About the arrow can: Tensor product of series → Double series, its usage in C.S. and Combinatorics II. (MO: 200442 & 201753), Schützenberger's calculus (towards continuation of polylogarithms).

G.H.E. Duchamp, Hoang Ngoc Minh, Karol A. Penson, C. Lavault, C. Tollu, N. Behr, N. Gargava. Collaboration at various stages of the work and in the framework of the Project Evolution Equations in Combinatorics and Physics : C. Bui, Q.H. Ngô, Vu Dinh, S. Goodenough.

CIP seminar, 09 February 2021 (rev. 09-02-2021 17:02)

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The goal of this talk is threefold

A

Dualization of laws and co-laws of a bialgebra with the conc-bialgebras to begin with.

В

Pursue with the generality. How about a general bialgebra.

С

MRS factorisation(s): Local systems of coordinates for Hausdorff groups.

Transpose of a laws and dual laws

Original Problem

Let $\mathcal{B} = (\mathcal{B}, \mu, 1_{\mathcal{B}}, \Delta, \epsilon)$ be a bialgebra. We now will examine the dualization of it, i.e. ideally the existence of another bialgebra

$$\mathcal{B}_1 = (\mathcal{B}_1, \mu_1, \mathbf{1}_{\mathcal{B}_1}, \Delta_1, \epsilon_1)$$

and a pairing $\langle \ . \ | \ . \ \rangle: \ \mathcal{B}_1 \otimes \mathcal{B} \to \textbf{k}$ such that, identically

$$\langle x \mid \mu(y \otimes z) \rangle = \langle \Delta_1(x) \mid y \otimes z \rangle^{\otimes 2}$$
 (1)

$$\langle \mu_1(x \otimes y) \mid z \rangle = \langle x \otimes y \mid \Delta(z) \rangle^{\otimes 2}$$
 (2)

$$\epsilon(\mathbf{x}) = \langle \mathbf{1}_{\mathcal{B}_1} \mid \mathbf{x} \rangle \; ; \; \epsilon_1(\mathbf{x}) = \langle \mathbf{x} \mid \mathbf{1}_{\mathcal{B}} \rangle \tag{3}$$

In addition, we require that (through $\langle . | . \rangle$) we get an embedding $(\mathcal{B}_1 \hookrightarrow \mathcal{B}^{\vee})$ i.e. $\mathcal{B}^{\perp} = \{0\}$ and that there are sufficiently many elements in \mathcal{B}_1 to separate elements of \mathcal{B} i.e. $\mathcal{B}_1^{\perp} = \{0\}$. We say that this pair is *in separating dualty* (see discussion after MO question 179214).

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Examples

The identities (1)-(3) mean that there is a correspondence between the elements of \mathcal{B} and \mathcal{B}_{1} .

for
$$a, b \in X, u, v \in X^*$$

 $u \equiv_{\varphi} 1_{X^*} = 1_{X^*} \equiv_{\varphi} u = u$
 $a.u \equiv_{\varphi} b.v = a.(u \equiv_{\varphi} b.v) + b.(a.u \equiv_{\varphi} v) + \underbrace{\varphi(a, b).(u \equiv_{\varphi} v)}_{\text{perturbation}}$

With this law $\mathcal{B}_{\varphi} = (\mathbf{k} \langle X \rangle, \operatorname{m}_{\varphi}, 1_{X^*}, \Delta_{\operatorname{conc}}, \epsilon)$

is a Hopf algebra. The possibility of dualization of \mathcal{B}_{φ} depends crucially on what φ is.

Name	Formula (recursion)	φ	Reference
Shuffle	$au \amalg bv = a(u \amalg bv) + b(au \amalg v)$	$\varphi \equiv 0$	Ree
Stuffle	$\begin{aligned} x_{i}u & \bigstar x_{j}v = x_{i}(u & \bigstar x_{j}v) + x_{i}(x_{i}u & \bigstar v) \\ & + x_{i+j}(u & \bigstar v) \end{aligned}$	$\varphi(x_i, x_j) = x_{i+j}$	Hoffman
Min-stuffle	$x_i u \sqcup x_j v = x_i (u \sqcup x_j v) + x_j (x_i u \sqcup v) - x_{i+j} (u \sqcup v)$	$\varphi(x_i,x_j)=-x_{i+j}$	Costermans
Muffle	$x_{i} u \bullet x_{j} v = x_{i} (u \bullet x_{j} v) + x_{j} (x_{i} u \bullet v) + x_{i \times j} (u \bullet v)$	$\varphi(x_i, x_j) = x_{i \times j}$	Enjalbert,HNM
<i>q</i> -shuffle	$x_{i}u \bowtie q x_{j}v = x_{i}(u \bowtie q x_{j}v) + x_{j}(x_{i}u \bowtie qv) + qx_{i+j}(u \bowtie qv)$	$\varphi(x_i, x_j) = q x_{i+j}$	Bui
q-shuffle ₂	$x_i u \bowtie q x_j v = x_i (u \bowtie q x_j v) + x_j (x_i u \bowtie q v) + q^{i.j} x_{i+j} (u \bowtie q v)$	$\varphi(x_i, x_j) = q^{i.j} x_{i+j}$	Bui
$LDIAG(1, q_s)$	$au \operatorname{III} bv = a(u \operatorname{III} bv) + b(au \operatorname{III} v) + q_s^{ a b } a.b(u \operatorname{III} v)$	$arphi(a,b)=q_{s}^{ a b }(a.b)$	GD, Koshevoy, Penson, Tollu
q-Infiltration	$egin{aligned} a u \uparrow b v &= a (u \uparrow b v) + b (a u \uparrow v) \ &+ q \delta_{a,b} a (u \uparrow v) \end{aligned}$	$\varphi(a, b) = q \delta_{a, b} a$	Chen-Fox-Lyndon
AC-stuffle	$au \coprod arphi bv = a(u \coprod arphi bv) + b(au \coprod arphi v) + arphi(a, b)(u \coprod arphi v)$	$arphi({a},{b})=arphi({b},{a}) \ arphi(arphi({a},{b}),{c})=arphi({a},arphi({b},{c}))$	Enjalbert,HNM
Semigroup- -stuffle	$ x_t u \coprod_{\perp} x_s v = x_t (u \coprod_{\perp} x_s v) + x_s (x_t u \coprod_{\perp} v) + x_{t \perp s} (u \coprod_{\perp} v) $	$\varphi(\mathbf{x}_t,\mathbf{x}_s) = \mathbf{x}_{t\perp s}$	Deneufchâtel
φ -shuffle	$au \coprod_{\varphi} bv = a(u \coprod_{\varphi} bv) + b(au \coprod_{\varphi} v) + \varphi(a, b)(u \coprod_{\varphi} v)$	arphi(a,b) law of AAU	Manchon, Paycha

Common pattern

$$w \amalg_{arphi} 1_{X^*} = 1_{X^*} \amalg_{arphi} w = w$$
 and

 $au \operatorname{m}_{\varphi} bv = a(u \operatorname{m}_{\varphi} bv) + b(au \operatorname{m}_{\varphi} v) + \varphi(a, b)(u \operatorname{m}_{\varphi} v)$

Dualizable laws in conc-shuffle bialgebras/1

We can exploit the basis of words as follows

- Any bilinear law (shuffle, stuffle or any) µ : A⟨𝔅⟩ ⊗_A A⟨𝔅⟩ → A⟨𝔅⟩ can be described through its structure constants w.r.t. to the basis of words, *i.e.* for u, v, w ∈ 𝔅^{*}, Γ^w_{u,v} := ⟨µ(u ⊗ v) | w⟩ so that µ(u ⊗ v) = ∑_{uv∈𝔅^{*}} Γ^w_{u,v} w.
- In the case when Γ^w_{u,v} is locally finite in w, we say that the given law is dualizable, the arrow ^tµ restricts nicely to A⟨X⟩ → A⟨⟨X⟩⟩ and one can define on the polynomials a comultiplication by the finite sum Δ_µ(w) := ∑_{u,v∈X*} Γ^w_{u,v} u ⊗ v.

 ${f 3}\,$ When the law μ is dualizable, we have

$$\begin{array}{ccc} A\langle\!\langle \mathcal{X} \rangle\!\rangle & \xrightarrow{t_{\mu}} & A\langle\!\langle \mathcal{X}^* \otimes \mathcal{X}^* \rangle\!\rangle \\ \hline can & & \uparrow^{\Phi|_{A\langle \mathcal{X} \rangle \otimes_A A\langle \mathcal{X} \rangle}} \\ A\langle \mathcal{X} \rangle & \xrightarrow{\Delta_{\mu}} & A\langle \mathcal{X} \rangle \otimes_A A\langle \mathcal{X} \rangle \end{array}$$

(still when μ is dualizable), the arrow Δ_{μ} is unique to be able to close the rectangle and $\Delta_{\mu}(P)$ is defined as above.

Dualizable laws in conc-shuffle bialgebras/2

Now, let us give a family of (counter) examples. We start with $\mathcal{X} = Y_S$ where $S \subset \mathbb{C}$ is an additive subsemigroup (intances of S are $\mathbb{N}, \mathbb{N}_+, \mathbb{R}_+, [2, +\infty[, \mathbb{Z} \text{ and the upper-quater plane } \mathcal{P} = \mathbb{N} \oplus i\mathbb{N})$. Building, with $Y_S = \{y_s\}_{s \in S}$ and $\varphi(y_s, y_t) := y_{(s+t)}$, the φ -shuffle π_{φ} as above (slide 5). With the above, we get the following table

$$\begin{tabular}{|c|c|c|c|c|c|} \hline S & \mathbb{N} & \mathbb{N}_{+} & \mathbb{R}_{+} & \mathbb{Z} & \mathcal{P} \\ \hline $ $ Dualizable ? & Y & Y & N & N & Y \\ \hline \end{tabular}$$

the test is simple: m_{φ} is dualizable iff *S* iff *M* satisfies condition (D) in [Bourba89, Ch. III, §2.10]) which is

$$(\forall r \in S)(\{(s,t) \in S^2 | r = st\} \text{ is finite})$$
 (4)

Dualizable laws in conc-shuffle bialgebras/2

Our last example will be the bialgebra of a monoid *M*. It is with Δ_☉, the Hadamard (pointwise) coproduct B = (k[M], μ_M, 1_M, Δ_☉, ε) where μ is the standard product in the algebra k[M], Δ_☉(m) = m ⊗ m and ε(f) = Σ_{m∈M}⟨f | m⟩. Then, if *M* satifies condition (D) of Bourbaki, B is dualizable with Δ₁(m) = Σ_{pq=m} p ⊗ q and f ⊙ g := Σ_{m∈m}⟨f | m⟩⟨g | m⟩ m (pointwise product), and ε₁(f) = ⟨f | 1_M⟩, we have

$$\mathcal{B}_1 = \left(\mathbf{k}[M], \odot, \chi_M, \Delta_1, \epsilon_1\right)$$

where χ_M is the characteristic function of M ($m \mapsto 1_k$, $\forall m \in M$).

To end with, we remark that the dualization of a comultiplication is always possible, the difficulty being to dualize a product. We now consider separately this problem.

Transpose of a laws/1



where $\Phi(S \otimes T)$ is the linear form such that

$$\langle \Phi(S \otimes T) \mid u \otimes v \rangle := \langle S \mid u \rangle \langle T \mid v \rangle \tag{5}$$

Due to the fact that **k** is a field, the arrow Φ is into.

The set ? is the set of elements f ∈ A[∨] such that ^tµ(f) ∈ Im(Φ).
 One has a very simple criterium to characterize them.

Transpose of a laws/2

We have the following (proof is left as an exercise).

Theorem A (Sweedler, Abe)

Let **k** be a field and \mathcal{A} be a **k**-AAU $(\mathcal{A}, \mu, 1_{\mathcal{A}})$, we use also infix notation $\mu(u \otimes v) = u * v$ and define the left-right-shifts by $\langle x \triangleright f \triangleleft y \mid z \rangle := \langle f \mid yzx \rangle$ (one-sided shifts are derived by $x, y = 1_{\mathcal{A}}$). Then TFAE

• ${}^t\mu(f) \in Im(\Phi)$

Provide the exists a double (finite) sequence (g_i, h_i)_{1≤i≤n} such that for all x, y ∈ A,

$$\langle f \mid x * y \rangle = \sum_{i=1}^{n} \langle g_i \mid x \rangle \langle h_i \mid y \rangle$$

- **③** The left-shifts $(x \triangleright f)_{x \in A}$ form a family of finite rank.
- The right-shifts $(f \triangleleft x)_{x \in A}$ form a family of finite rank.
- **3** The bi-shifts $(x \triangleright f \triangleleft y)_{x,y \in A}$ form a family of finite rank.

Transpose of a laws/3 $\,$

End of the theorem

Theorem A (Sweedler, Abe), cont'd

It exists a matrix representation μ : A → k^{n×n} and vectors λ ∈ k^{1×n}, τ ∈ k^{n×1} such that, for all a ∈ A

$$\langle f \mid a \rangle = \lambda \mu(a) \tau$$
 (6)

Remarks

i) Condition 2 in "Theorem A (Sweedler, Abe)" is exactly ${}^t\mu(f) \in Im(\Phi)$ so that, equivalence $\textcircled{O} \iff \textcircled{O}$ is just a reformulation. ii) Property O allows to prove that, if ${}^t\mu(f) \in Im(\Phi)$, in fact $\Delta_{\mu}(f) \in \mathcal{A}^{\circ} \otimes \mathcal{A}^{\circ}$. So the commutative square in slide 9 give rise to a ladder which stops at the first step.

Transpose of a laws/4

We start with a $\mathbf{k} - \mathbf{AAU}$ (\mathbf{k} a field) \mathcal{A} , dualizing $\mu : \mathcal{A} \otimes_{\mathbf{k}} \mathcal{A} \rightarrow \mathcal{A}$, we have



In fact, as said in the remarks above (slide 11), one sees that the "descent" stops at first step and then $\mathcal{A}^{\circ\circ} = \mathcal{A}^{\circ}$ this space will be defined as Sweedler's dual of \mathcal{A} .

Case of the shuffle algebra/1

With the example of $\mathcal{A} = (\mathbb{C}\langle X \rangle, \mathbb{m}, \mathbb{1}_{X^*})$, the square



remarking that $\mathbb{C}\langle X \rangle \otimes \mathbb{C}\langle X \rangle \simeq \mathbb{C}[X^* \otimes X^*]$ becomes



Case of the shuffle algebra/2

So that, in this case [shuffle algebra], condition In Theorem A reads for all P, Q ∈ C(X) (equivalent, by bilinearity, to "for all u, v ∈ X*")

$$\langle R \mid u \equiv v \rangle = \sum_{i=1}^{n} \langle S_i \mid u \rangle \langle T_i \mid v \rangle$$

Now considering the identity $(\alpha.x)^* \equiv (\beta.x)^* = ((\alpha + \beta).x)^*$ with $c = \alpha + \beta \in \mathbb{N}_{\geq 2}$, we get $(c.x)^* = (a.x)^* \equiv (b.x)^*$ for a + b = c and then identities like

$$(c.x)^{*} = \frac{1}{c+1} \sum_{\substack{a+b=c\\a,b\in\mathbb{N}}} (a.x)^{*} \operatorname{Im} (b.x)^{*}$$
$$(c.x)^{*} = \frac{1}{c-1} \sum_{\substack{a+b=c\\a,b\in\mathbb{N}_{+}}} (a.x)^{*} \operatorname{Im} (b.x)^{*}$$
(7)

Case of the shuffle algebra/3

- **(a)** But, in spite of these identities, there is no formula of the type $\Delta_{III}((c.x)^*) = \sum_{i=1}^n S_i \otimes T_i$
- Let us compute the shifts (defined as in slide 10) x^k ▷^{III} (c.x)*. We have

$$x^{k} \triangleright^{\mathrm{III}} (c.x)^{*} = \sum_{n \ge 0} \langle (c.x)^{*} | x^{n} \mathrm{III} x^{k} \rangle x^{n} =$$
$$\sum_{n \ge 0} \langle (c.x)^{*} | \binom{n+k}{k} x^{n+k} \rangle x^{n} =$$
$$\frac{c^{k}}{k!} \sum_{n \ge 0} Q_{k}(n) c^{n} . x^{n}$$
(8)

with $Q_k \in \mathbb{C}[x]$ is of degree k (exactly). This proves that the shifts $x^k \triangleright^{\text{III}} (c.x)^*$ are all \mathbb{C} -linearly independent.

This shows that there is no hope that identities (7) could be dualized.

Computation of $\Delta_{\mathrm{III}}((c.x)^*)/1$

 $\begin{array}{l} \textcircled{3} \\ \textbf{As said above } \Delta_{\mathrm{III}}\left((c.x)^*\right) \in \mathbb{C}\langle\!\langle X^* \otimes X^* \rangle\!\rangle \text{ but, as was proved} \\ \Delta_{\mathrm{III}}\left((c.x)^*\right) \notin \mathbb{C}\langle\!\langle X^* \rangle\!\rangle \otimes \mathbb{C}\langle\!\langle X^* \rangle\!\rangle, \text{ so} \end{array}$

$$\Delta_{\mathrm{III}}((c.x)^*) = \sum_{u,v \in X^*} c(u,v)u \otimes v \tag{9}$$

- Firstly, we remark that C(⟨X* ⊗ X*⟩⟩ (the algebra of functions on X* ⊗ X*, the total algebra of the monoid X* ⊗ X*, see "total algebra of a monoid" in [Bourba89, Ch. III, §2.10]) comes with a filtration due to the gradation of X* ⊗ X* as follows
 - **1** If M is a \mathbb{N} -graded monoid^a
 - **2** So is the "double" of M ($M \times M \simeq M \otimes_{\mathbf{k}} M \subset \mathbf{k} \langle M \rangle \otimes \mathbf{k} \langle M \rangle$) with

$$(M \otimes_{\mathbf{k}} M)_n := \sqcup_{p+q=n} M_p \otimes M_q \tag{10}$$

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^aThat is $M = \bigsqcup_{n \ge 0} M_n$ with $M_p.M_q \subset M_{p+q}$.

Computation of $\Delta_{\mathrm{III}}((c.x)^*)/2$

Computation continued

So comes the algebra

$$\mathbf{k}[M] \otimes \mathbf{k}[M] \simeq \mathbf{k}[M \otimes_{\mathbf{k}} M]$$

with

$$(\mathbf{k}[M] \otimes \mathbf{k}[M])_n = \bigoplus_{n=p+q} (\mathbf{k}[M])_p \otimes (\mathbf{k}[M])_q$$
(11)

So it is a general fact that the dual of a graded algebra comes with a natural (decreasing) filtration^a given by (k⟨⟨M ⊗ M⟩⟩_{≥n} to be the linear forms that have their support in (M ⊗_k M)_{≥n}.

^aand even the dual of a increasingly filtered algebra, see MO question 310354.

Computation of $\Delta_{III}((c.x)^*)/3$

Computation continued

- **③** In general, a family $(S_i)_{i \in I}$ in \mathbf{k}^M is said summable if, for each $m \in M$, the function $i \mapsto \langle S_i | m \rangle$ is finitely supported.
- The end of the computation is left as an exercise using the following ingredients
 - $(c.x)^* = \sum_{n \ge 0} (c.x)^n$
 - The family (∆
 {III} ((c.x)ⁿ)){n≥0} is summable
 - If a family (S_n)_{n≥0} is summable, so is (Δ_{III} (S_n))_{n≥0} and Δ_{III} commutes with the infinite sums.

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THANK YOU FOR YOUR ATTENTION !

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