Regulated Grammars and Automata

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Prepared in cooperation with Petr Zemek based on

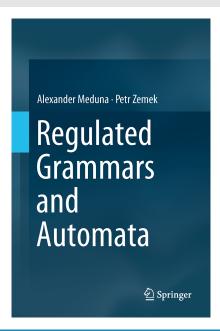


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Outline



Part I: An Introduction to the Book

Basic Idea General Info Contents

Part II: A Sample: One-Sided Random Context Grammars

Basic Concept
Definitions and Examples
Generative Power
Normal Forms
Reduction

Applications



 a grammar or an automaton based upon a finite set of rules R

Example



- a grammar or an automaton based upon a finite set of rules R
- a regulation over R

Example

A context-free grammar with the set of rules R:

 $R: 1: S \rightarrow ABC$

 $2: A \rightarrow aA$

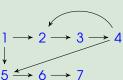
 $3: B \rightarrow bB$

4: $C \rightarrow cC$

 $5: A \rightarrow a$

6: B → b

7: $C \rightarrow c$





- a grammar or an automaton based upon a finite set of rules R
- a regulation over R

Example

R: 1:
$$S \rightarrow ABC$$

2: $A \rightarrow aA$
3: $B \rightarrow bB$
4: $C \rightarrow cC$
5: $A \rightarrow a$
6: $B \rightarrow b$
7: $C \rightarrow c$

$$S \Rightarrow ABC$$
 [1]



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Example

R: 1:
$$S \rightarrow ABC$$

2: $A \rightarrow aA$
3: $B \rightarrow bB$
4: $C \rightarrow cC$
5: $A \rightarrow a$
6: $B \rightarrow b$
7: $C \rightarrow c$

$$\begin{array}{ccc} S & \Rightarrow & ABC & [1] \\ & \Rightarrow & \alpha ABC & [2] \end{array}$$



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Example

$$R: 1: S \rightarrow ABC$$

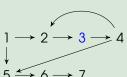
$$2: A \rightarrow aA$$

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$$C \rightarrow cC$$

6:
$$B \rightarrow b$$

$$7: C \rightarrow c$$



$$S \Rightarrow ABC$$
 [1 $\Rightarrow aABC$ [2

$$\Rightarrow$$
 aAbBC [3



- a grammar or an automaton based upon a finite set of rules R
- a regulation over R

Example

$$R\colon \ 1\colon S \ \to ABC$$

$$2: A \rightarrow aA$$

$$3: B \rightarrow bB$$

$$4\colon\thinspace C\to cC$$

6:
$$B \rightarrow b$$

$$7: C \rightarrow c$$

$$1 \longrightarrow 2 \longrightarrow 3 \longrightarrow 4$$

$$\begin{array}{ccc} S & \Rightarrow & ABC & [1] \\ & \Rightarrow & aABC & [2] \\ & \Rightarrow & aAbBC & [3] \\ & \Rightarrow & aAbBcC & [4] \end{array}$$



- a grammar or an automaton based upon a finite set of rules R
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Example

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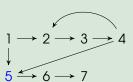
$$2: A \rightarrow aA$$

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$$\begin{array}{ccc} S & \Rightarrow & ABC & [1] \\ & \Rightarrow & aABC & [2] \\ & \Rightarrow & aAbBC & [3] \\ & \Rightarrow & aAbBcC & [4] \end{array}$$

$$\Rightarrow aAbBC$$
 [3]

$$\Rightarrow$$
 aAbBcC [4

$$\Rightarrow$$
 aabBcC [5]



- a grammar or an automaton based upon a finite set of rules R
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Example

$$R\colon \ 1\colon S \ \to ABC$$

$$2: A \rightarrow aA$$

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$$6: B \rightarrow b$$

$$7: C \rightarrow c$$

$$S \Rightarrow ABC$$
 [1]
 $\Rightarrow aABC$ [2]

$$\Rightarrow$$
 aAbBcC [4]

$$\Rightarrow$$
 aabBcC [5]

$$\Rightarrow$$
 aabbcC [6]



- a grammar or an automaton based upon a finite set of rules R
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Example

$$R: 1: S \rightarrow ABC$$

$$2: A \rightarrow aA$$

$$3: B \rightarrow bB$$

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6:
$$B \rightarrow b$$

7:
$$C \rightarrow c$$

$$\begin{array}{cccc} S & \Rightarrow & ABC & [1] \\ & \Rightarrow & aABC & [2] \\ & \Rightarrow & aAbBC & [3] \\ & \Rightarrow & aAbBcC & [4] \\ & \Rightarrow & aabBcC & [5] \\ & \Rightarrow & aabbcC & [6] \\ & \Rightarrow & aabbcc & [7] \end{array}$$



- a grammar or an automaton based upon a finite set of rules R
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Example

$$R: 1: S \rightarrow ABC$$

 $2: A \rightarrow gA$

$$3: B \rightarrow bB$$

$$4: C \rightarrow cC$$

$$6: B \rightarrow b$$

$$7: C \rightarrow c$$

$$\begin{array}{c}
1 \longrightarrow 2 \longrightarrow 3 \longrightarrow 4 \\
\downarrow \\
5 \longrightarrow 6 \longrightarrow 7
\end{array}$$

$$L(G) = \{a^n b^n c^n : n \ge 1\}$$

General Info





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Motivation and Subject

- an important trend in formal language theory
- since 1990, no book has been published on the subject although many papers have discussed it

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Motivation and Subject

- an important trend in formal language theory
- since 1990, no book has been published on the subject although many papers have discussed it

Purpose

- theoretical: to summarize key results on the subject
- practical: to demonstrate applications of regulated grammars and automata



Focus

- power
- transformation
- reduction



Focus

- power
- transformation
- reduction

Organization

- 9 parts
- 22 chapters



Approach and Features

- theoretically oriented treatment of regulated grammars and automata
- emphasis on algorithms
- intuitive explanation
- many examples
- application perspectives



Approach and Features

- theoretically oriented treatment of regulated grammars and automata
- emphasis on algorithms
- intuitive explanation
- many examples
- application perspectives

Book Audience

- computer scientists: professionals, professors, Ph.D. students
- mathematicians
- linguists

Contents (1/4)



Part I Introduction and Terminology

- 1 Introduction
- 2 Mathematical Background
- 3 Rudiments of Formal Language Theory

Contents (1/4)



Part I Introduction and Terminology

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- 7 On Erasing Rules and Their Elimination
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- 9 Sequential Rewriting over Word Monoids

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Part VIII Applications

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Part II: A Sample: One-Sided Random Context Grammars

| Random Context Grammars: Basic Concept | | | |



- a modification of context-free grammars
- $(A \rightarrow x, U, W) \in P$

Random Context Grammars: Basic Concept | 🚟



- a modification of context-free grammars
- $(A \rightarrow x, U, W) \in P$

$$\leftarrow A$$

Random Context Grammars: Basic Concept | 👑



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- $(A \rightarrow x, U, W) \in P$

$$\underbrace{\dots}$$
 A \dots

Illustration

$$(A \to X, \{B, C\}, \{D\}) \in P$$

bBcECbAcB

Random Context Grammars: Basic Concept | 👑



- a modification of context-free grammars
- $(A \rightarrow x, U, W) \in P$

$$\longleftrightarrow$$
 A

Illustration

$$(A \rightarrow X, \{B, C\}, \{D\}) \in P$$

$$\overleftarrow{bBcECb} \overrightarrow{A} \overrightarrow{cB}$$

Random Context Grammars: Basic Concept | 👑



- a modification of context-free grammars
- $(A \rightarrow x, U, W) \in P$

$$\longleftrightarrow$$
 $A \longleftrightarrow$

Illustration

$$(A \rightarrow X, \{B, C\}, \{D\}) \in P$$

$$\overleftarrow{bBcECb}$$
 \overrightarrow{A} \overrightarrow{cB} \Rightarrow $bBcECb \times cB$

One-Sided RCGs: Basic Concept



- a variant of random context grammars
- $(A \rightarrow X, U, W) \in P$

One-Sided RCGs: Basic Concept



- a variant of random context grammars
- $(A \rightarrow x, U, W) \in P$
- $P = P_L \cup P_R$



- a variant of random context grammars
- $(A \rightarrow X, U, W) \in P_L$
- $P = P_L \cup P_R$



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- $(A \rightarrow X, U, W) \in P_R$
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$$A \longrightarrow A$$



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- $(A \rightarrow X, U, W) \in P_R$
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$$A \longrightarrow A$$

Illustration

$$(A \rightarrow X, \{B, C\}, \{D\}) \in P_L$$

bBcECbAcD



- a variant of random context grammars
- $(A \rightarrow X, U, W) \in P_R$
- $P = P_L \cup P_R$

Illustration

$$(A \to X, \{B, C\}, \{D\}) \in P_L$$



- a variant of random context grammars
- $(A \rightarrow X, U, W) \in P_R$
- $P = P_l \cup P_R$

$$A \longrightarrow A$$

Illustration

$$(A \rightarrow X, \{B, C\}, \{D\}) \in P_L$$

$$bBcECb A cD \Rightarrow bBcECb x cD$$

Definitions



Definition

A one-sided random context grammar is a quintuple

$$G = (N, T, P_L, P_R, S)$$

where

- N is an alphabet of nonterminals;
- T is an alphabet of terminals $(N \cap T = \emptyset)$;
- P_L and P_R are two finite sets of *rules* of the form

$$(A \rightarrow X, U, W)$$

where $A \in N$, $x \in (N \cup T)^*$, and $U, W \subseteq N$;

• $S \in N$ is the starting nonterminal.

Definitions



Definition

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• $S \in N$ is the starting nonterminal.

Definition

If $(A \rightarrow x, U, W) \in P_L \cup P_R$ implies that $|x| \ge 1$, then G is propagating.

Definitions (Continued)



Definition

The *direct derivation* ⇒ is defined as

$$uAv \Rightarrow uxv$$

if and only if

$$(A \rightarrow X, U, W) \in P_L, U \subseteq alph(u), \text{ and } W \cap alph(u) = \emptyset$$

or

$$(A \rightarrow x, U, W) \in P_R, U \subseteq alph(v), \text{ and } W \cap alph(v) = \emptyset$$

Note: alph(y) denotes the set of all symbols appearing in string y

Definitions (Continued)



Definition

The *direct derivation* ⇒ is defined as

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or

$$(A \rightarrow X, U, W) \in P_{\mathbb{R}}, U \subseteq alph(v), \text{ and } W \cap alph(v) = \emptyset$$

Note: alph(y) denotes the set of all symbols appearing in string y

Definition

The language of G is defined as

$$L(G) = \{ w \in T^* : S \Rightarrow^* w \}$$

where \Rightarrow^* is the reflexive-transitive closure of \Rightarrow .

Example



Example

Consider the one-sided random context grammar

$$G = (\{S, A, B, \bar{A}, \bar{B}\}, \{a, b, c\}, P_L, P_R, S)$$

where P_L contains

$$\begin{array}{ll} (\mathcal{S} \rightarrow \mathcal{A}\mathcal{B}, \emptyset, \emptyset) & (\bar{\mathcal{B}} \rightarrow \mathcal{B}, \{\mathcal{A}\}, \emptyset) \\ (\mathcal{B} \rightarrow \mathcal{b}\bar{\mathcal{B}}\mathcal{C}, \{\bar{\mathcal{A}}\}, \emptyset) & (\mathcal{B} \rightarrow \varepsilon, \emptyset, \{\mathcal{A}, \bar{\mathcal{A}}\}) \end{array}$$

and P_R contains

$$\begin{array}{ll} (A \to \alpha \bar{A}, \{B\}, \emptyset) & (A \to \varepsilon, \{B\}, \emptyset) \\ (\bar{A} \to A, \{\bar{B}\}, \emptyset) & \end{array}$$



$$P_{L}: (S \to AB, \emptyset, \emptyset) \qquad P_{R}: (A \to \alpha \bar{A}, \{B\}, \emptyset)$$

$$(B \to b\bar{B}c, \{\bar{A}\}, \emptyset) \qquad (\bar{A} \to A, \{\bar{B}\}, \emptyset)$$

$$(\bar{B} \to B, \{A\}, \emptyset) \qquad (A \to \varepsilon, \{B\}, \emptyset)$$

$$(B \to \varepsilon, \emptyset, \{A, \bar{A}\}) \qquad (S \to AB, \emptyset, \emptyset)]$$



$$P_{L}: (S \rightarrow AB, \emptyset, \emptyset) \qquad P_{R}: (A \rightarrow \alpha \overline{A}, \{B\}, \emptyset)$$

$$(B \rightarrow b \overline{B}c, \{\overline{A}\}, \emptyset) \qquad (\overline{A} \rightarrow A, \{\overline{B}\}, \emptyset)$$

$$(B \rightarrow E, \emptyset, \{A, \overline{A}\}) \qquad (A \rightarrow E, \{B\}, \emptyset)$$

$$S \Rightarrow AB \qquad [(S \rightarrow AB, \emptyset, \emptyset)]$$

$$\Rightarrow \alpha \overline{A}B \qquad [(A \rightarrow \alpha \overline{A}, \{B\}, \emptyset)]$$



$$\begin{array}{lll} P_{L} \colon & (S \to AB, \emptyset, \emptyset) & P_{R} \colon & (A \to \alpha \bar{A}, \{B\}, \emptyset) \\ & (B \to b \bar{B} c, \{\bar{A}\}, \emptyset) & (\bar{A} \to A, \{\bar{B}\}, \emptyset) \\ & (\bar{B} \to B, \{A\}, \emptyset) & (A \to \varepsilon, \{B\}, \emptyset) \\ & (B \to \varepsilon, \emptyset, \{A, \bar{A}\}) & \\ & S & \Rightarrow & AB \\ & \Rightarrow & \alpha \bar{A} B \\ & \Rightarrow & \alpha \bar{A} b \bar{B} c & [(S \to AB, \emptyset, \emptyset)] \\ & \Rightarrow & \alpha \bar{A} b \bar{B} c & [(B \to b \bar{B} c, \{\bar{A}\}, \emptyset)] \end{array}$$



$$\begin{array}{lll} P_L\colon & (S\to AB,\emptyset,\emptyset) & P_R\colon & (A\to \alpha\bar{A},\{B\},\emptyset) \\ & (B\to b\bar{B}c,\{\bar{A}\},\emptyset) & (\bar{A}\to A,\{\bar{B}\},\emptyset) \\ & (\bar{B}\to B,\{A\},\emptyset) & (A\to \varepsilon,\{B\},\emptyset) \\ & (B\to \varepsilon,\emptyset,\{A,\bar{A}\}) & & & & & & & & & & \\ S & \Rightarrow & AB & & & & & & & & & & \\ & \Rightarrow & \alpha\bar{A}B & & & & & & & & & & \\ & \Rightarrow & \alpha\bar{A}b\bar{B}c & & & & & & & & & & \\ & \Rightarrow & \alpha Ab\bar{B}c & & & & & & & & & \\ & \Rightarrow & \alpha Ab\bar{B}c & & & & & & & & & \\ \end{array}$$



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$$P_{R}: (S \rightarrow AB, \emptyset, \emptyset) \qquad P_{R}: (A \rightarrow \alpha \bar{A}, \{B\}, \emptyset) \\ (B \rightarrow b\bar{B}c, \{\bar{A}\}, \emptyset) \qquad (\bar{A} \rightarrow A, \{\bar{B}\}, \emptyset) \\ (\bar{B} \rightarrow B, \{A\}, \emptyset) \qquad (A \rightarrow \varepsilon, \{B\}, \emptyset) \\ (B \rightarrow \varepsilon, \emptyset, \{A, \bar{A}\}) \qquad (S \Rightarrow AB \qquad [(S \rightarrow AB, \emptyset, \emptyset)] \\ \Rightarrow \alpha \bar{A}B \qquad [(A \rightarrow \alpha \bar{A}, \{B\}, \emptyset)] \\ \Rightarrow \alpha \bar{A}b\bar{B}c \qquad [(B \rightarrow b\bar{B}c, \{\bar{A}\}, \emptyset)] \\ \Rightarrow \alpha Ab\bar{B}c \qquad [(\bar{A} \rightarrow A, \{\bar{B}\}, \emptyset)] \\ \Rightarrow \alpha Ab\bar{B}c \qquad [(\bar{B} \rightarrow B, \{\bar{A}\}, \emptyset)] \\ \Rightarrow \alpha Bb\bar{B}c \qquad [(\bar{B} \rightarrow B, \{\bar{A}\}, \emptyset)]$$



$$\begin{array}{lll} P_{L} \colon & (S \to AB, \emptyset, \emptyset) & P_{R} \colon & (A \to a\bar{A}, \{B\}, \emptyset) \\ & (B \to b\bar{B}c, \{\bar{A}\}, \emptyset) & (\bar{A} \to A, \{\bar{B}\}, \emptyset) \\ & (\bar{B} \to B, \{A\}, \emptyset) & (A \to \varepsilon, \{B\}, \emptyset) \\ & (B \to \varepsilon, \emptyset, \{A, \bar{A}\}) & & & & & \\ S & \Rightarrow & AB & & & & & \\ & & \Rightarrow & a\bar{A}B & & & & & \\ & & \Rightarrow & a\bar{A}B\bar{B}c & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & \\ & & \Rightarrow & aAbBc & & & & & \\ & & \Rightarrow & a^nAb^nBc^n & & & & \\ & & \Rightarrow & a^nb^nBc^n & & & & \\ & & \Rightarrow & a^nb^nBc^n & & & & \\ \end{array}$$



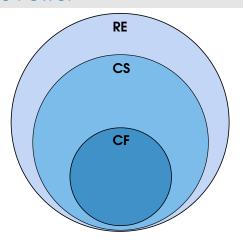
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$$\begin{array}{lll} P_L\colon & (S\to AB,\emptyset,\emptyset) & P_R\colon & (A\to a\bar{A},\{B\},\emptyset) \\ & (B\to b\bar{B}c,\{\bar{A}\},\emptyset) & (\bar{A}\to A,\{\bar{B}\},\emptyset) \\ & (\bar{B}\to B,\{A\},\emptyset) & (A\to \varepsilon,\{B\},\emptyset) \\ & (B\to \varepsilon,\emptyset,\{A,\bar{A}\}) & & & & & & & \\ S & \Rightarrow & AB & & & & & & & \\ & & \Rightarrow & a\bar{A}B & & & & & & & \\ & & \Rightarrow & a\bar{A}b\bar{B}c & & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & \Rightarrow & aAb\bar{B}c & & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & & \Rightarrow & aAb\bar{B}c & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & & \Rightarrow & aAb\bar{B}c & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & & \Rightarrow & aAb\bar{B}c & & & \\ & & \Rightarrow & aAb\bar{B}c &$$

Generative Power





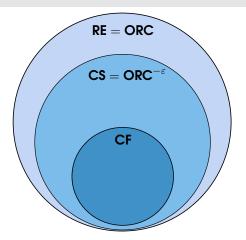
RE the family of recursively enumerable languages

CS the family of context-sensitive languages

CF the family of context-free languages

Generative Power



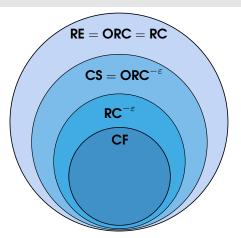


ORC the language family generated by one-sided random context grammars

 $\mathsf{ORC}^{-\varepsilon}$ the language family generated by propagating one-sided random context grammars

Generative Power





- **RC** the language family generated by random context grammars
- $\mathbf{RC}^{-\varepsilon}$ the language family generated by propagating random context grammars



Results Structure

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$$(A \rightarrow x, U, W) \in P_L \cup P_R$$
 implies that $x \in NN \cup T \cup \{\varepsilon\}$



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Normal Form IV

$$(A \rightarrow X, U, W) \in P_L \cup P_R$$
 implies that $U = \emptyset$ or $W = \emptyset$

Reduction



with respect to the total number of nonterminals

Theorem

Any one-sided random context grammar can be converted to an equivalent one having no more than 10 nonterminals.

Reduction



with respect to the total number of nonterminals

Theorem

Any one-sided random context grammar can be converted to an equivalent one having no more than 10 nonterminals.

 with respect to the number of right random context nonterminals

Definition

If $(A \rightarrow x, U, W) \in P_R$, then A is a right random context nonterminal.

Reduction



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 with respect to the number of right random context nonterminals

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If $(A \rightarrow x, U, W) \in P_R$, then A is a right random context nonterminal.

Theorem

Any one-sided random context grammar can be converted to an equivalent one having no more than 2 right random context nonterminals.

Reduction (Continued)



with respect to the number of right random context rules

Definition

If $p \in P_R$, then p is a right random context rule.

Reduction (Continued)



with respect to the number of right random context rules

Definition

If $p \in P_R$, then p is a right random context rule.

Theorem

Any one-sided random context grammar can be converted to an equivalent one having no more than 2 right random context rules.

Applications



General Application Area

 information processing based on the existence or absence of some information parts

Specific Scientific Disciplines

- genetics: modification of genetic codes in which some prescribed sequences of nucleotides occur while some others do not
- linguistics: generation or verification of texts that contain no forbidding passages, such as vulgarisms or classified information
- computer science: syntax analysis of complicated non-context-free structures during language translation

