# Weakly-unambiguous Parikh automata and their link with holonomic series

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Regular	$\longrightarrow$	rational $L(x) = P(x)/Q(x)$

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Unambiguous context-free
$$- \qquad \rightarrow \qquad \text{algebraic } P(x, L(x)) = 0$$

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$$\frac{1-2x+225x^2}{(1-25x)(625x^2+14x+1)} = 1+9x+49x^2+\dots$$
 [Bousquet-Mélou 08]  
$$G(x) = 1+2x+11x^2+\dots$$
 [Bostan & Kauers 10, Drmota & Banderier 13]

### Theorem (Chomsky and Schützenberger 63)

The generating series of an unambiguous context-free language is algebraic.

### Contraposition

If the generating series of a context-free language is not algebraic, then it is inherently ambiguous.

### Example (Flajolet 87)

 $\mathcal{D} = \{a^{n_1}b \ a^{n_2}b \dots a^{n_k}b \ : \ k \in \mathbb{N}^*, \ n_1 = 1 \text{ and } \exists j < k, n_{j+1} \neq 2n_j\}$  is inherently ambiguous.

- aab  $\notin \mathcal{D}$
- ullet abaabaaab  $\in \mathcal{D}$
- abaabaaaab $\notin \mathcal{D}$
- $ab a^2b a^4b \dots a^{2^{k-1}}b \notin D$

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- By contradiction, suppose D is unambiguous. Then D(x) is algebraic
- Aim: build from D(x) a series that is not algebraic and use closure properties

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- By contradiction, suppose  $\mathcal{D}$  is unambiguous. Then D(x) is algebraic
- Aim: build from D(x) a series that is not algebraic and use closure properties

• 
$$\mathcal{B} = ab(ab^*)^* \setminus \mathcal{D} = \{ab \ a^2b \ a^4b \dots a^{2^{k-1}}b \ : \ k \in \mathbb{N}^*\}$$

• 
$$B(x) = \frac{x^2}{1-\frac{x}{1-x}} - D(x) =$$
algebraic

• So 
$$B(x) = \sum_{k \ge 1} x^{2^k - 1 + k}$$
, which is lacunary

• So B(x) is not algebraic. Contradiction

### Remarks on this method

- Analytic criteria for solving some instances of an undecidable problem
- It can avoid technical proofs on automata based on pumping techniques.

• 
$$L = \{a^n b^m c^p : n = m \text{ or } m = p\}$$
 is inherently ambiguous as a CF language yet  $L(x) = \frac{2}{(1-x^2)(1-x)} - \frac{1}{1-x^3}$  is rational

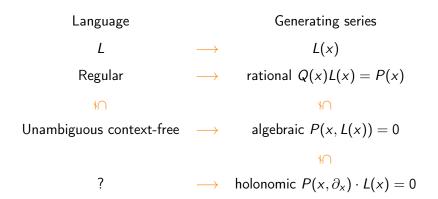
• Specific about inherent ambiguity questions.

 $\rightarrow$  language of primitive words  $\mathcal{L}_{\textit{P}}$ 

$$aabb \in \mathcal{L}_P, abab \notin \mathcal{L}_P$$

CFL: open

not unambiguous CFL: [Peterson 96]



A series  $f(x) = \sum_{n} a_n x^n$  is holonomic (or D-finite) if it satisfies a differential equation of the form:

 $P_k(x)f^{(k)}(x) + \ldots + P_0(x)f(x) = 0$  with  $P_i(x) \in \mathbb{Q}[x]$ 

Equivalently  $a_n$  satisfies a linear recurrence of the form

 $p_r(n)a_{n+r} + \ldots + p_0(n)a_n = 0$  with  $p_i(n) \in \mathbb{Q}[n]$ 

Closed by sum, product, composition with algebraic series, Hadamard product...

### Example of holonomic series

- rational series F = P/Q: (PQ)F' + (PQ' P'Q)F = 0 $\rightarrow$  Linear recurrence with constant coefficients
- algebraic series (the proof is however not straightforward)  $F(x) = \sqrt{1-x} := \sum \frac{4^{-n}}{1-2n} {2n \choose n} x^n \text{ satisfies } F^2 - 1 - x = 0$  2(1-x)F' - F = 0  $2(n+1)u_{n+1} - (2n+1)u_n = 0$
- $F(x) = e^x := \sum x^n/n!$  is holonomic but is not algebraic F' - F = 0 $(n+1)u_{n+1} - u_n = 0$

A series  $f(x_1, ..., x_n)$  is holonomic (or D-finite) if it satisfies a system of partial derivative equations of the form:

$$\begin{cases} A_{1,r_1}(\vec{x}) \ \partial_{x_1}^{r_1} f(\vec{x}) + \ldots + A_{1,1}(\vec{x}) \ \partial_{x_1} f(\vec{x}) + A_{1,0}(\vec{x}) \ f(\vec{x}) = 0 \\ \vdots \\ A_{n,r_n}(\vec{x}) \ \partial_{x_n}^{r_n} f(\vec{x}) + \ldots + A_{n,1}(\vec{x}) \ \partial_{x_n} f(\vec{x}) + A_{n,0}(\vec{x}) \ f(\vec{x}) = 0 \end{cases}$$
  
with  $A_{i,j}(\vec{x}) \in \mathbb{Q}[\vec{x}]$ , and  $\vec{x} = (x_1, \ldots, x_n)$ .

#### We only use closure properties rather than the definition

### Theorem (Lipshitz 1988, 1989)

Holonomic series are closed under :

- arithmetic operations  $+, \times, -$
- Specialization to 1, when it is well-defined: if f(x<sub>1</sub>,...,x<sub>n</sub>) is holonomic, then f(x,1,...,1) is holonomic too
- **3** Hadamard's product  $\odot$

$$f(x_1,\ldots,x_n) = \sum_{\mathbf{i}\in\mathbb{N}^n} a(i_1,\ldots,i_n) x_1^{i_1}\ldots x_n^{i_n}$$
$$g(x_1,\ldots,x_n) = \sum_{\mathbf{i}\in\mathbb{N}^n} b(i_1,\ldots,i_n) x_1^{i_1}\ldots x_n^{i_n}$$
$$f \odot g(x_1,\ldots,x_n) = \sum_{\mathbf{i}\in\mathbb{N}^n} a(i_1,\ldots,i_n) b(i_1,\ldots,i_n) x_1^{i_1}\ldots x_n^{i_n}$$

Let  $\mathcal{S} \subseteq \mathbb{N}^n$ . The support series of  $\mathcal{S}$  is

$$g(x_1,\ldots,x_n)=\sum_{(i_1,\ldots,i_n)\in\mathcal{S}}x_1^{i_1}\ldots x_n^{i_n}$$

Let 
$$f(x_1,...,x_n) = \sum_{(i_1,...,i_n) \in \mathbb{N}^n} a(i_1,...,i_n) x_1^{i_1} \dots x_n^{i_n}$$
. Then:

$$(f \odot g)(x_1,\ldots,x_n) = \sum_{(i_1,\ldots,i_n) \in \mathcal{S}} a(i_1,\ldots,i_n) x_1^{i_1} \ldots x_n^{i_n}$$

#### Example

$$\Omega_3 = \{ w \in (a + b + c)^* : |w|_a \neq |w|_b \text{ or } |w|_b \neq |w|_c \}.$$

- $abbca \in \Omega_3$ ,  $abbcca \notin \Omega_3$ .
- $\Omega_3$  is context-free, inherently ambiguous as a CFL.

$$\Omega_{3}(x_{a}, x_{b}, x_{c}) = \underbrace{\frac{1}{1 - (x_{a} + x_{b} + x_{c})}}_{(a+b+c)^{*}} \odot \underbrace{\left(\frac{1}{(1 - x_{a})(1 - x_{c})} - \frac{1}{1 - x_{a}x_{b}x_{c}}\right)}_{|w|_{a} \neq |w|_{b} \text{ or } |w|_{b} \neq |w|_{c}}$$
$$= \frac{1}{1 - (x_{a} + x_{b} + x_{c})} - \frac{1}{1 - (x_{a} + x_{b} + x_{c})} \odot \frac{1}{1 - x_{a}x_{b}x_{c}}$$

#### Example

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$$\frac{1}{1 - (x_a + x_b + x_c)} \odot \frac{1}{1 - x_a x_b x_c} = [y_a^{-1} y_b^{-1} y_c^{-1}] \frac{1}{y_a y_b y_c} \frac{1}{1 - (\frac{x_a}{y_a} + \frac{x_b}{y_b} + \frac{x_c}{y_c})} \frac{1}{1 - y_a y_b y_c}$$

Mgfun [Chyzak] and gfun [Salvy and Zimmermann] give:

 $p_{3}(\vec{x})\partial_{x_{a}}^{3}\Omega_{3}(\vec{x}) + p_{2}(\vec{x})\partial_{x_{a}}^{2}\Omega_{3}(\vec{x}) + p_{1}(\vec{x})\partial_{x_{a}}\Omega_{3}(\vec{x}) + p_{0}(\vec{x})\Omega_{3}(\vec{x}) = 0$ 

with  $\|p_i\|_{\infty} \leq 7344$  and  $\deg(p_i) \leq 9$ .

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with  $||p_i||_{\infty} \le 7344$  and  $\deg(p_i) \le 9$ .

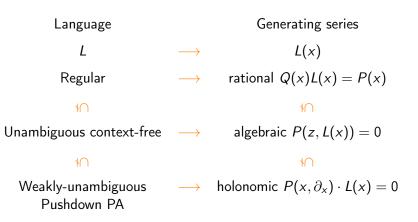
#### Remark (Flajolet 87)

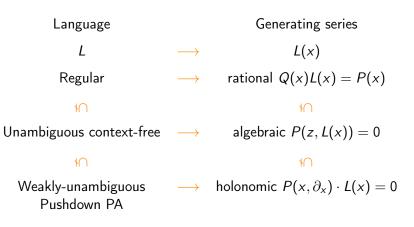
 $\Omega_3(x_a, x_b, x_c)$  is holonomic but not algebraic.

# Previous attempts at a link with formal languages

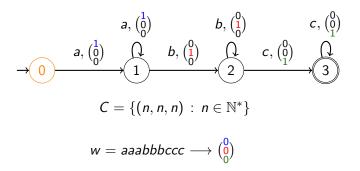
- [Lipshitz 88] added linear constraints to the support of a holonomic series using a Hadamard product with a support series
- [Massazza 93] formalized the idea with (semi)linear constraints (Linear Constrained Languages)
- [Castiglione and Massazza 2017] RCM (Regular languages with semilinear Constraints and a (injective) Morphism) ex: a<sup>n</sup>b<sup>m</sup>a<sup>n</sup>b<sup>m</sup>

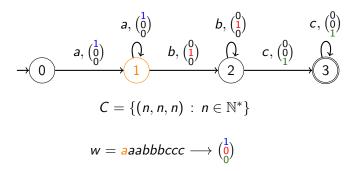
 $\rightarrow$  not fully satisfactory from an automaton point of view. Conjectured a link with deterministic Reversal Bounded Counter Machines.

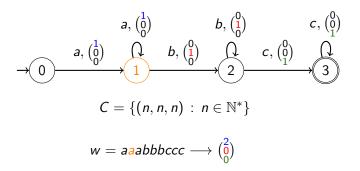


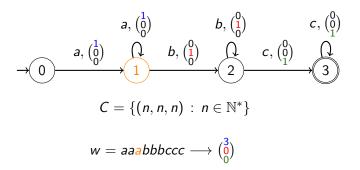


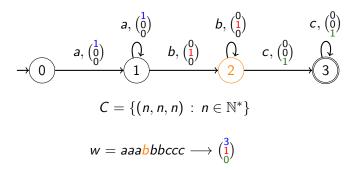
For the presentation we work with PA and not Pushdown PA.

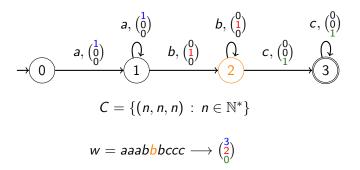


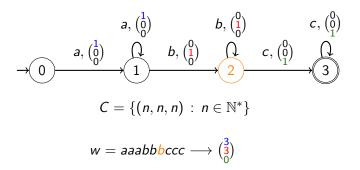


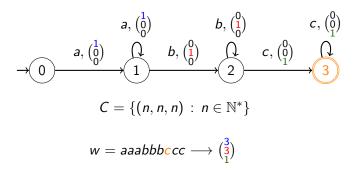


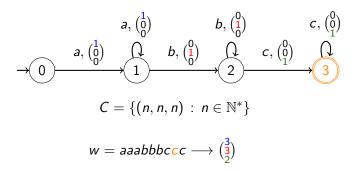


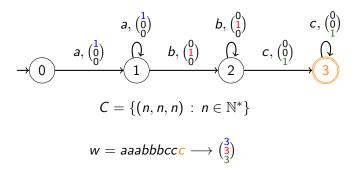


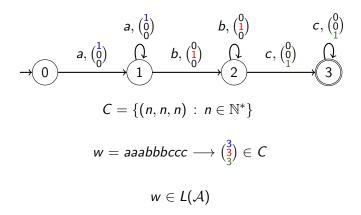




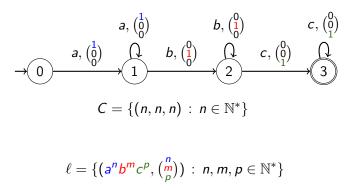








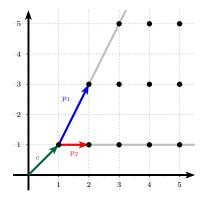
## Parikh automata [Klaedtke and Rueß 03]



 $L(\mathcal{A}) = \{a^n b^n c^n : n \in \mathbb{N}^*\}$ 

- Intuitively: boolean combinaison of linear (affine) inequalities defining subsets of N<sup>d</sup>
   x<sub>1</sub> x<sub>2</sub> = 0 ∧ x<sub>2</sub> x<sub>3</sub> = 0 → C = {(n, n, n) : n ∈ N}
- More generally, subsets defined by the Presburger arithmetic [Ginsburg and Spanier 66]  $\Phi(x_1, x_2) := \exists x, x_1 - 3x = 0 \land 1 + 2x_1 - x_2 = 0$  $\rightarrow \{(3n, 6n + 1) : n \in \mathbb{N}\}$

# Semilinear sets of $\mathbb{N}^d$ (Parikh 66)



Semilinear = Finite union of linear sets  $\vec{c} + P^*$  where  $P = \{p_1, \dots, p_r\}$  and  $P^* = \{\lambda_1 p_1 + \dots + \lambda_r p_r : \lambda_i \in \mathbb{N}\}$ 

#### Theorem (Eilenberg and Schützenberger 69, Ito 69)

If C is semilinear, then  $C(x_1, ..., x_d) = \sum_{\vec{v} \in C} x_1^{v_1} \dots x_d^{v_d}$ , its support series, is rational.

If  $C = \bigcup_{i=1}^{m} \vec{c_i} + P_i^*$  is an unambiguous description of C:

$$C(x_1,\ldots,x_d) = \sum_{i=1}^m \frac{\vec{x}^{c_i}}{\prod_{p \in \mathcal{P}_i} (1-\vec{x}^p)}$$

#### Remark

In the sequel we will deal with holonomic series of the form  $f \odot C$ , where C is the support series of a semilinear set.

Weakly-unambiguous: at most one accepting run for every word.

$$C = \{(n, n) : n \in \mathbb{N}\}$$

 $L(\mathcal{A}) = \{ w_1 a w_2 : |w_1| = |w_2|, w_1, w_2 \in \Sigma^* \} \text{ with } \Sigma = \{a, b\}.$ 

 $\neq$  [Cadilhac, Finkel and McKenzie 13] Unambiguous constraint automata

# Weakly-unambiguous Parikh automata

- PA coincide with the class of Reversal Bounded Counter Machines [Klaedtke and Rueß 03]
- Deterministic versions do not coincide.
- Weakly-unambiguous PA coincide with the class of unambiguous RBCM...
   ...and the class of RCM languages!
- Weakly-unambiguous PA are closed under intersection, and left quotient with words.
- Closure under union? Complement? Still open.

# Weakly-unambiguous Parikh automata

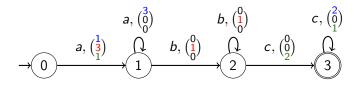
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- Closure under union? Complement? Still open.
- Languages recognized by weakly-unambiguous PA have holonomic generating series

Definition (Generating series of the runs of a PA)

$$q(x, y_1, \dots, y_d) = \sum_{n, i_1, \dots, i_d} q_{n, i_1, \dots, i_d} x^n y_1^{i_1} \dots y_d^{i_d}$$

where  $q_{n,i_1,...,i_d}$  denotes the number of runs from q to a final state, labelled by (w, v) with |w| = n and  $v = (i_1, ..., i_d)$ .

The generating series of these runs are classically rational.



$$\begin{cases} q_0(x, y_1, y_2, y_3) = xy_1y_2^3y_3q_1(x, y_1, y_2, y_3) \\ q_1(x, y_1, y_2, y_3) = xy_1^3q_1(x, y_1, y_2, y_3) + xy_2q_2(x, y_1, y_2, y_3) \\ q_2(x, y_1, y_2, y_3) = xy_2q_2(x, y_1, y_2, y_3) + xy_3^2q_3(x, y_1, y_2, y_3) \\ q_3(x, y_1, y_2, y_3) = xy_1^2y_3q_3(x, y_1, y_2, y_3) + 1 \end{cases}$$

The generating series of a language recognized by a weakly-unambiguous Parikh Automaton is holonomic.

- $q_I(x, y_1, \dots, y_d)$  counts every run of the automaton from  $q_I$  to a final state. It is rational
- $C(y_1, \ldots, y_d) = \sum_{\substack{(i_1, \ldots, i_d) \in C}} y_1^{i_1} \ldots y_d^{i_d}$  support series of the semilinear set C, which is rational
- A(x, y<sub>1</sub>,..., y<sub>d</sub>) := q<sub>I</sub>(x, y<sub>1</sub>,..., y<sub>d</sub>) ⊙ <sup>1</sup>/<sub>1-x</sub>C(y<sub>1</sub>,..., y<sub>d</sub>) counts the accepting runs of the automaton, sorted by length and vector value. It is holonomic

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- A(x, 1, ..., 1) counts the accepting runs of the automaton, sorted by length. It is holonomic
- By weak-unambiguity,  $L(x) = A(x, 1, \dots, 1)$ .

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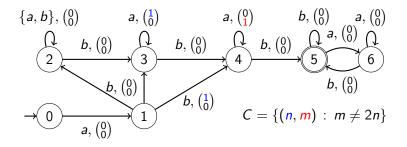
#### Contraposition

If the generating series of a language recognized by a PA is not holonomic, then it is inherently weakly-ambiguous as a PA language.

## Example

#### Example

 $\mathcal{D} = \{a^{n_1}b \ a^{n_2}b \dots a^{n_k}b \ : \ k \in \mathbb{N}^*, \ n_1 = 1 \text{ and } \exists j < k, n_{j+1} \neq 2n_j\}$  is inherently weakly-ambiguous as a PA language.



Ambiguous automaton: ababab has two accepting runs.

From D(x) we built a lacunary series. Lacunary series are not holonomic.

#### Theorem (Stanley 1980)

Let  $f(x) = \sum a_n x^n$  :

- If f has an infinite number of singularities, f is not holonomic.
- If a<sub>n</sub> does not satisfy a linear recurrence with polynomial coefficients, then f is not holonomic.

Example 
$$(B(x) = \sum_{k \ge 1} x^{2^k - 1 + k})$$
  
 $2^{k+1} - 1 + k + 1 - (2^k - 1 + k) \rightarrow \infty$  incompatible with any  
 $p_r(n)a_{n+r} + \ldots + p_0(n)a_n = 0$  with  $p_i(n) \in \mathbb{Q}[n]$ 

Inherent weak-ambiguity is undecidable, by Greibach's theorem, using undecidability of universality of PA [Klaedtke and Rueß 03]

The series criterium may fail. There exist inherently weakly-ambiguous PA languages having holonomic series.

 $\mathcal{L}_{even} = \{a^{n_1} b a^{m_1} b \dots a^{n_k} b a^{m_k} b : k \in \mathbb{N}^*, \exists i \in [1, k], n_i = m_i\} \text{ is inherently weakly-ambiguous as a PA.}$ 

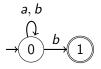
- aaabaab aabaab abaab  $\in \mathcal{L}_{even}$
- It is deterministic context-free  $\Rightarrow$  algebraic generating series
- The proof uses Ramsey's theorem, and is very specific to this language. It shows inherent ambiguity for a wider family of automata.

Holonomy of the generating series has algorithmic consequences

 $\rightarrow$  It has already been used for standard unambiguous finite automata!

- Present the case of the inclusion problem for unambiguous finite automata
- Show how the same general ideas apply to weakly-unambiguous PA.

### Inclusion separation problem



Proposition (Stearns and Hunt 85)

Given two unambiguous finite automata  $\mathcal{A}$  and  $\mathcal{B}$  such that  $L(\mathcal{B}) \subsetneq L(\mathcal{A})$ 

Then there is a small witness word  $w \in L(\mathcal{A}) \setminus L(\mathcal{B})$  such that  $|w| < |Q_{\mathcal{A}}| + |Q_{\mathcal{B}}|$ 

# Sketch of the proof

- $L_{\mathcal{A}}(x) = \sum_{n} a_{n} x^{n}$  generating series of  $L(\mathcal{A})$
- $L_{\mathcal{B}}(x) = \sum_{n} b_{n} x^{n}$  generating series of  $L(\mathcal{B})$ .
- $G(x) = L_{\mathcal{B}}(x) L_{\mathcal{A}}(x)$  rational, degrees at most  $r \leq |Q_{\mathcal{A}}| + |Q_{\mathcal{B}}|$
- Then  $g_n = b_n a_n$  satisfies:

$$\forall n \geq r, \ c_r g_n = c_{r-1} g_{n-1} + \ldots + c_0 g_{n-r}$$

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- Then  $g_n = b_n a_n$  satisfies:

$$\forall n \geq r, \ c_r g_n = c_{r-1} g_{n-1} + \ldots + c_0 g_{n-r}$$

- So if  $a_n = b_n$  for every n < r, then  $a_n = b_n$  for all n.
- As  $L(\mathcal{A}) \subsetneq L(\mathcal{B})$ , there exists N < r such that  $a_N < b_N$ .

 $\rightarrow$ There is a small witness word of length  $< |Q_A| + |Q_B|$  in  $L(B) \setminus L(A)$ .

Input: two weakly-unambiguous Parikh automata  $\mathcal{A}, \mathcal{B}$ Question:  $L(\mathcal{A}) \subseteq L(\mathcal{B})$ ?

- decidable for deterministic PA
- decidable for RCM [Castiglione and Massazza 17] (hence for weakly-unambiguous PA) without complexity bound
- undecidable for non-deterministic PA

 $\rightarrow \text{Our contribution}$  is to give explicit bounds in the weakly-unambiguous case

Essentially same ideas as regular case, however:

• 
$$L_{\mathcal{A}}(x) = A(x, 1, ..., 1)$$
 where:  
 $A(x, y_1, ..., y_d) := q_I(x, y_1, ..., y_d) \odot C(x, y_1, ..., y_d)$   
 $\rightarrow$  Same problem with  $L_{\mathcal{B}}(x)$ 

• Then  $g_n = v_n - u_n$  satisfies a linear recurrence of the form

$$\forall n \ge r, \ c_r(n)g_n = c_{r-1}(n)g_{n-1} + \ldots + c_0(n)g_{n-r}$$
$$G(x) = x^{1000} \rightarrow (1000 - n)g_n = 0$$
$$\rightarrow \text{ we need to go beyond } r \text{ and the roots of } c_r \text{ that are in } \mathbb{N}$$

# Inclusion separation for weakly-unambiguous automata?

- We want bounds on the polynomials and order of the recurrence of G(x), depending on the size of the automata A and B
- At each step (Hadamard product, *y* = 1, sum...), bound the size of the representation of the resulting holonomic series (holonomic series are represented by their system of differential equations)
- by a careful analysis of every operation:

#### Proposition

If  $L(\mathcal{A}) \not\subseteq L(\mathcal{B})$ , there exists a word  $w \in L(\mathcal{B}) \setminus L(\mathcal{A})$  such that

 $|w| \leq 2^{2^{O(d^2 \log(dM))}}$ 

where  $d = d_{\mathcal{A}} + d_{\mathcal{B}}$ ,  $M = |\mathcal{A}| |\mathcal{B}| ||\mathcal{A}||_{\infty} ||\mathcal{B}||_{\infty}$ .

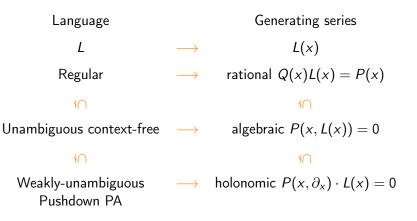
Input: two weakly-unambiguous Parikh automata  $\mathcal{A}, \mathcal{B}$ Question:  $L(\mathcal{A}) \subseteq L(\mathcal{B})$ ?

#### Proposition

We can decide in time  $\leq 2^{2^{O(d^2 \log(dM))}}$  whether  $L(\mathcal{A}) \subseteq L(\mathcal{B})$ , where  $d = d_{\mathcal{A}} + d_{\mathcal{B}}$ ,  $M = |\mathcal{A}| |\mathcal{B}| ||\mathcal{A}||_{\infty} ||\mathcal{B}||_{\infty}$ .

 $\rightarrow$  dynamic programming approach to avoid an other exponential when enumerating every word of length less than the witness!

Language Generating series Ι L(x)rational Q(x)L(x) = P(x)Regular algebraic P(x, L(x)) = 0Unambiguous context-free  $\longrightarrow$ Weakly-unambiguous holonomic  $P(x, \partial_x) \cdot L(x) = 0$  $\longrightarrow$ Pushdown PA



Remaining problems: closure under union, universality with a stack, implementation of algorithms...

Extension: larger classes with holonomic series?

#### Proposition (Bell and Chen 17)

Any holonomic series with coefficients in  $\{0,1\}$  is the support series of a semilinear set.

We are close to the limits of this approach  $\rightarrow$  need for new ideas to find other links between holonomic series and formal languages.

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Thank you!

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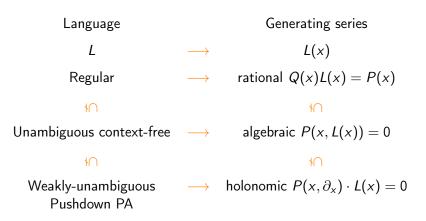
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#### Example

 $\mathcal{D} = \{a^{n_1}b \ a^{n_2}b \dots a^{n_k}b \ : \ k \in \mathbb{N}^*, \ n_1 = 1 \text{ and } \exists j < k, n_{j+1} \neq 2n_j\}$  is inherently ambiguous as a PA language.

#### Consequence

Weakly-unambiguous PA are not closed under left quotient with regular languages.

 $\mathcal{D}_2 = \{ c^j a^{n_1} b \ a^{n_2} b \dots a^{n_k} b \ : \ k \in \mathbb{N}^*, j < k, \ n_1 = 1 \land \ n_{j+1} \neq 2n_j \}$  $(c^*)^{-1} \mathcal{D}_2 \cap (a+b)^* = \mathcal{D}$ 

$$\{a^n b^m c^p : n = m \text{ or } m = p\}$$
 is

- inherently ambiguous as a CF language
- deterministic as a PA language

$$\mathcal{L}_{even} = \{a^{n_1}b \dots a^{n_{2k}}b : k \in \mathbb{N}^*, \exists i \in [1, k], n_{2i-1} = n_{2i}\}$$
 is

- deterministic as a CF language
- inherently ambiguous as a PA language

General method [Greibach 68], by reducing the universality problem

$$L_1 = \Sigma_1^*?$$

 $L = L_1 \# \Sigma^* \cup \Sigma_1^* \# \mathcal{D}$ . Then:

 $L_1 = \Sigma_1^* \Leftrightarrow L$  is weakly-unambiguous

- $\Rightarrow$  If  $L_1 = \Sigma_1^*$ ,  $L = \Sigma_1^* \# \Sigma^*$  is regular.
- ⇐ By contraposition, let  $y \notin L_1$ . As  $(y\#)^{-1}L = D$  is not weakly-unambiguous, neither is L.

- $\mathcal{A}$  given under the form  $(\Sigma, Q, q_I, F, C, \Delta)$ .
- *C* given under a unambiguous form  $\bigcup_{i=1}^{p} c_i + P_i^*$

- $\mathcal{A}$  given under the form  $(\Sigma, Q, q_I, F, C, \Delta)$ .
- *C* given under a unambiguous form  $\cup_{i=1}^{p} c_i + P_i^*$
- $\|\mathcal{A}\|_{\infty}$  maximum coordinate of the vectors in the description of  $\Delta$  and C
- $|A| = |Q| + |\Delta| + p + \sum |P_i|$