

Lie Objects

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Séminaire CALIN, 28 Juin 2011

Outline

- 1 Lie and Enveloping Algebras
- 2 Example
- 3 Two Theorems
 - CQMM Theorem
 - Poincaré-Birkhoff-Witt Theorem
- 4 Duality
- 5 Lie exponential
- 6 Group of characters of an algebra

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Lie Algebra

k a field of characteristic zero.

Definition

A **Lie algebra** \mathcal{G} is a vector space endowed with a bilinear operation $[\cdot, \cdot] : \mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$ satisfying the following relations, $\forall a, b, c \in \mathcal{G}$:

$$[a, a] = 0;$$

$$[a, [b, c]] + [b, [c, a]] + [c, [a, b]] = 0.$$

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Each associative algebra \mathcal{A} has a natural Lie algebra structure \mathcal{A}_L with the bracket defined by :

$$[a, b] = ab - ba.$$

In terms of categories :

$$f : k - \text{UAA} \longrightarrow k - \text{Lie algebra.}$$

Enveloping algebra

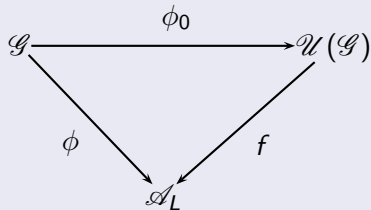
Let \mathcal{G} be a Lie algebra. It is possible to associate to \mathcal{G} an associative algebra called enveloping algebra of \mathcal{G} denoted by $\mathcal{U}(\mathcal{G})$.

Universal problem.

There exists a unital associative algebra $\mathcal{U}(\mathcal{G})$ and a Lie algebra homomorphism $\phi_0 : \mathcal{G} \rightarrow \mathcal{U}(\mathcal{G})_L$ such that, for

- any associative algebra \mathcal{A} ,
- any Lie algebra homomorphism $\phi : \mathcal{G} \rightarrow \mathcal{A}_L$,

there is a unique algebra homomorphism $f : \mathcal{U}(\mathcal{G}) \rightarrow \mathcal{A}$ making the following diagram commute:



Enveloping algebra

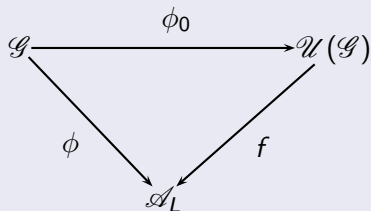
$g : k - \text{Lie algebra} \longrightarrow k - \text{UAA}$. g is the left adjoint of f .

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Example : Free Lie Algebra

X an alphabet.

Theorem

There exists a Lie algebra $\text{Lie}_k\langle X \rangle$ over k unique up to isomorphism and freely generated by X . It is called **Free Lie Algebra**.

Construction :

- Lie Monomials :
 - $\forall x \in X$, x is a Lie monomial ;
 - if u and v are Lie monomials, then so is $[u, v] = uv - vu$ (concatenation product).

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 - if u and v are Lie monomials, then so is $[u, v] = uv - vu$ (concatenation product).
- Lie Polynomials and Series : respectively finite and infinite k -linear combinations of Lie monomials.
- $\rightarrow \text{Lie}_k\langle X \rangle$.

Link with the free associative algebra $k\langle X \rangle$

For $P, Q \in k\langle X \rangle$, their Lie bracket is $[P, Q] = PQ - QP$.

The **smallest** submodule of $k\langle X \rangle$ **closed** under this bracket and **containing** X is the free Lie algebra $\text{Lie}_k\langle X \rangle$.

$k\langle X \rangle$ is the enveloping algebra of $\text{Lie}_k\langle X \rangle$:

$$k\langle X \rangle = \mathcal{U}(\text{Lie}_k\langle X \rangle).$$

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Coproduct Δ on $k\langle X \rangle$ (homomorphism of k -algebra defined on the letters):

$$\Delta(x) = x \otimes 1 + 1 \otimes x.$$

Lie polynomials (Friedrich)

The following conditions are equivalent :

- $P \in k\langle X \rangle$ is a Lie polynomial ;
- $\Delta(P) = P \otimes 1 + 1 \otimes P$ (P is **primitive**).

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Example of $k\langle X \rangle$

$(k\langle X \rangle, \text{conc}, 1_{X^*}, \Delta, \epsilon)$ is a cocommutative graded bialgebra :

$$k\langle X \rangle = \bigoplus_{n \geq 0} k_{=n}\langle X \rangle,$$

where $P \in k_{=n}\langle X \rangle$ means that $P = \sum_{|w|=n} \langle P|w \rangle w$.

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$$k\langle X \rangle = \mathcal{U}(\text{Lie}_k\langle X \rangle).$$

Lie polynomials are primitive elements :

$$\forall P \in \text{Lie}_k\langle X \rangle, \Delta(P) = P \otimes 1 + 1 \otimes P.$$

CQMM Theorem

Let \mathcal{B} be a bialgebra. It is

- graded if :
 - $\mathcal{B} = \bigoplus_{n \geq 0} \mathcal{B}_n$;
 - $\mu(\mathcal{B}_p, \mathcal{B}_q) \subset \mathcal{B}_{p+q}, \forall p, q \in \mathbb{N}$;
 - $\Delta(\mathcal{B}_n) \subset \bigoplus_{p+q=n} \mathcal{B}_p \otimes \mathcal{B}_q, \forall n \in \mathbb{N}$;
- connected if $\mathcal{B}_0 = k1_{\mathcal{B}}$.

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Let \mathcal{B} be a cocommutative graded connected bialgebra.

Cartier-Quillen-Milnor-Moore Theorem

\mathcal{B} is the enveloping algebra of its primitive elements.

Theorem

$(X, <)$, $\text{Lyn}(X)$.

$\text{Lyn}(X)$ is a (totally ordered) basis of $\text{Lie}_k\langle X \rangle$.

Poincaré-Birkhoff-Witt

Let $(g_i)_{i \in \mathcal{I}}$ be a totally ordered basis of a Lie algebra \mathcal{G} .

Then the “decreasing” products

$$g^\alpha = g_{i_1}^{\alpha_1} \cdots g_{i_p}^{\alpha_p}, \quad i_1 > \cdots > i_p, \quad \alpha_i \in \mathbb{N},$$

form a basis of $\mathcal{U}(\mathcal{G})$.

Thus, $\text{Lyn}(X)$ induces a basis of $k\langle X \rangle = \mathcal{U}(\text{Lie}_k(X))$ in the following way:

PBW Basis

For $l \in \text{Lyn}(X)$, let us define $(P_l)_{l \in \text{Lyn}(X)}$ by :

$$P_l = \begin{cases} l & \text{if } |l| = 1; \\ [l_1, l_2] & \text{otherwise, with } l = l_1 l_2 \text{ the standard factorization of } l. \end{cases}$$

If $w = l_1^{\alpha_1} \dots l_k^{\alpha_k}$ with $l_1 > \dots > l_k$,

$$P_w = P_{l_1}^{\alpha_1} \dots P_{l_k}^{\alpha_k}.$$

- P_w is **homogeneous for the multidegree** (finely homogeneous).
- $P_w = w + \sum_{v > w \in X^*} *v$ where the star denotes coefficients in \mathbb{Z} .

$(P_l)_{l \in \text{Lyn}(X)}$ is a basis of $\text{Lie}_k(X)$ and $(P_w)_{w \in X^*}$ is a basis of $k\langle X \rangle$.

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Dual basis

Duality bracket : $\langle u|v\rangle = \delta_{u,v} \Rightarrow k\langle\langle X\rangle\rangle \sim (k\langle X\rangle)^*$:

$$\langle S|P\rangle = \sum_{w \in X^*} \langle S|w\rangle \langle P|w\rangle.$$

Dual basis

Duality bracket : $\langle u|v \rangle = \delta_{u,v}$

$$S_w = \begin{cases} w & \text{if } |w| = 1; \\ xS_u & \text{if } w = xu \text{ and } w \text{ is a Lyndon word;} \\ \frac{S_{l_{i_1}^{\alpha_1}} \sqcup \dots \sqcup S_{l_{i_k}^{\alpha_k}}}{\alpha_1! \dots \alpha_k!} & \text{otherwise, if } w = l_{i_1}^{\alpha_1} \dots l_{i_k}^{\alpha_k} \end{cases}$$

with $S^{\sqcup k} = S \sqcup S^{k-1}$ for $k > 0$ and $S^{\sqcup 0} = 1$.

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Theorem

$$\langle S_u|P_v \rangle = \delta_{u,v}.$$

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Definition

$S \in k\langle\langle X \rangle\rangle$ is a **Lie exponential** iff there exists a Lie series $L \in \text{Lie}_k\langle\langle X \rangle\rangle$ such that $S = \exp(L)$.

If $S \neq 0$, this is equivalent to the following properties :

- $\forall u, v \in X^*, \langle S|u \mathbb{W} v \rangle = \langle S|u \rangle \langle S|v \rangle$;
- $\Delta(S) = S \otimes S$.

Any Lie exponential S can be factored as an infinite product of “elementary” Lie exponentials:

$$S = \prod_{I \in \text{Lyn}(X)} \exp(\langle S|S_I \rangle P_I).$$

Example : Polylogarithms

Definition

$$\text{Li}_1(z) = 1, \text{Li}_{x_1}(z) = \int_0^z \frac{dt}{1-t} = -\ln(1-z) \text{ and } \text{Li}_{x_0}(z) = \ln(z).$$

Then

$$\begin{aligned} \text{Li}_{x_0 w}(z) &= \int_0^z \text{Li}_w \frac{dt}{t}; \\ \text{Li}_{x_1 w}(z) &= \int_0^z \text{Li}_w \frac{dt}{1-t}. \end{aligned}$$

Generating series of polylogarithms :

$$L(z) = \sum_{w \in X^*} L_w(z) w$$

is a Lie exponential, $\forall z \in \mathbb{C} \setminus (]-\infty, 0] \cup [1, +\infty[)$.

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Characters

Definition

χ is a character of the k -algebra \mathfrak{A} iff $\chi \in \text{Hom}_{k\text{-Alg}}(k\langle X \rangle, k)$:

- $\chi(a + b) = \chi(a) + \chi(b)$;
- $\chi(ab) = \chi(a)\chi(b)$;
- $\chi(1_{\mathfrak{A}}) = 1$;

Properties : Let \mathcal{H} be a Hopf algebra and \mathfrak{A} an AAU. Then

- 1 $\text{Hom}_k(\mathcal{H}, \mathfrak{A})$ is an algebra for the convolution product ;
- 2 Moreover, if \mathfrak{A} is commutative $\text{Hom}_{k\text{-Alg}}(\mathcal{H}, \mathfrak{A})$ is a group.

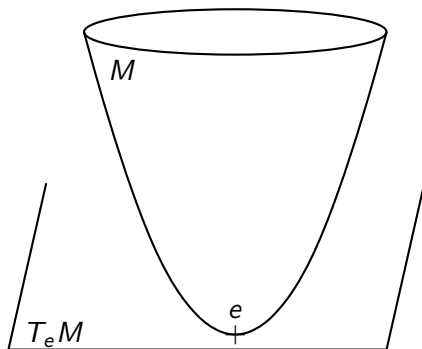
Characters of $k\langle X \rangle$

$(k\langle X \rangle, \mathbb{W}, 1_{X^*}, \Delta_{\text{conc}}, \epsilon, S)$ is a Hopf algebra.

Property

The set $\chi_k(k\langle X \rangle, \mathbb{W}, 1_{X^*})$ is a Lie group whose Lie algebra is obtained with infinitesimal characters.

- **Lie group** : A **Lie group** G is a differentiable manifold endowed with two operations that are smooth functions on G :
 - $G \times G \rightarrow G$ (product)
 - $G \rightarrow G$ (inversion)
- **Lie algebra associated to a Lie group** : its vector space is $T_e G$ the tangent space of G at e (unit of the group).



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- **Lie algebra associated to a Lie group** : its vector space is $T_e G$ the tangent space of G at e (unit of the group).

- **Infinitesimal characters** : $\delta \in \chi_k(k\langle X \rangle, \mathbb{W}, 1_{X^*})$ such that

$$\delta(xy) = \delta(x)\epsilon(y) + \epsilon(x)\delta(y).$$

Lemma and Proof

Lemma (Minh) :

$$\sum_{w \in X^*} \chi(w)w = \prod_{l \in \text{Lyn}(X)}^{\searrow} \exp(\chi(l)\hat{l}) = \prod_{l \in \text{Lyn}(X)}^{\searrow} \exp(\chi(l)P_l).$$

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$$\langle \chi(S_l)P_l | u \Downarrow v \rangle = \chi(S_l)(\langle P_l | u \rangle \delta_{1,v} + \delta_{1,u} \langle P_l | v \rangle).$$

$\Rightarrow \chi(S_l)P_l$ is an infinitesimal character.

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$\Rightarrow \chi(S_l)P_l$ is an infinitesimal character.



$$\begin{aligned} \sum_{w \in X^*} w \otimes w &= \sum_{w \in X^*} S_w \otimes P_w \\ &= \prod_{l \in \text{Lyn}(X)} \exp(S_l \otimes P_l). \end{aligned}$$

• Apply $\chi \otimes l$ to the previous equation.

Characters of $k\langle X \rangle$

$(k\langle X \rangle, \mathbb{W}, 1_{X^*}, \Delta_{\text{conc}}, \epsilon, S)$ is a Hopf algebra.

Property

The set $\chi_k(k\langle X \rangle, \mathbb{W}, 1_{X^*})$ is a Lie group whose Lie algebra is obtained with infinitesimal characters.

Question : Does this property hold for larger classes of algebras ?
(Krajewski)

Thank you for your attention!