

Analysis of algorithms for membership problems on trace languages

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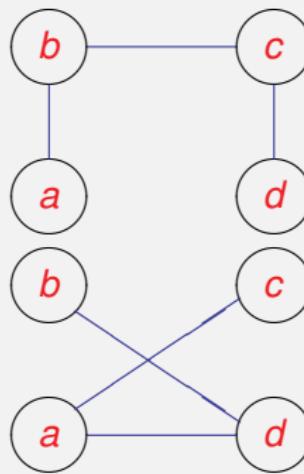
Trace Monoids

Free partially commutative monoids

[Cartier-Foata '69, Mazurkiewicz '77, Zielonka '87, ..., Diekert]

concurrent alphabet (Σ, C) { $\begin{array}{l} \Sigma \\ C \subseteq \Sigma \times \Sigma \end{array}$ } finite alphabet
irreflexive, symmetric rel.

independence graph $(\Sigma, C) =$



dependence graph $(\Sigma, C^c) =$

Definitions

given (Σ, C)

relation \sim

$$w = x \mathbf{a} b y \sim x \mathbf{b} a y = z \quad \left\{ \begin{array}{l} \forall x, y \in \Sigma^* \\ \forall (a, b) \in C \end{array} \right.$$

relation \simeq_C

reflexive and transitive closure of \sim

\simeq_C is a **congruence** over Σ^*

$$x \simeq_C z, y \simeq_C w \Rightarrow xy \simeq_C zw$$

$M(\Sigma, C) = \Sigma^* / \simeq_C$

trace monoid (f.p.c.m.)

$$[x] \cdot [y] = [xy], \quad \forall x, y \in \Sigma^*$$

$t \in M(\Sigma, C)$

trace, $t = [x] \quad \forall x \in \Sigma^*$

$T \subseteq M(\Sigma, C)$

trace language

$$T = [L] = \{[x] : x \in L\}, \quad \text{where } L \subseteq \Sigma^*$$

$$\text{Lin}(T) = \{x \in \Sigma^* : [x] \in T\}, \quad T \subseteq M(\Sigma, C)$$

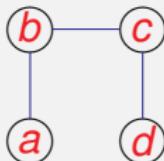
Trace as partially ordered set: $\forall t \in M(\Sigma, C)$

$$\text{PO}(t) = \bigcap_{x \in t} x$$

where $\text{set}(\text{PO}(t)) = \{\sigma_i \mid i\text{-th occurrence of } \sigma \text{ in } t\}$

Examples

$$(\Sigma, C) =$$



$$t = [bacda]$$

$$t = \{ba\textcolor{red}{cda}, \textcolor{red}{badca}, ab\textcolor{red}{dca}, \textcolor{red}{abcda}, acbda\}$$

$$t = [bacda]$$

$$\text{PO}(t) = \begin{array}{ccccc} a_1 & \xrightarrow{} & c_1 & \xrightarrow{} & a_2 \\ & \searrow & & & \swarrow \\ b_1 & \xrightarrow{} & d_1 & \xrightarrow{} & a_2 \end{array}$$

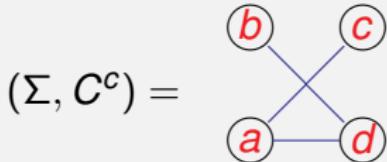
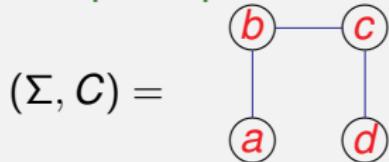
$$T = [(ab)^*]$$

$$T = \{t \in M(\Sigma, C) \mid t = [a^n b^n], n \in \mathbb{N}\}$$

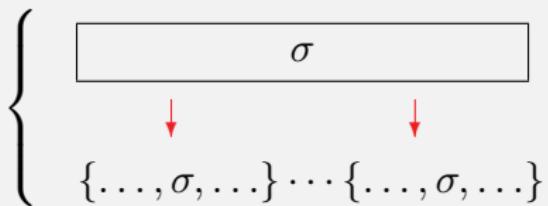
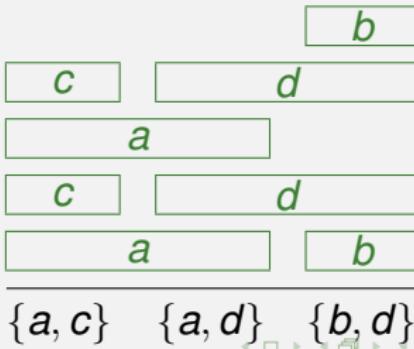
$$\text{Lin}(T) = \{x \in \{a, b\}^* \mid |x|_a = |x|_b\}$$

Heaps of pieces

[Viennot '85]



clique cover of (Σ, C^c) = $\{\{a, c\}, \{a, d\}, \{b, d\}\}$

 $\forall \sigma \in \Sigma$ \longrightarrow  $t = [bacdadcb] \longrightarrow$ 

Special cases

1) free monoid

$$C = \emptyset$$

$$M(\Sigma, \emptyset) = \Sigma^*$$

2) totally commutative monoid

$\Sigma = \{a_1, \dots, a_m\}$, $C = \{(a_i, a_j) \mid i \neq j\}$, graph (Σ, C) complete

$$M(\Sigma, C) \equiv (a_1^* a_2^* \cdots a_m^*)$$

$$t = [a_1^{i_1} a_2^{i_2} \cdots a_m^{i_m}] \text{ for } i_1, \dots, i_m \in \mathbb{N}$$

3) direct product of free monoids, C^c transitive

$\Sigma = \bigcup_{i=1}^k \Sigma_i$ (disjoint),

$C = \bigcup_{i \neq j} (\Sigma_i \times \Sigma_j)$, $\begin{cases} (\Sigma, C) \text{ complete } k\text{-partite} \\ (\Sigma, C^c) \text{ union of } k \text{ cliques} \end{cases}$

$$M(\Sigma, C) \equiv \Sigma_1^* \times \Sigma_2^* \times \cdots \times \Sigma_k^*$$

$t = [x_1 x_2 \cdots x_k]$, $x_i \in \Sigma_i^*$, $PO(t) =$

$$a_1 \longrightarrow a_2 \longrightarrow \cdots \longrightarrow a_{i_1}$$

$$b_1 \longrightarrow b_2 \longrightarrow \cdots \longrightarrow b_{i_2}$$

$$\dots \quad \dots$$

$$c_1 \longrightarrow c_2 \longrightarrow \cdots \longrightarrow c_{i_k}$$

4) free product of commutative monoids, C transitive

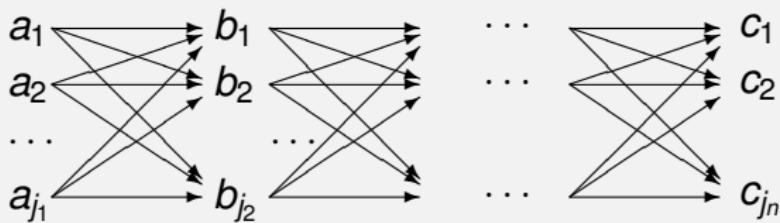
$$\Sigma = \bigcup_{j=1}^k \Sigma_j \text{ (disjoint)} \quad C = \bigcup_{j=1}^k (\Sigma_j \times \Sigma_j),$$

$\left\{ \begin{array}{l} (\Sigma, C) \text{ union of } k \text{ cliques} \\ (\Sigma, C^c) \text{ complete } k\text{-partite} \end{array} \right.$

$$M(\Sigma, C) \equiv (M(\Sigma_1, C_1), M(\Sigma_2, C_2), \dots, M(\Sigma_k, C_k))^*$$

$$t = [\gamma_1][\gamma_2] \cdots [\gamma_n], \quad \text{where } \left\{ \begin{array}{l} \text{each } \gamma_i \text{ is an antichain, } \gamma_i \subseteq \Sigma_{j_i} \\ \gamma_i \times \gamma_{i+1} \subset C^c \text{ if } j_i \neq j_{i+1} \end{array} \right.$$

$$PO(t) =$$



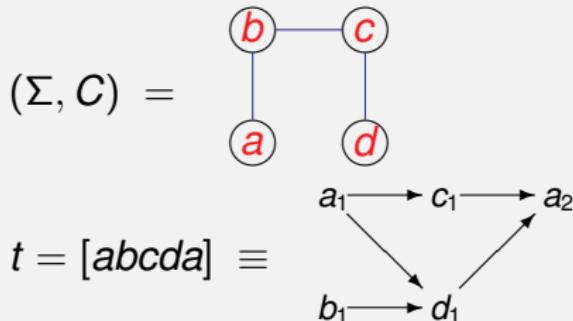
Prefixes of traces

Definition u prefix of $t \in M(\Sigma, C)$ if $\exists v$ s.t.

$$t = u \cdot v$$

$$t = [xy] \Rightarrow t = [x] \cdot [y] \Rightarrow [x] \text{ prefix of } t$$

Example



$$\text{Pre}(t) = \{\varepsilon, [\bar{a}], [\bar{b}], [\bar{ab}], [\bar{ac}], [\bar{abc}], [\bar{abd}], [\bar{abcd}], [\bar{abcd}\bar{a}]\}$$

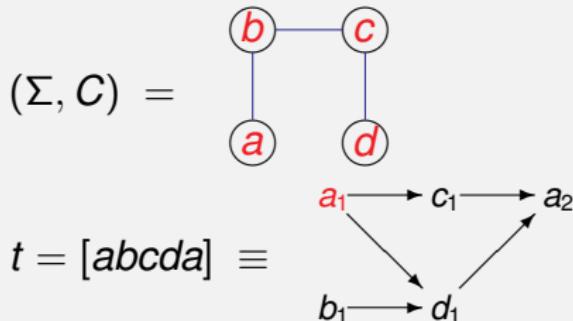
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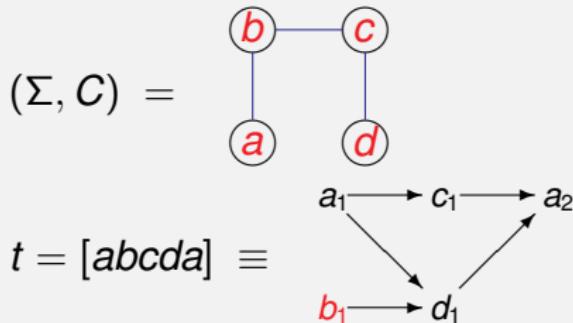
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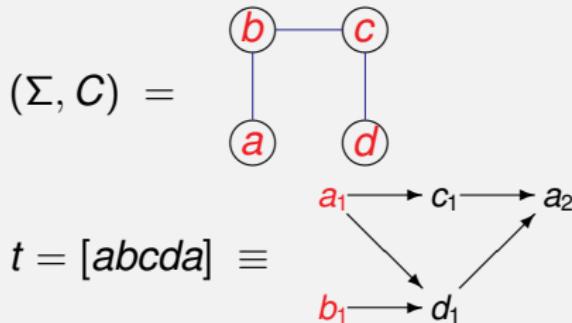
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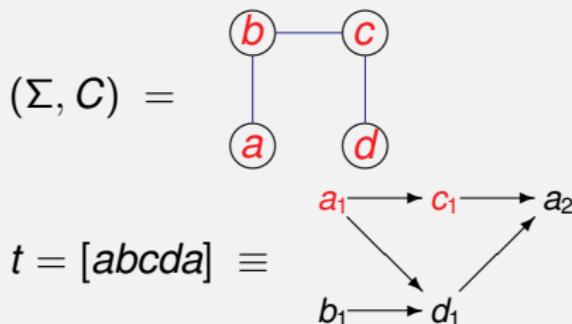
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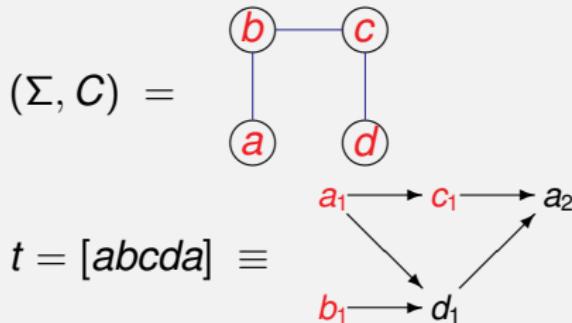
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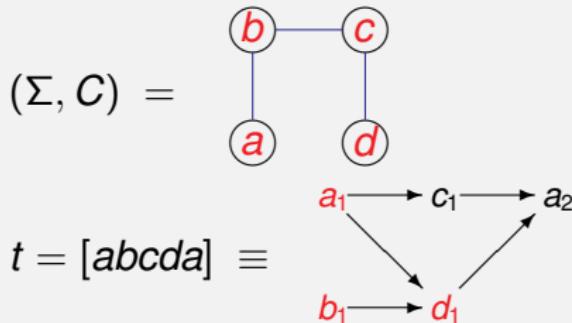
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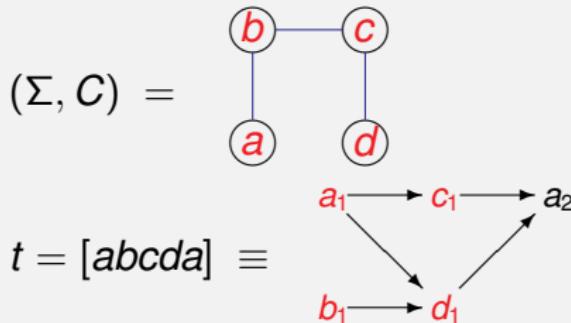
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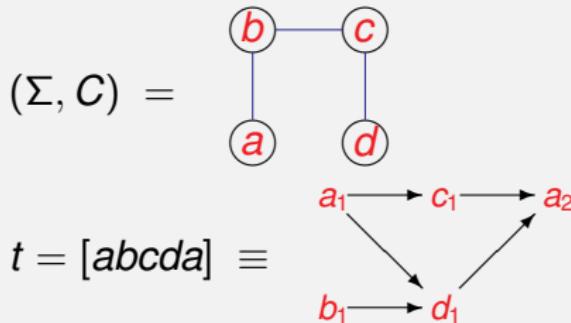
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Properties of prefixes

Theorem Set $\alpha = |\text{Max clique}(\Sigma, C)|$. Then, as $n \rightarrow +\infty$,

$$\text{Max}\{\#\text{Pre}(t) \mid |t| = n\} = \Theta(n^\alpha)$$

Proof.

- 1) $u \in \text{Pre}(t) \iff \text{Max}(\text{PO}(u)) \text{ antichain of } \text{PO}(t)$
- 2) $\text{Pre}(t) \simeq \text{Antichains}(\text{PO}(t))$
- 3) $\#\text{Pre}(t) = \#\text{Antichains}(\text{PO}(t))$
- 4) $|A| \leq \alpha \text{ for every } A \in \text{Antichain}(\text{PO}(t))$
- 5) for every $t \in M(\Sigma, C)$ s.t. $|t| = n$

$$\#\text{Pre}(t) \leq \sum_{i=0}^{\alpha} \binom{|t|}{i} = O(n^\alpha)$$

$$(\lfloor n/\alpha \rfloor + 1)^\alpha \leq \text{Max}\{\#\text{Pre}(t) \mid |t| = n\}$$

(when $t = [A]^{\lfloor n/\alpha \rfloor}$ with A clique of size α in (Σ, C))

Classes of trace languages

Trace language $T \subseteq M(\Sigma, C)$

$$T = [L] = \{t \in M(\Sigma, C) \mid \exists x \in L : t = [x]\}, \quad L \subseteq \Sigma^*$$

$$\text{Lin}(T) = \{x \in \Sigma^* : [x] \in T\}, \quad T \subseteq M(\Sigma, C)$$

1) T recognizable

$\text{Lin}(T)$ regular

$$\text{Lin}(T) = \mathcal{L}(\mathcal{A}), \quad \begin{cases} \mathcal{A} \text{ f.s. automaton} \\ \text{partially commutative} \end{cases}$$

2) T rational

finite sets (of traces) + rational operation ($\cup, \cdot, *$)

$$T = [L], \quad L \subseteq \Sigma^* \text{ regular}$$

3) T context-free

algebraic system of equations (over $\mathbb{R}\langle\langle M(\Sigma, C) \rangle\rangle$)

$$T = [L], \quad L \subseteq \Sigma^* \text{ context-free}$$

⇒ Recognizable \subsetneq Rational \subsetneq Context-free

Kleene's Theorem does not hold:

$$(\Sigma, C) = a - b, \quad \text{Lin}([(ab)^*]) = \{x \in \Sigma^* \mid |x|_a = |x|_b\}$$

Recognition of rational trace languages

Concurrent alphabet (Σ, C)

F.s. det. automaton $\mathcal{A} = \langle Q, q_0, \delta, F \rangle$ over Σ
 $L = \mathcal{L}(\mathcal{A})$

Membership problem (Σ, C, \mathcal{A})

Instance : $x \in \Sigma^*$

Question : $[x] \in [L]$?

(i.e. $\exists y \in [x] : \delta(q_0, y) \in F$?)

Idea

Compute $S[x] = \{q \in Q \mid q = \delta(q_0, y) \text{ for some } y \in [x]\}$

Answer $\begin{cases} \text{Yes} & \text{if } S[x] \cap F \neq \emptyset \\ \text{No} & \text{otherwise} \end{cases}$

Observe: Uniform Rational Membership (with Instance = $\Sigma, C, \mathcal{A}, x$) is NP-complete



Recursive procedure

Function $S[x]$

begin

if $|x| = 1$ then **Return** $\{\delta(q_0, x)\}$

else

begin

$V := \emptyset$

for $(u, \sigma) \in \text{Pre}[x] \times \Sigma$ s.t. $[x] = u \cdot [\sigma]$ ($|u| = |x| - 1$)

do $\left\{ \begin{array}{l} P := S[u] \\ \text{for } p \in P \text{ do } V := V \cup \{\delta(p, \sigma)\} \end{array} \right.$

end

Return V

end

\exists Iterative version of Function $S[x]$ only working on $\text{Pre}[x]$

TIME: $\Theta(\#\text{Pre}[x])$

SPACE: $O(\text{Max}_{1 \leq i \leq |x|} \#\{u \in \text{Pre}[x] \mid |u| = i\})$

Analysis of the algorithm

[BMS82, BMS89, BGS86, AG98]

Worst case

TIME: $O(n^\alpha)$

SPACE: $O(n^{\alpha-1})$

where α is the size of maximum clique in (Σ, C)

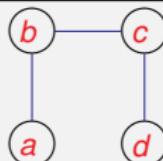
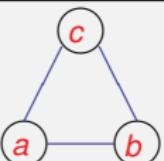
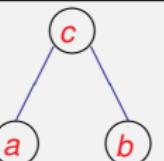
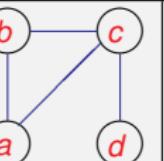
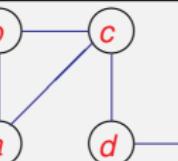
Average case under equiprobable **strings** of length n

TIME: $\Theta(n^k)$

SPACE: $O(n^{\min\{k, \alpha-1\}})$

where k is the number of connected components in (Σ, C^c)

note: $k \leq \alpha$ which often becomes $k \ll \alpha$

(Σ, C)					
α	2	3	2	3	3
k	1	3	2	2	1

Probabilistic analysis of $\#\text{Pre}[x]$ (equiprobable strings)

[BGS86, G90, G92]

Analysis of the sequence of r.v. $\{\mathfrak{S}_n\}$:

$\mathfrak{S}_n = \#\text{Pre}[z]$ where $z \in \Sigma^n$ under uniform distribution

$$1) n+1 \leq \mathfrak{S}_n \leq c \cdot n^\alpha, \quad (c > 0)$$

$$2) \mathbb{E}(\mathfrak{S}_n) = \frac{\sum_{|x|=n} \#\text{Pre}[x]}{\#\Sigma^n} = \eta n^k + O(n^{k-1}) \quad (\eta \in \mathbb{Q}_+)$$

$$3) \mathbb{E}(\mathfrak{S}_n^r) = \eta_r n^{rk} + O(n^{rk-1}) \quad (\forall r \in \mathbb{N}_+, \eta_r \in \mathbb{Q}_+)$$

$$\begin{aligned} 4) \text{var}(\mathfrak{S}_n) &= O(n^{2k-1}) \\ &\implies \Pr \left\{ \left| \frac{\mathfrak{S}_n}{\eta n^k} - 1 \right| \right\} \rightarrow 0 \quad (\forall \varepsilon > 0) \\ \text{i.e. } \mathfrak{S}_n &\sim \eta n^k \quad \text{with probability } \rightarrow 1 \end{aligned}$$

Proof ingredients for $E(\mathfrak{I}_n)$

1) Darboux theorem for rational functions

$$F(z) = \sum_{n=0}^{+\infty} f_n z^n = \frac{a(z)}{b(z)(1 - Hz)^{k+1}}$$

where $a, b \in \mathbb{Z}[z]$, $H \in \mathbb{N}_+$, $k \in \mathbb{N}$, $a(H^{-1}) \neq 0$, roots of $b(z) > H^{-1}$,

$$\begin{aligned} \implies f_n &= \eta Hz^k + O(H^k n^{k-1}) \\ \eta &= \frac{a(H^{-1})}{k! b(H^{-1})} \in \mathbb{Q}_+ \end{aligned}$$

In our cases: $H = \#\Sigma$, $k = \#\text{cc}(\Sigma, C^c) > 0$,
roots of b in $\mathbb{N}_+^{(-1)}$, $b(0) = 1$.

2) Bijective argument

let $\Sigma' = \{a' \mid a \in \Sigma\}$

$$(u, x) \text{ s.t. } u \in \text{Pre}[x] \iff w \in (\Sigma \cup \Sigma')^* \text{ s.t. } \begin{cases} x = w \text{ erasing }' \\ u = [\pi_\Sigma w] \\ a' < b \text{ in } w \Rightarrow (a, b) \in C \end{cases}$$

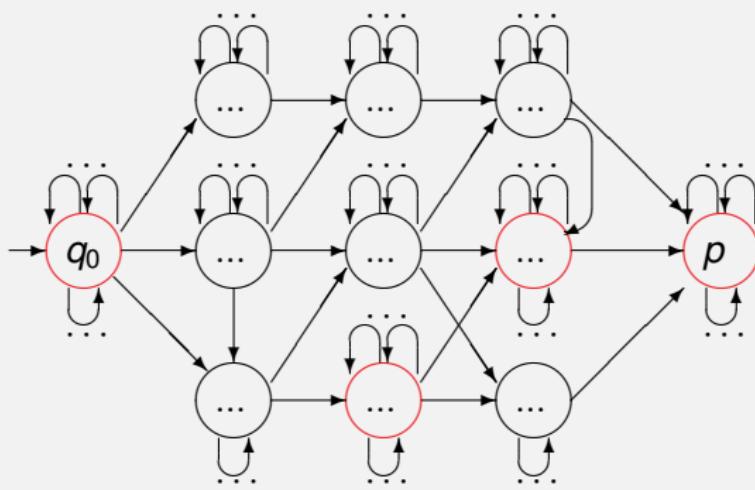
$$\{(u, x) \mid x \in \Sigma^*, u \in \text{Pre}[x]\} \iff L \subseteq (\Sigma \cup \Sigma')^* \\ L \text{ is regular}$$

$$\sum_{|x|=n} \#\text{Pre}[x] \equiv f_L(n) = \#(L \cap (\Sigma \cup \Sigma')^n) \quad (\text{num of E}(\S_n))$$

$$F_L(z) = \sum_{n=0}^{+\infty} f_L(n)z^n$$

3) Partially ordered automaton

- $L = \mathcal{L}(\mathcal{A})$
 - $\mathcal{A} = \langle Q, q_0, \delta, F \rangle$ f.s. automaton over $\Sigma \cup \Sigma'$
 - δ defines a partial order over Q , q_0 min, p max
 - $\ell(q_0) = \ell(p) = \max\{\ell(q) \mid q \in Q\}$
where $\ell(q) = \#\{\text{loops in } q\}$
 - $Q = F$,



for all $q \in Q$:
 $\ell(q) = \#\{\text{loops in } q\}$
 $H = \text{Max}\{\ell(q) \mid q \in Q\}$

chain = path in \mathcal{A} from q_0 ,

$\forall \gamma \in \text{Chains}(\mathcal{A}) \quad m_\gamma = \#\{q \in \gamma \mid \ell(q) = H\}, \quad L_\gamma = \{x \in L \mid x \text{ acc. by } \gamma\}$

$m = \text{Max}\{m_\gamma \mid \gamma \in \text{Chains}\}$

$L = \bigcup_\gamma L_\gamma$ disjoint union $\Rightarrow F_L(z) = \sum_\gamma F_{L_\gamma}(z)$

$$\Rightarrow F_L(z) = \frac{a(z)}{b(z)(1 - Hz)^m} \quad (a, b \text{ as before}), \quad H = \#\Sigma, m = k + 1$$

$$f_L(n) = \eta H^n n^k + O(H^n n^{k-1}) \quad (\eta \in \mathbb{Q}_+)$$

Limit distribution of $\{\mathfrak{S}_n\}$ for transitive (Σ, C^c)

5) Assume (Σ, C^c) transitive (and $C \neq \emptyset$) and hence

$$M(\Sigma, C) = \Sigma_1^* \times \Sigma_2^* \times \cdots \times \Sigma_k^* \quad (k \geq 2)$$

$\Sigma_1, \Sigma_2, \dots, \Sigma_k$ connected components (cliques) of (Σ, C^c)

1) if $\#\Sigma_i \neq \#\Sigma_j$ for some $i \neq j$ then

$$\frac{\mathfrak{S}_n - n^k \prod_{i=1}^k p_i}{\sqrt{V} n^{k-1/2}} \rightarrow \mathcal{N}(0, 1) \quad \text{in distribution}$$

where $p_i = \frac{\#\Sigma_i}{\sum}$ and $V > 0$ constant depending on (Σ, C) .

2) if $\#\Sigma_i = \#\Sigma/k$ for all $i = 1, 2, \dots, k$ then

$$2 \frac{\mathfrak{S}_n - n^k k^{-k} - n^{k-1} k^{2-k}}{k^{k-3} n^{k-1}} \rightarrow -\chi_{k-1}^2 \quad \text{in distribution}$$

Proof : based on $\mathfrak{S}_n = \prod_{i=1}^k (b_i + 1)$ where $(b_1, \dots, b_k) \in \mathcal{M}(n; p_1, \dots, p_k)$ (multinomial).

Open problem: what about non-transitive C^c ?

Probabilistic analysis of $\#\text{Pre}(t)$ (equiprobable traces)

[..., BGS86, GS00]

Asymptotics for $M_n = \#\{t \in M(\Sigma, C) \mid |t| = n\}$

Based on the clique polynomial :

$$P_{(\Sigma, C)}(z) = \sum_{i=0}^{\alpha} (-1)^i c_i z^i$$

where $c_i = \#\{A \text{ clique of } (\Sigma, C) \mid |A| = i\}$

$$\Rightarrow \sum_{n=0}^{+\infty} M_n z^n = \frac{1}{P_{(\Sigma, C)}(z)} \quad [\text{Cartier-Foata '69}]$$

$\Rightarrow P_{(\Sigma, C)}(z)$ has a unique root ρ of smallest modulus

$$\left\{ \begin{array}{l} 0 < \rho \leq 1 \\ \rho \text{ with multiplicity } \ell \in \mathbb{N}_+ \end{array} \right.$$

$$\Rightarrow M_n = b\rho^{-n}n^{\ell-1} + O(\rho^n n^{\ell-2}) \quad (b > 0)$$

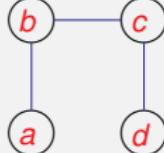
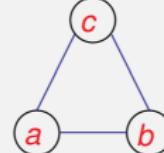
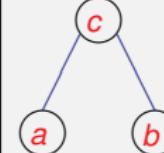
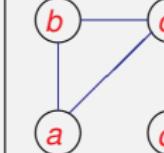
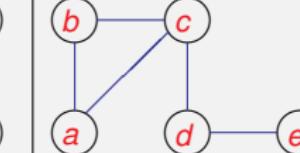
Analysis of the sequence of r.v. $\{\Delta_n\}$:

$\Delta_n = \#\text{Pre}(u)$ where $u \in \{t \in M(\Sigma, C) \mid |t| = n\}$
under uniform distrib.

$$n + 1 \leq \Delta_n \leq c \cdot n^\alpha, \quad (c > 0)$$

$$\Rightarrow E(\Delta_n) = \frac{\sum_{|t|=n} \#\text{Pre}(t)}{M_n} = \gamma n^\ell + O(n^{\ell-1}) \quad (\gamma > 0)$$

where ℓ is the multiplicity of the smallest root of $P_{(\Sigma, C)}(z)$

(Σ, C)					
α	2	3	2	3	3
k	1	3	2	2	1
$P_{(\Sigma, C)}(z)$	$1 - 4z + 3z^2$	$(1 - z)^3$	$1 - 3z + 2z^2$	$1 - 4z + 4z^2 - z^3$	$1 - 5z + 5z^2 - z^3$
ℓ	1	3	1	1	1

Open problems

- 1) Does $\ell \leq k$ hold for every (Σ, C) ?
- 2) Limit distributions of $\{\mathfrak{S}_n\}$ for non-transitive (Σ, C^c)
Are they Gaussian ?
- 3) Asymptotics of $E(\Delta_n^r)$ for $r > 1$
- 4) Asymptotics of $\text{var}(\Delta_n)$
- 5) There exist Local limit Laws?

Thank you !

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