterministic as a CF language
- inherently ambiguous as a PA language


## Undecidability of inherent weak-ambiguity

General method [Greibach 68], by reducing the universality problem

$$
L_{1}=\Sigma_{1}^{*} ?
$$

$L=L_{1} \# \Sigma^{*} \cup \Sigma_{1}^{*} \# \mathcal{D}$. Then:

$$
L_{1}=\Sigma_{1}^{*} \Leftrightarrow L \text { is weakly-unambiguous }
$$

$\Rightarrow$ If $L_{1}=\Sigma_{1}^{*}, L=\Sigma_{1}^{*} \# \Sigma^{*}$ is regular.
$\Leftarrow$ By contraposition, let $y \notin L_{1}$. As $(y \#)^{-1} L=\mathcal{D}$ is not weakly-unambiguous, neither is $L$.

## Inclusion separation for weakly-unambiguous automata?

- $\mathcal{A}$ given under the form $\left(\Sigma, Q, q_{l}, F, C, \Delta\right)$.
- $C$ given under a unambiguous form $\cup_{i=1}^{p} c_{i}+P_{i}^{*}$


## Inclusion separation for weakly-unambiguous automata?

- $\mathcal{A}$ given under the form $\left(\Sigma, Q, q_{l}, F, C, \Delta\right)$.
- $C$ given under a unambiguous form $\cup_{i=1}^{p} c_{i}+P_{i}^{*}$
- $\|\mathcal{A}\|_{\infty}$ maximum coordinate of the vectors in the description of $\Delta$ and $C$
- $|\mathcal{A}|=|Q|+|\Delta|+p+\sum\left|P_{i}\right|$

